

Ground Improvement-Based Protection of Transportation Infrastructure: Validation of PBEE via Centrifuge and Numerical Modeling

Jeffrey Newgard, PhD Student

Tara Hutchinson, Professor

John McCartney, Professor



PEER Researchers' Workshop

September 19, 2022

UC San Diego

Presentation Outline

1. Research Concept

- Introduction
- Rocking Footing Design Philosophy

2. Centrifuge/Shake Table Test Methods

- Scope of Tests
- Sand-Cement Columns Mixing and Strength
- Geogrids 3D-Printing and Strength
- Test Procedure
- Ground Motions

3. Test Results

- Kinematic Response
- Performance Metrics

Introduction

- Rocking shallow footings supporting bridge columns are capable of:
 - Providing energy dissipation when soil capacity is mobilized
 - Re-centering themselves during shaking
 - Preventing damage to structural components, where dynamic loads are concentrated when the foundation is too stiff
- However, concerns regarding structural compatibility issues arising from a flexible foundation have limited the adoption of rocking footings
- This study will implement ground improvement techniques to address these concerns while maintaining all the benefits of a rocking footing

Rocking Footing Concept

- Plastic hinge develops in the foundation soils instead of the column, reducing damage to the superstructure:

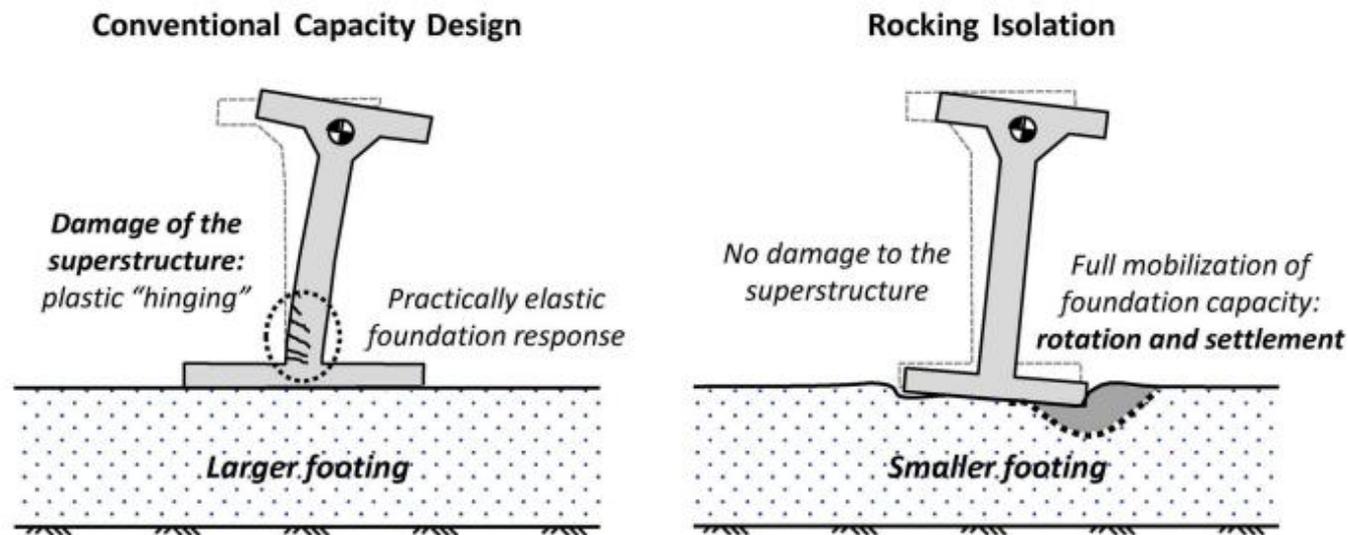
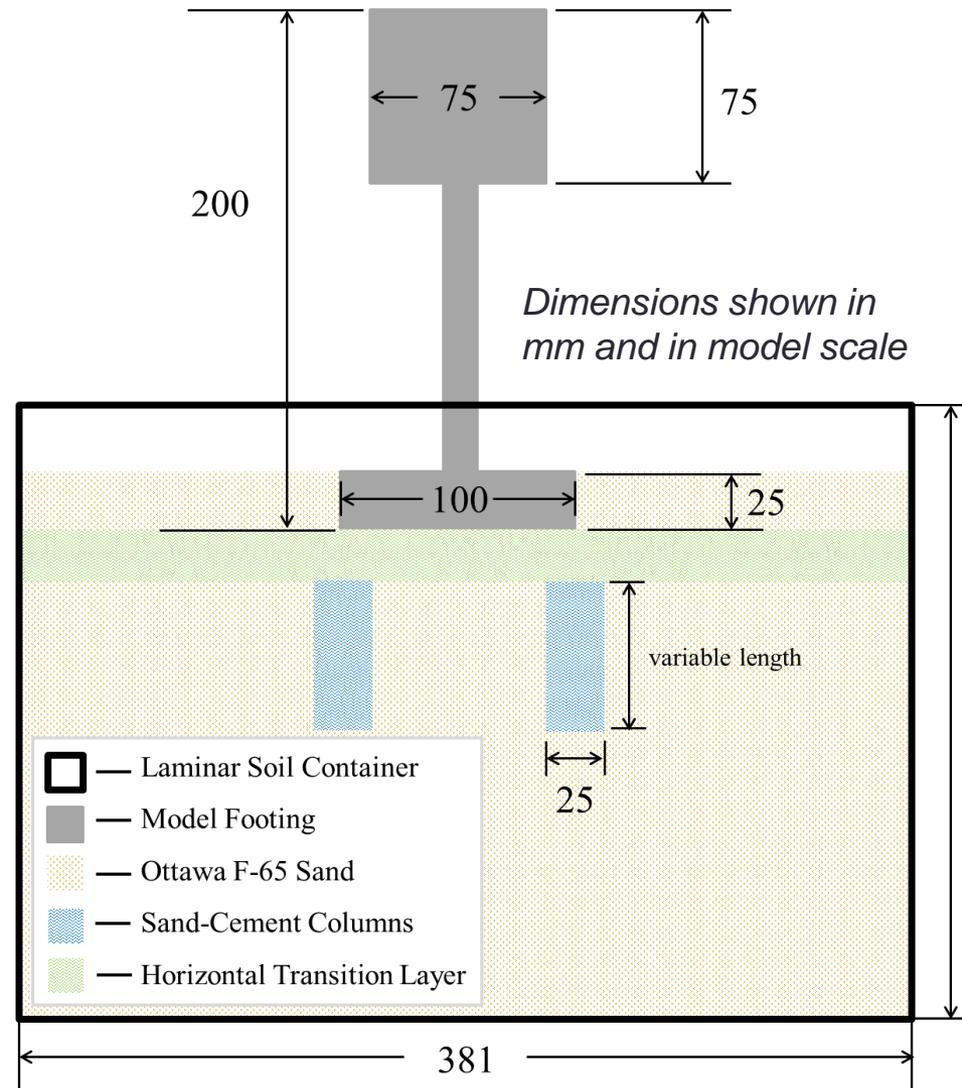


FIGURE 1 | Conventional capacity design vs. rocking isolation. While in the first case the plastic hinge develops in the superstructure, in a rocking-isolated system the foundation capacity is fully mobilized to protect the superstructure, at the cost of foundation rotation and settlement.

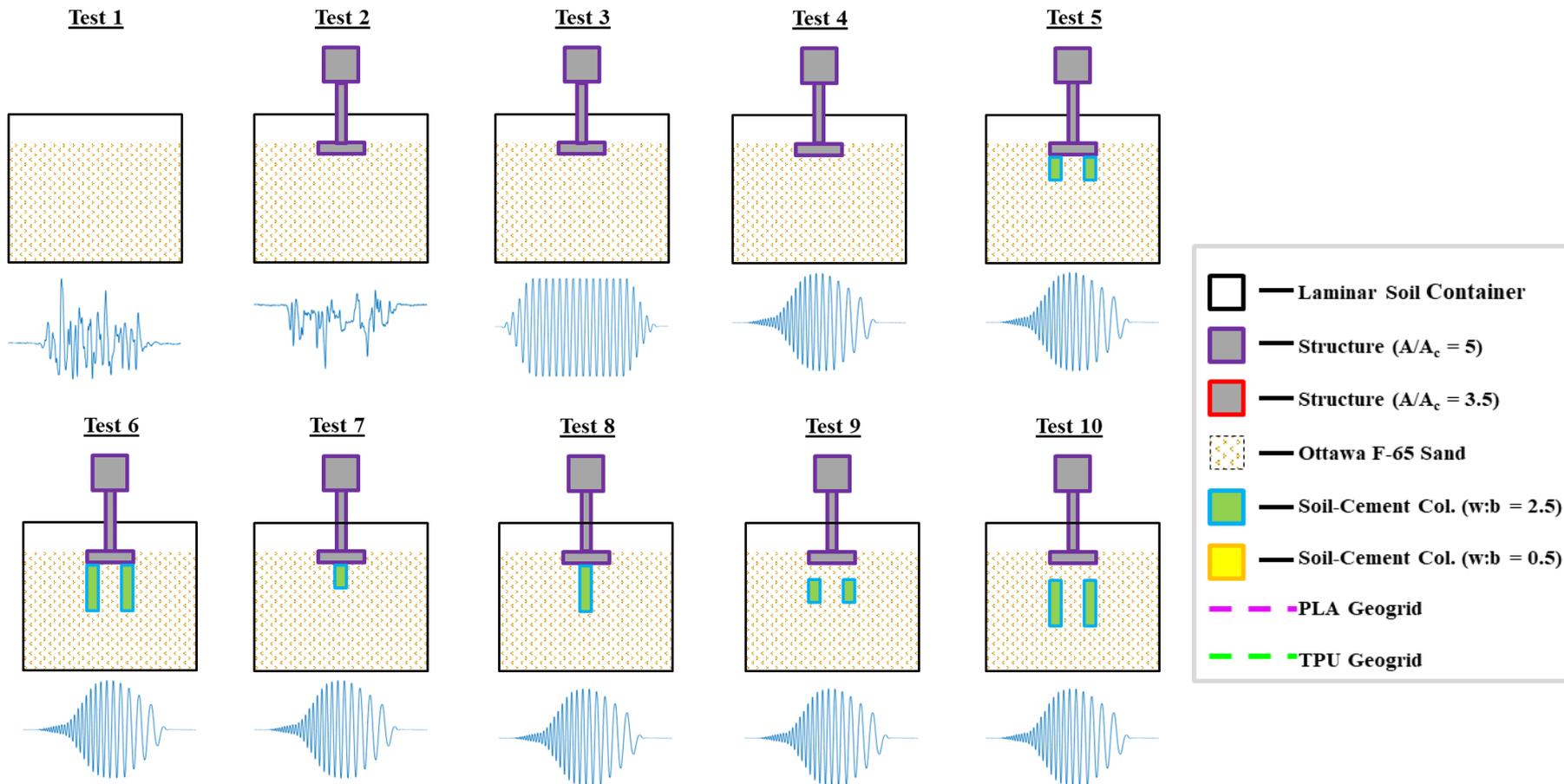
Scope of Centrifuge Tests ($N = 25\text{ g}$)

- Two test series performed:
 1. Heavily loaded footing ($A/A_c = 5$) supported by weaker sand-cement columns
 2. Very heavily loaded footing ($A/A_c = 3.5$) supported by stronger sand-cement columns or 3D-printed geogrids



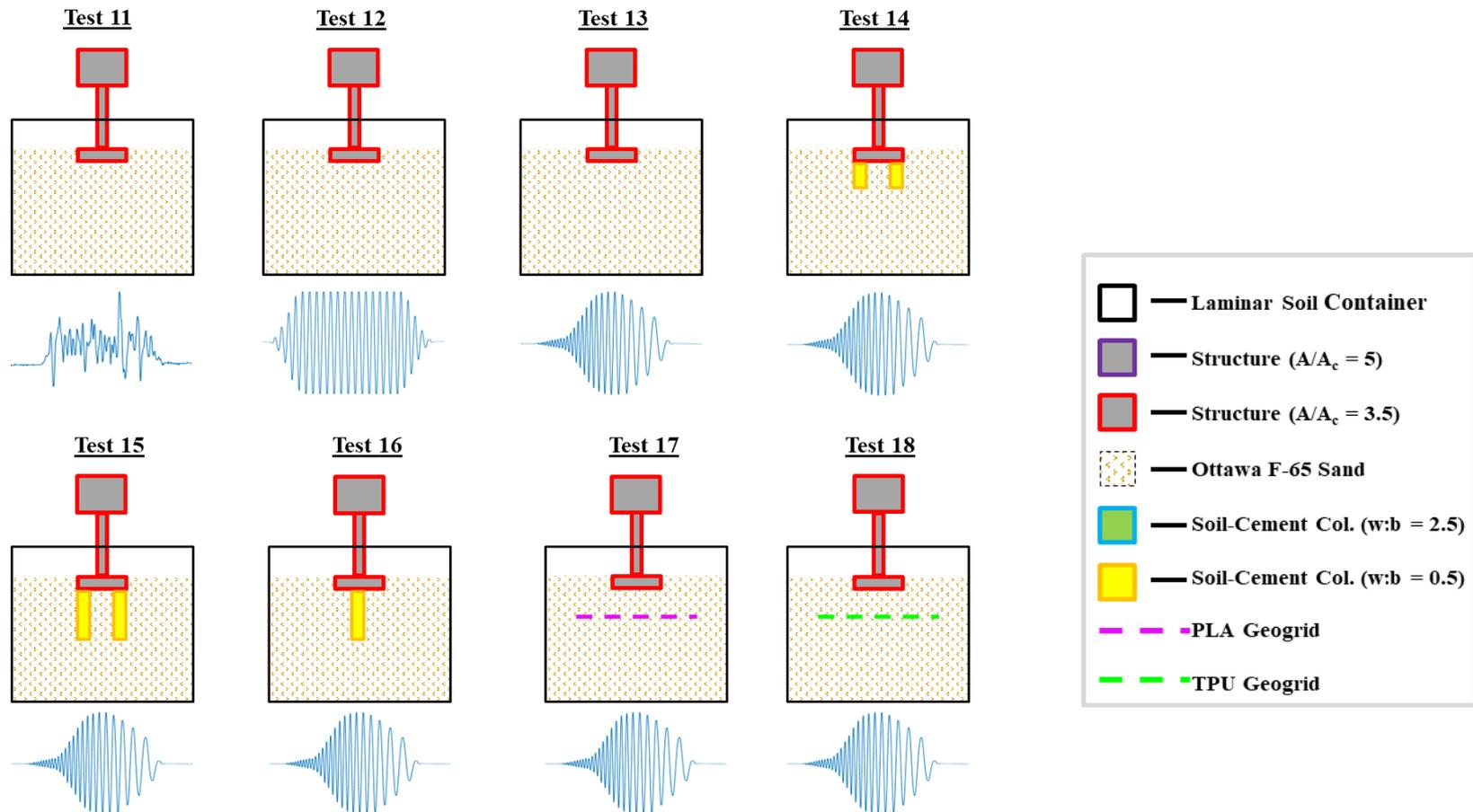
Test Schematics: Series 1

- Heavily loaded footing ($A/A_c = 5$) supported by weaker sand-cement columns



Test Schematics: Series 2

- Very heavily loaded footing ($A/A_c = 3.5$) supported by stronger sand-cement columns or 3D-printed geogrids



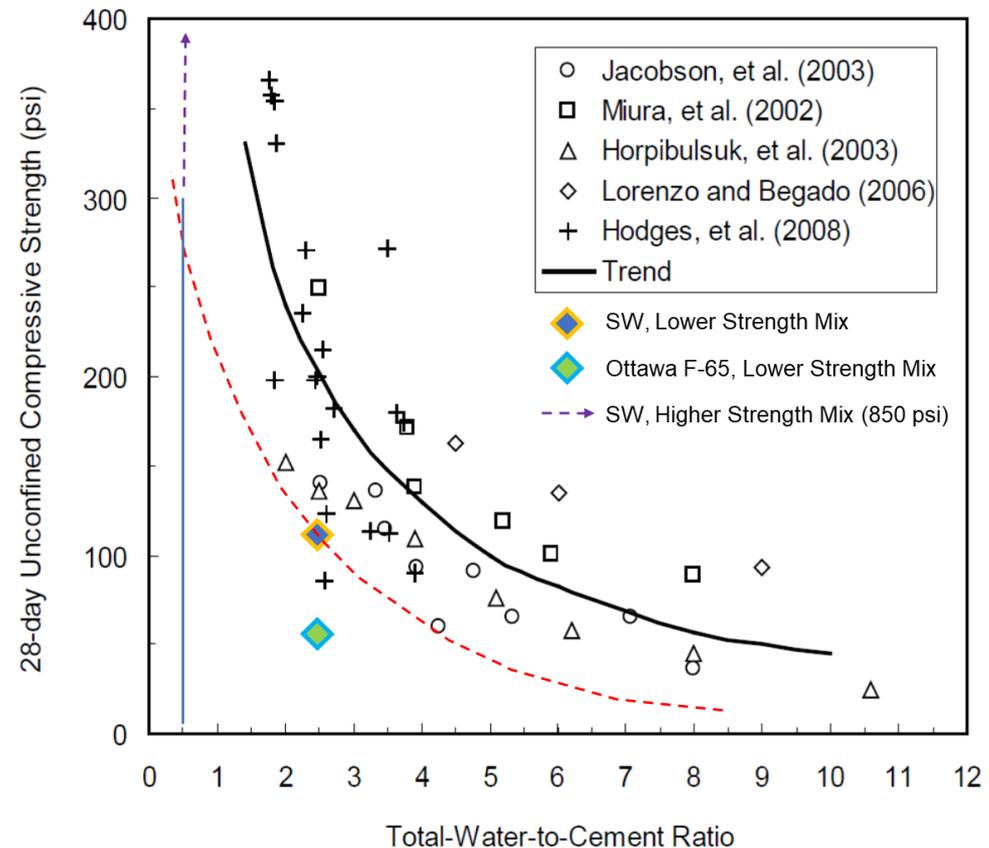
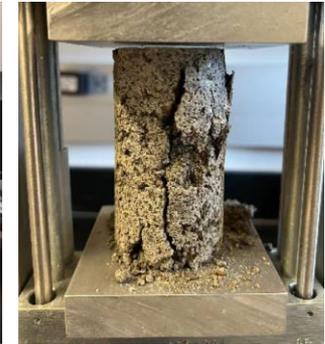
Cement-Mixed Columns

- Low strength ($w:b = 2.5$) columns are more typical for a GI application, but often exhibited crushing following rocking footing tests during Series 1
- Thus high strength ($w:b = 0.5$) columns were mixed and used during Series 2
- Ottawa F-65 sand exhibited propensity to segregate during mixing, so well-graded sand (SW) was used instead

$w:b = 2.5$

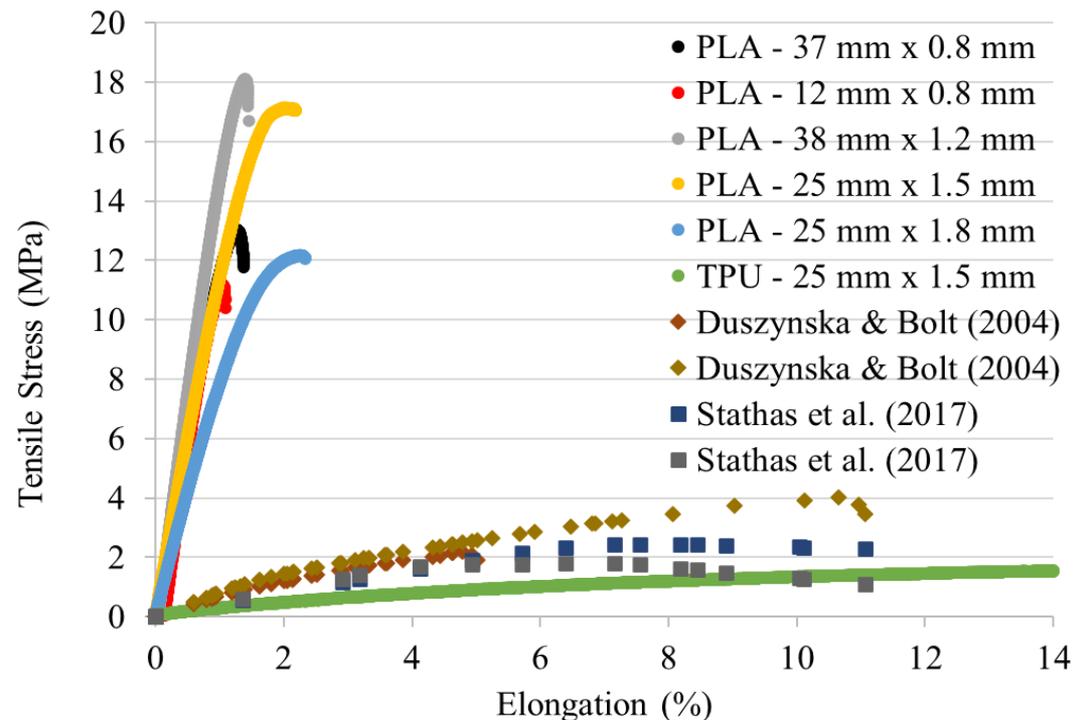
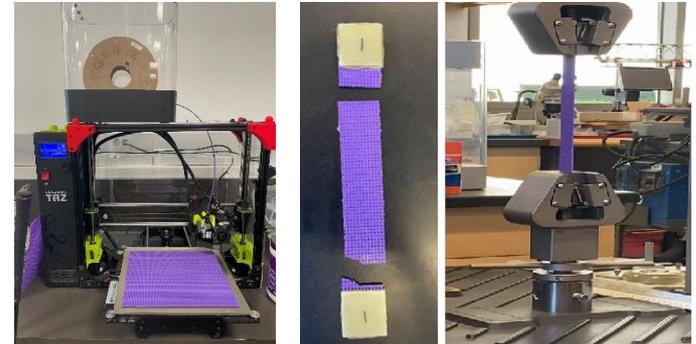


$w:b = 0.5$



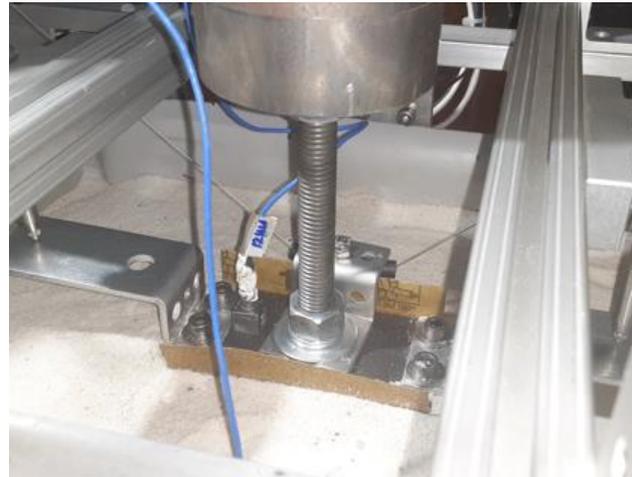
3D-Printed Geogrids

- Biaxial geogrids printed with polylactic acid (PLA) and thermoplastic polyurethane (TPU) with 1 mm thick ribs and 2 mm wide square apertures, about 10x the mean grain size of Ottawa F-65 sand
- Strength and stiffness of printed geogrids are compared to commercial geogrids by Duszynska & Bolt (2004) and N = 10 g printed geogrids by Stathas et al. (2017); ultimately the thickness proved the most difficult dimension to scale accurately



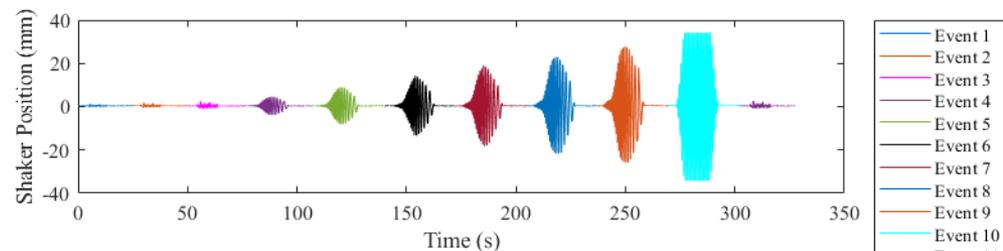
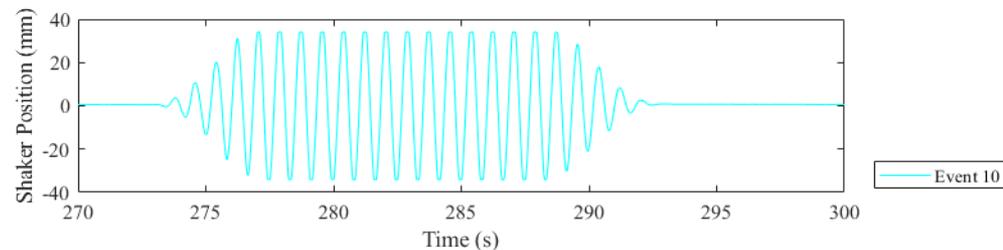
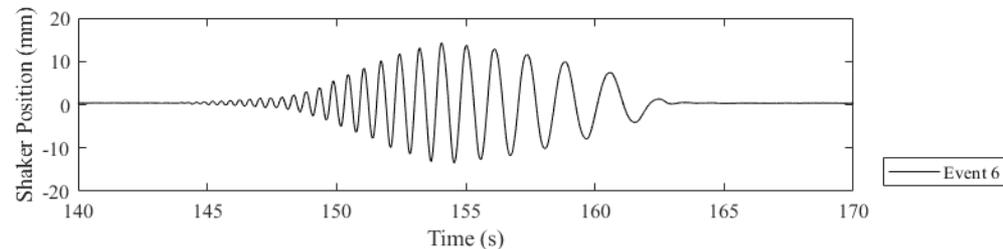
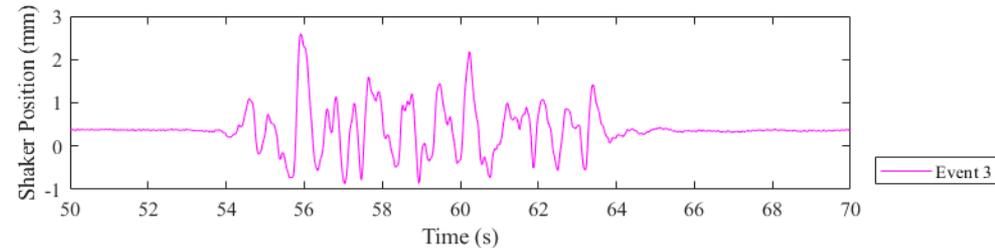
Test Procedure

- Dry sand is pluviated through a pair of sieves to achieve uniform dispersion; relatively low drop height results in a $\sim 50\%$ D_R deposit
- WD-40 is sprayed lightly around footing area, allowing for excavation and placement of sand-cement columns, and precluding sand from unnaturally falling into the gap which opens during rocking
- No structural connection between sand-cement columns and footing



Shake Table Motions

- Each test was comprised of three motion types, sequentially increasing in amplitude:
 1. white noise to characterize the rocking natural frequency of the structure (f_{rock})
 2. sine sweeps where the amplitude peaks at f_{rock}
 3. harmonic with many high amplitude cycles at f_{rock}
- Allows for assessments to be made regarding the performance of each ground improvement technique under low and high seismic demand

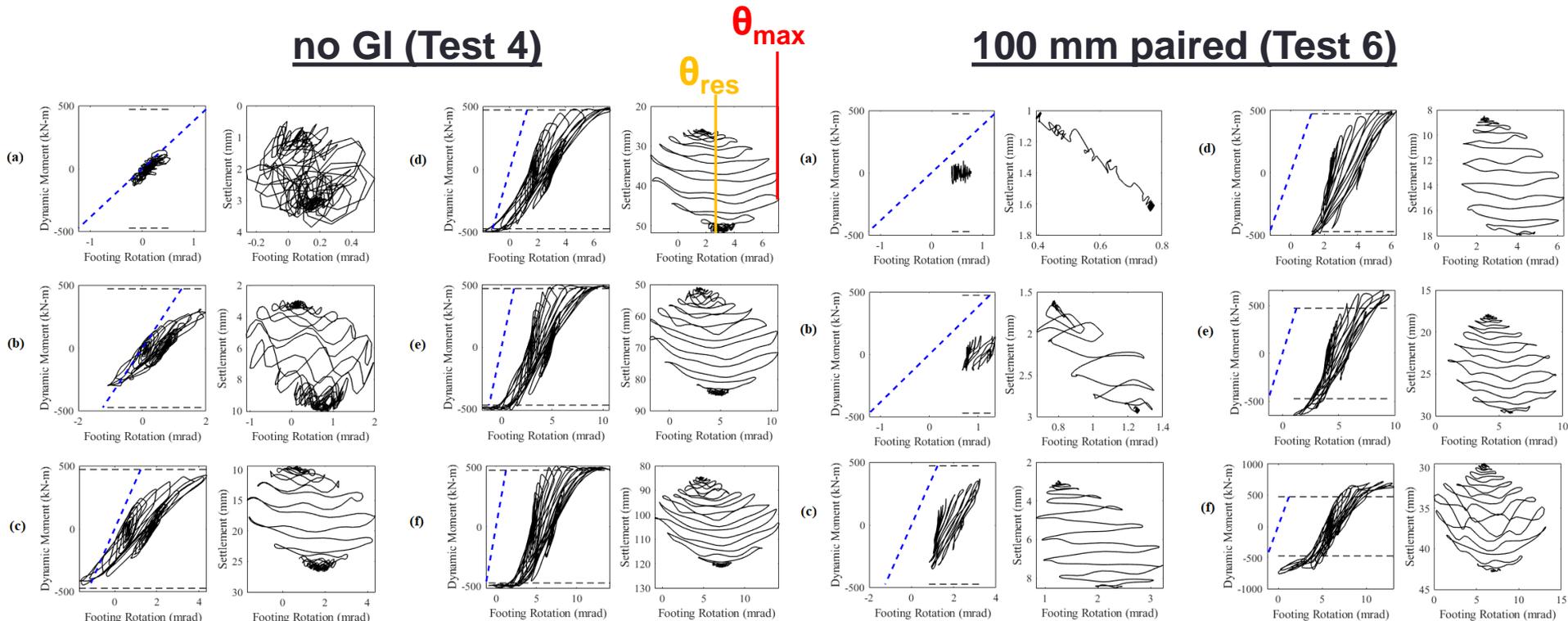


Typical Kinematics during Rocking Test

- Rapid accumulation of settlement during Test 4 once dynamic moments cause yielding in the soil
- Stiffness and moment capacity both increased during Test 6, and as a result, settlement is only $\frac{1}{3}$ that of Test 4

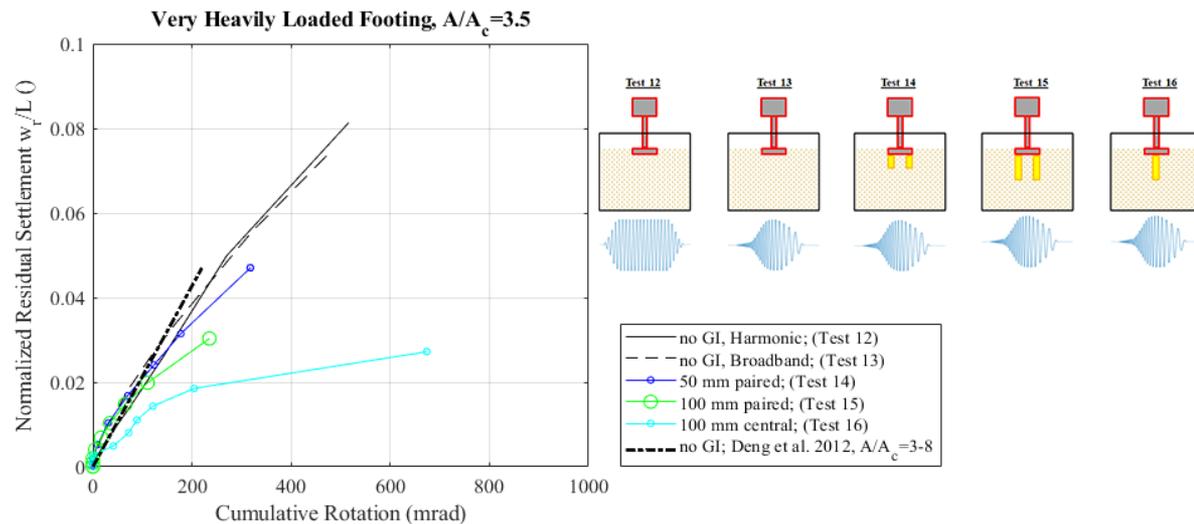
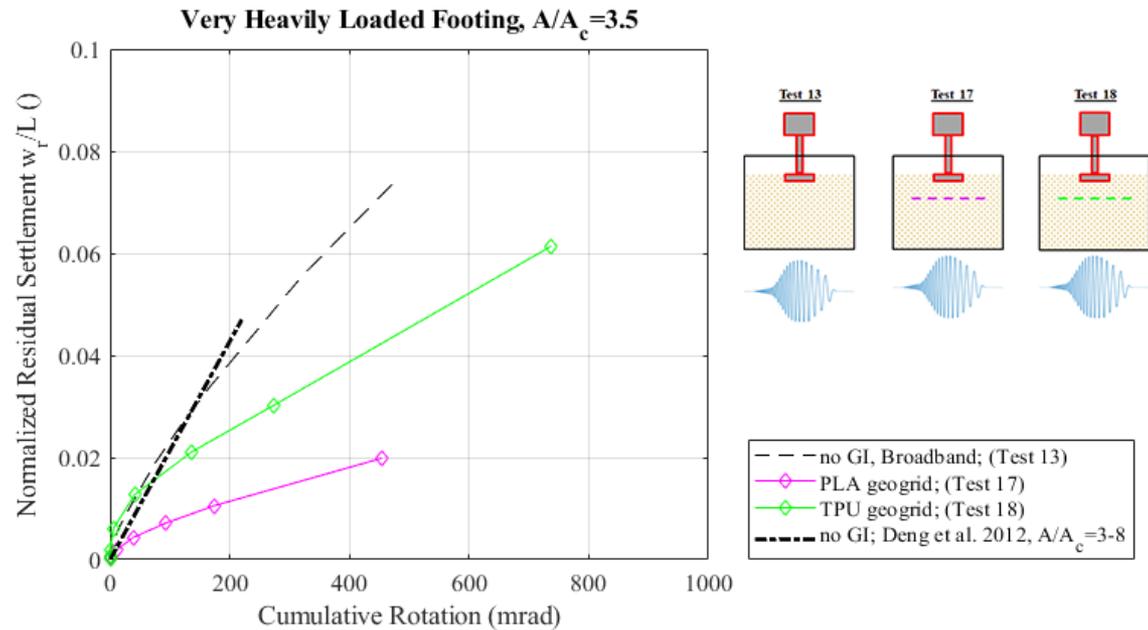
no GI (Test 4)

100 mm paired (Test 6)



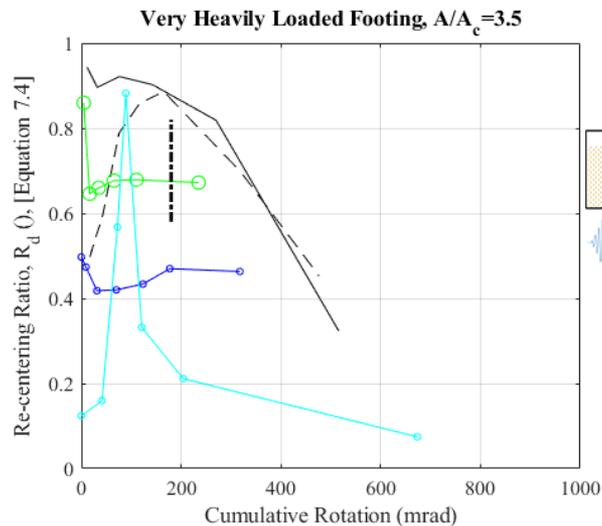
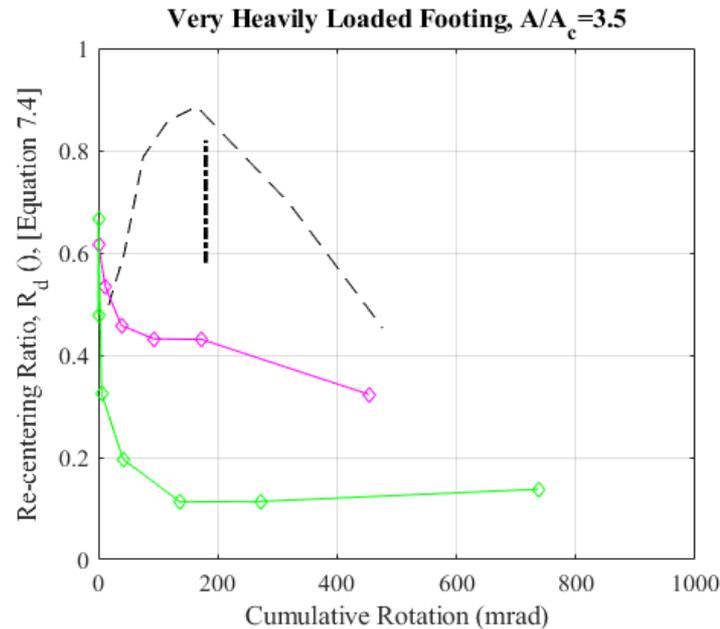
Settlement

- Baseline cases without ground improvement match trend reported by Deng et al. (2012) based on many tests
- Similar trends observed for each structure
- Longer paired columns reduce settlement more than all other strategies, except the longer central column; however ...



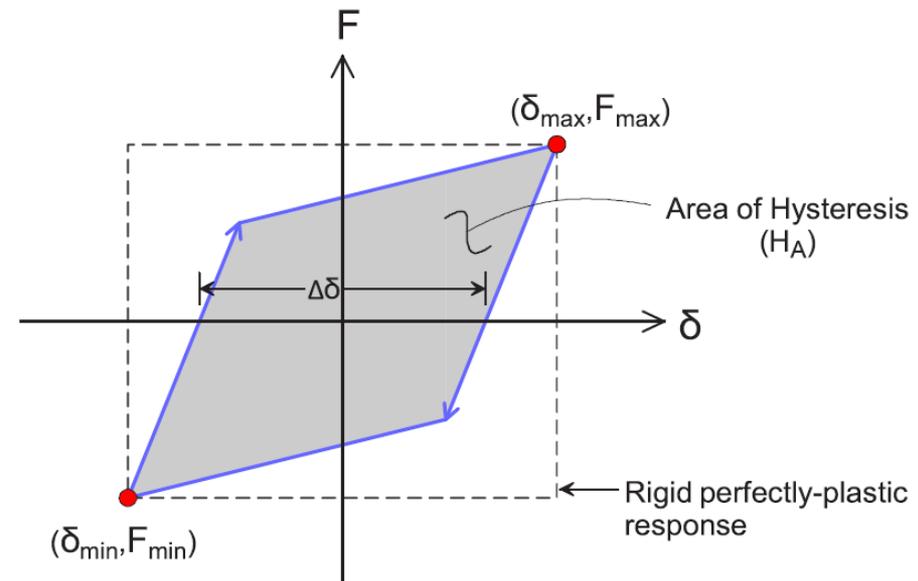
Re-centering

- $R_d = 1 - \frac{\theta_{res}}{\theta_{max}}$
- Central columns exhibit poor re-centering; reminiscent of an inverted pendulum equally likely to tip to either side
- Paired columns provide more stable configuration, providing re-centering at high seismic demand



Correlation Diagram

- Re-centering and energy dissipation may be examined via a correlation diagram readily extensible to a variety of seismic force-resisting members
- These ratios are defined based on the shape of one unload-reload cycle
- For the present application, axial force and displacement are replaced by overturning moment and footing rotation

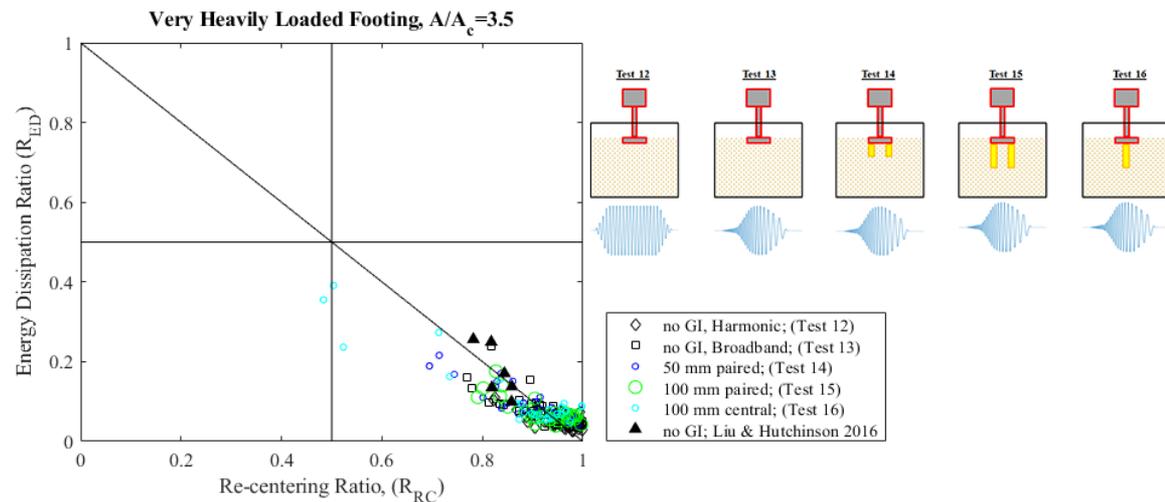
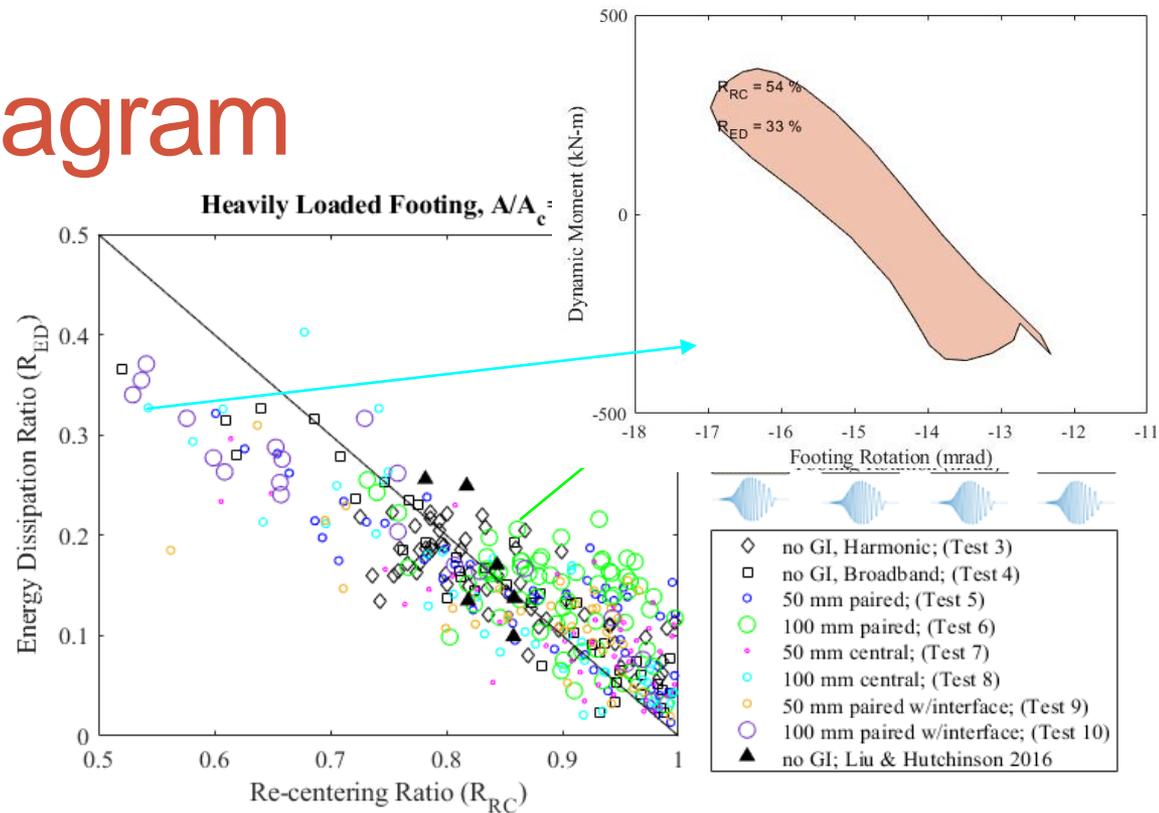


$$R_{ED} = \frac{H_A}{(F_{max} - F_{min}) \times (\delta_{max} - \delta_{min})}$$

$$R_{RC} = 1 - \frac{\Delta\delta}{(\delta_{max} - \delta_{min})}$$

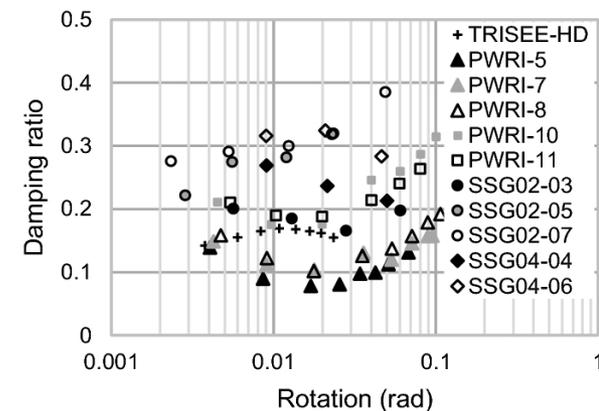
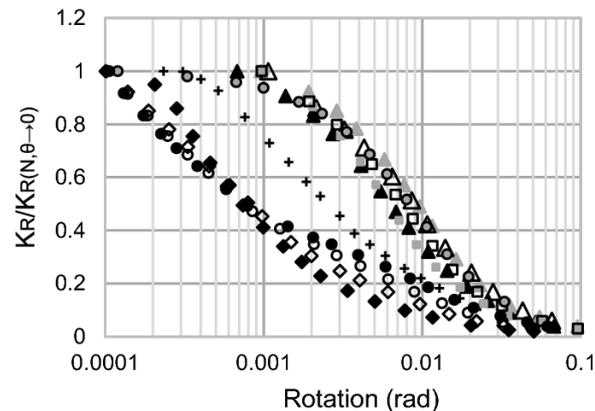
Correlation Diagram

- All load cycles fall within the re-centering prone quadrant; to be expected for a rocking footing, which feels the pull of gravity drawing it back to center as it rocks
- As energy dissipation increases, re-centering performance inevitably decreases (nearly a -1:1 relationship)

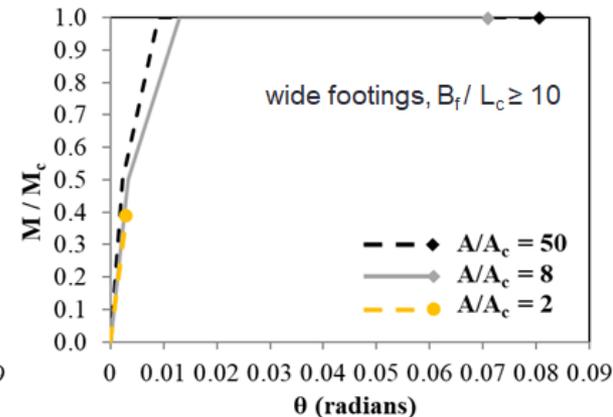
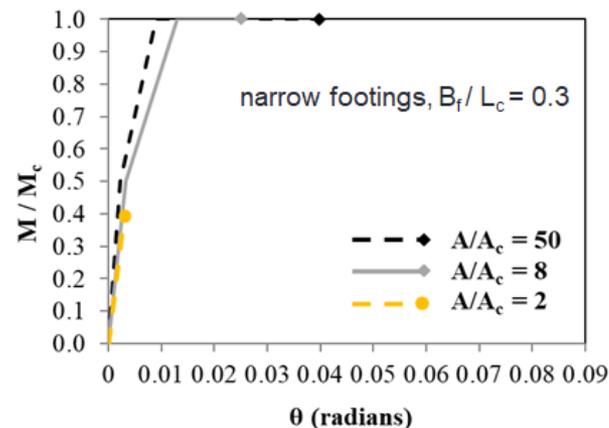


Further Data Analysis

- Tracking rocking stiffness degradation as a function of either maximum rotation or cumulative rotation

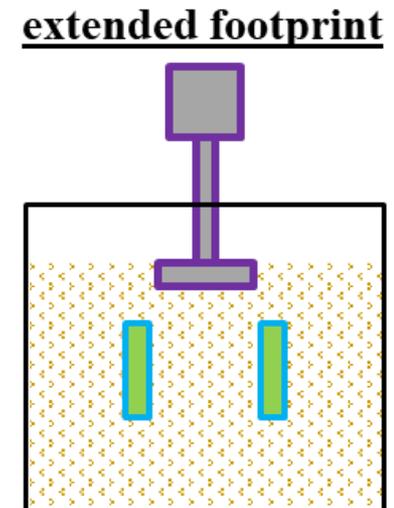
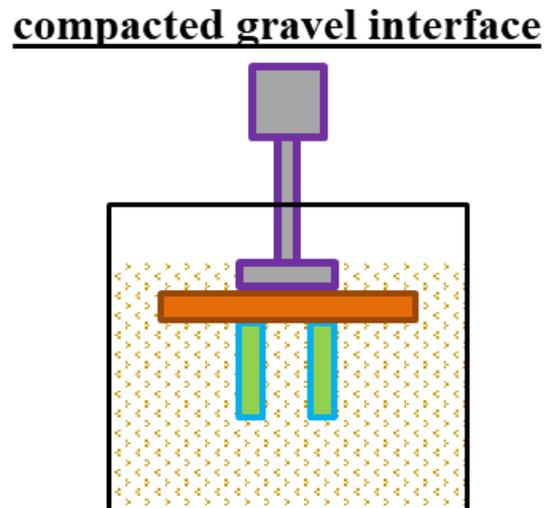
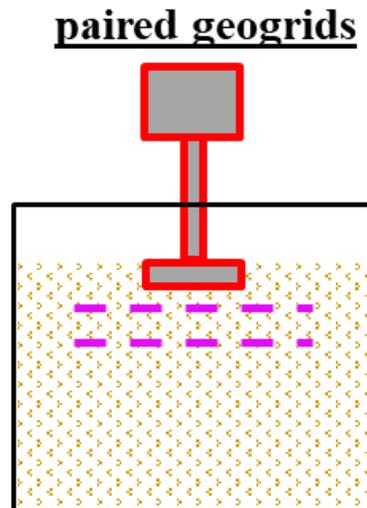


- Developing moment-rotation curves (based on settlement and re-centering) available to designers for each GI strategy



Potential Strategies

- Additional near-surface reinforcement consistent with findings by Tsatsis & Anastasopoulos (2015) that a compacted crust of only 1B thickness was sufficient to reduce settlement by about $\frac{1}{3}$ from no GI



Conclusions

- Long paired columns provide the greatest reduction in settlement and preservation of re-centering and energy dissipation
- Footing behaves akin to an inverted pendulum when supported by a single central column and exhibits poor re-centering
- It is not recommended to incorporate ground improvement solely at a depth greater than $0.5B$ below bottom of footing, because the interface layer of sand is liable to experience increased yielding as a result
- 3D-printed geogrids scaled to $N = 25$ g bounded load-displacement characteristics of commercial products, and stiff (PLA) geogrid was more effective at reducing settlement than flexible (TPU) geogrid

Project Notes

- PEER draft report is completed with everything presented here today (and much more)!
- Possibility to perform a few more tests; relatively easy to run tests at the UCSD centrifuge
- Feedback welcome on presentation of results to maximize utility to engineering community and design engineers

Thank you!



References

- Adams, M.T. and Collin, J.G. (1997). "Large model spread footing load tests on geosynthetic reinforced soil foundations." *Journal of Geotechnical and Geoenvironmental Engineering* 1997; 123(1): 66-72.
- Anastasopoulos, I., Kourkoulis, R., Gelagoti, F., and Papadopoulos, E. (2012). "Rocking response of SDOF systems on shallow improved sand: An experimental study." *Soil Dynamics and Earthquake Engineering* 40: 15-33.
- Antonellis, G. and Panagiotou, M. (2014). "Seismic Response of Bridges with Rocking Foundations Compared to Fixed-Base Bridges at a Near-Fault Site." *Journal of Bridge Engineering* 19-5: 1-14.
- Arora, S., Shao, L., and Schultz, J.M. (2012). "Wet Soil Mixing for Bearing Capacity, Liquefaction Mitigation, and Water Cutoff for Scour Protection for a New Bridge Abutment." *Grouting and Deep Mixing*, 575-584.
- Beck, J. L. and Skinner, R. I. (1973). "The seismic response of a reinforced concrete bridge pier designed to step." *Earthquake Eng. Struct. Dyn.*, 2(4), 343–358.
- Cormack, L. G. (1987). "The design and construction of major bridges on the Mangaweka rail deviation." IPENZ Annual Conf., Institution of Professional Engineers of New Zealand, Wellington, New Zealand, 1-14.
- Deng, L., Algie, T.B., and Kutter, B.L. (2009). "Seismic performance of bridge systems with rocking foundations." *Centrifuge data report for LJD02 test series*, UCD/CGMDR-09/02, Center for Geotechnical Modeling, Univ. of California, Davis, CA.
- Deng, L. and Kutter, B.L. (2010). "Seismic performance of bridge systems with rocking foundations." *Centrifuge data report for LJD03 test series*, UCD/CGMDR-10/01, Center for Geotechnical Modeling, Univ. of California, Davis, CA.
- Deng, L., Kutter, B. L., and Kunnath, S. K. (2012). "Centrifuge modeling of bridge systems designed for rocking foundations." *J. Geotech. Geoenviron. Eng.*, 10.1061/(ASCE)GT.1943-5606.0000605, 335–344.
- Dobie, M., Lees, A., and Khanardnid, J. (2018). "Case study: performance of a geogrid stabilised working platform constructed over extremely soft dredged silt." *Proceedings of the 11th International Conference on Geosynthetics*, Seoul, Korea. 16-21 September 2018.
- Figini, R., Paolucci, R., Chatzigogos, C.T. (2011). "A macro-element model for non-linear soil-shallow foundation-structure interaction under seismic loads: theoretical development and experimental validation on large scale tests." *Earthquake Engineering and Structural Dynamics*.
- Hooper, J.A. (1973). "Observations on the behaviour of a pile-raft foundation on London clay." *Proceedings of the Institution of Civil Engineers*; 55(4): 855-877.
- Lawton, E.C. (2000). "Performance of Geopier-supported foundations during simulated seismic tests on northbound Interstate 15 bridge over South Temple, Salt Lake City, Utah." Technical Report No. UUCVEEN 00-03.
- López, R. Guiarte, F. Po Lam, G. and Shao, L. (1999). "Compsite ground reinforcement foundation system for large capacity grain domes under static and seismic loadings", *Proc. XI Panamerican Conf. on Soil Mechanics and Foundation Engineering*, Foz de Iguazú, Brazil, vol. 3, pp. 1459-1463.
- Malhotra, S. (2007). "Foundation considerations for seismic retrofit of bridges." Ninth Canadian Conference on Earthquake Engineering, Ottawa, Ontario, Canada. 26-29 June 2007.
- Negro, P., Paolucci, R., Pedretti, S., and Faccioli, E. (2000). "Large scale soil-structure interaction experiments on sand under cyclic loading." *Proc.*, 12th World Conf. on Earthquake Engineering, New Zealand National Society for Earthquake Engineering, Upper Hutt, New Zealand.
- Nova, R. and Montrasio, L. (1991). "Settlements of shallow foundations on sand." *Geotechnique* 1991; 41(2): 243-256.
- Pecker, A. (2006). "Enhanced seismic design of shallow foundations: Example of the Rion Antirion bridge." 4th Athenian Lecture on Geotechnical Engineering, Hellenic Society of Soil Mechanics and Geotechnical Engineering, Athens, Greece.
- Raychowdhury, P., Hutchinson, T.C. "Performance evaluation of a nonlinear Winkler-based shallow foundation model using centrifuge test results." *Earthquake Engineering and Structural Dynamics* 2009; 38: 679–98.
- Shao, L. (2019). "Soil Mixing Improvement for Bridge Abutment under Seismic Loads." EERI 2nd Kenji Ishihara Colloquium Series on Earthquake Geotechnical Engineering, San Diego, California.
- Sharma, K. and Deng, L. (2018). "Field testing of rocking foundations in cohesive soil: cyclic performance and footing mechanical response." *Canadian Geotechnical Journal* 2018, pagination not final.
- Shirato, M., Kouno, T., Asai, R., Nakani, N., Fukui, J., Paolucci, R. (2008). "Large-scale experiments on nonlinear behavior of shallow foundations subjected to strong earthquakes." *Soils and Foundations* 2008; 48(5): 673–92.