



# PACIFIC EARTHQUAKE ENGINEERING RESEARCH CENTER

## **Modeling Viscous Damping in Nonlinear Response History Analysis of Steel Moment-Frame Buildings: Design-Plus Ground Motions**

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**Revision 1.0**

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## SUMMARY

This report investigates the question: can seismic demands on steel moment-frame buildings due to Maximum Considered Earthquake ( $MCE_R$ ) design-level ground motions [2% probability of exceedance (PE) in 50 years] be estimated satisfactorily using linear viscous damping models or is a nonlinear model, such as capped damping, necessary? This investigation employs two models of a 20-story steel moment-frame building: a simple model and an enhanced model with several complex features. Considered are two linear viscous damping models: Rayleigh damping and constant modal damping; and one nonlinear model where damping forces are not allowed to exceed a pre-defined bound.

Presented are seismic demands on the building due to two sets of ground motions (GMs):  $MCE_R$  design-level GMs (2% PE in 50 years) and rarer excitations (1% PE in 50 years); and even more intense GMs. Based on these results, we conclude that linear damping models are adequate for estimating seismic demands on steel moment-frame buildings—designed to satisfy current story drift and plastic rotation limits due to  $MCE_R$  design-level GMs. Between the two linear damping models, constant modal damping is preferred; it is available in commercial computer codes for earthquake structural analysis.



## **ACKNOWLEDGMENTS**

Dr. N. Simon Kwong developed the Uniform Hazard Spectrum for the site and the Conditional Mean Spectrum, and then selected and scaled ground motions consistent with the target spectrum. Professor John Hall's comments on an earlier draft led to an improved report.

Any opinions, findings, and conclusions or recommendations expressed in this material are those of the authors and do not necessarily reflect those of the Pacific Earthquake Engineering Research Center (PEER).



## REVISION HISTORY

Two sections—Introduction and Conclusions—of the report, first issued in June 2020, were expanded in September 2020. These revisions were prompted by comments on a paper submitted to the journal *Earthquake Engineering and Structural Dynamics*. These comments came from two anonymous reviewers and Michael Constantinou, the editor.

The Conclusions now emphasize several results of interest to the profession: First, linear viscous damping models are adequate for estimating seismic demands on buildings—designed to satisfy current story drift and plastic rotation limits—due to  $MCE_R$  design-level ground motions (GMs). Second, between the two linear damping models—Rayleigh damping and constant modal damping—the latter is preferable for nonlinear RHA of buildings because it leads to modestly larger demands. This is a prudent choice in the absence of a benchmark or “exact” result. Third, we do not recommend the Rayleigh damping model in nonlinear RHA of buildings because it leads to smaller demands and, hence, could lead to the conclusion that design or evaluation criteria have been satisfied, when other linear damping models lead to the opposite conclusion. Furthermore, various problems and deficiencies have been identified with Rayleigh damping depending on how the yielding elements in the building are modeled. Fourth, if the goal is to arrive at conclusions valid for professional practice, research investigations on modeling damping in nonlinear RHA of buildings should be based on realistic, state-of-the-practice models of buildings subjected to an ensemble of GMs that correspond to the  $MCE_R$  and have been selected by modern methods. In contrast, earlier studies have questioned the validity of linear models, but they typically used simplistic models of buildings and/or extremely intense GMs.



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# 1 Introduction

It is standard in earthquake dynamics of structures to model the various energy-dissipating mechanisms that exist at small amplitude (pre-yield) motions by linear viscous damping; i.e., damping forces  $\mathbf{f}_D$  are a linear function of nodal velocities  $\dot{\mathbf{u}}$ :  $\mathbf{f}_D = \mathbf{c}\dot{\mathbf{u}}$ , where  $\mathbf{c}$  is the damping matrix. The damping matrix should be consistent with modal damping ratios estimated by system identification methods applied to structural motions recorded during earthquakes. The data that are most useful but hard to come by are from structures shaken strongly but not deformed into the inelastic range. The damping ratios determined from smaller structural motions are usually not representative of the larger energy dissipation expected at higher amplitudes—say, just-below yield amplitudes—of structural motions. On the other hand, recorded motions of structures that have experienced yielding during an earthquake would provide damping ratios that also include the energy dissipation due to yielding of structural materials. These damping ratios would not be directly useful in dynamic analysis because the energy dissipation in yielding is usually modeled separately through nonlinear hysteretic force-deformation relations for structural elements.

The measured damping ratios represent the combined effect of energy dissipated in the structure and underlying soil, as well as energy radiated due to soil–structure interaction. It is impractical to separate the individual damping contributions of these mechanisms. Because soil–structure interaction is usually not modeled rigorously in dynamic analysis of buildings, the tradition is to use modal damping ratios estimated from available data directly for dynamic analysis of buildings on fixed base. This simplified approach would not be appropriate if soil–structure interaction effects are significant, as expected for nuclear containment structures and concrete dams, for example.

A large majority of the data for the “measured” damping ratios are for the first mode of vibration of buildings. Until recently, limited data that was available for higher mode damping ratios did not show any systematic variation with modal frequency. Thus, it has been common to assume the same damping ratio for all modes. However, this assumption may begin to change as more data becomes available for damping in higher modes [Cruz and Miranda 2017].

A damping matrix consistent with all specified modal damping ratios can be constructed by superposition of modal damping matrices [Wilson and Penzien 1972; Chopra 2017; Section 11.4.3]. However, this model leads to a full damping matrix. The storage and computational requirements to work with such a full matrix can be prohibitive. As a result, computer codes resort to numerical tricks to implement this damping model. For example, in PERFORM 3D [CSI 2011] and OpenSees, the full damping matrix is used on the right side of the equations to be solved in

Newmark's method [Chopra 2017: Section 16.3.3] but is reduced, so that only those terms that fit within the storage scheme of the stiffness matrix appear on the left side of the equations.

In contrast, Rayleigh damping is computationally attractive because such a damping matrix, which is a linear combination of the mass and stiffness matrices, does not require extra computer resources or tricks when solving the equations in Newmark's method. As a result, Rayleigh damping is perhaps the most commonly used model, although it leads to modal damping ratios that increase indefinitely with increasing frequency, thus leading to excessive damping in higher modes of vibration, and violating the experimental evidence cited earlier. Furthermore, several authors have identified problems associated with the use of Rayleigh damping, as will be described below.

Although the damping matrix is constructed to be consistent with damping ratios estimated from structural motions within the pre-yield range, it is customary to use the same linear viscous damping model for nonlinear response history analysis (RHA) of structures. This extension is based on the tacit assumption that the energy-dissipating mechanisms that exist at small-amplitude motions continue unchanged even after the structure has yielded. Unfortunately, experimental evidence is not available to support or refute this assumption.

Researchers have demonstrated that spurious damping forces may develop and damping forces may be unrealistically large if the Rayleigh damping model is used in nonlinear RHA of buildings [Chrisp 1980; Bernal 1994; Carr 1997; Hall 2006; Charney 2008; Zareian and Medina 2010; and Luco and Lanzani 2017]. This problem has been demonstrated in the context of Rayleigh damping, but it is expected to exist for other linear viscous damping models. It arises because yielding of structural elements limits their resisting forces, but no such mechanism exists for limiting the damping forces. They can increase without limit in proportion to velocities, which may become large because of yielding, especially as the structure approaches a state of collapse.

Several proposals exist to control the damping forces after the structure has yielded. One is to exclude stiffness-proportional damping from the hinge rotational springs in the Rayleigh damping matrix [Medina and Krawinkler 2014; Zareian and Medina 2010]. Another proposal is to replace the initial stiffness in Rayleigh damping by the tangent stiffness Charney [2008]; Leger et al 1992; and Jehel et al 2014]. Based on a shaking table test on a simple bridge pier [Petrini et al. 2008], it was concluded that a plastic-hinge model with viscous damping based on tangent stiffness provided good agreement. Unfortunately, experimental data on multistory buildings that is suitable for resolving issues related to modeling damping is lacking. In a new damping model [Luco and Lanzani 2017], the damping forces are proportional to the elastic component of velocity instead of total velocity. Although the model is based on initial properties of the structure, the resulting response is similar to that obtained by conventional viscous damping models but using tangent stiffness properties. However, as discussed elsewhere, defining Rayleigh damping based on tangent stiffness lacks a physical basis and is conceptually troubling; therefore, this damping scheme is not considered in this study [Chopra and McKenna 2016(a); 2016b; Hall 2018].

The most direct way of controlling the damping forces is to impose an upper bound on the magnitude of the damping forces, an idea motivated by the results of earthquake analysis of a 10-story shear building with 5% damping subjected to the ground motion (GM) recorded at the Olive View Hospital site during the 1994 Northridge, California, earthquake. This is a very intense pulse-like GM with peak ground acceleration (PGA) = 0.84g, peak ground velocity (PGV) = 129.3 cm/sec, peak-to-peak velocity = 186.1 cm/sec, and peak ground displacement = 32.1 cm. The

computed value of the total damping force was 7.2% of the weight of the building or 60% of the yield strength of the building [Hall 2006]. Because no plausible damping mechanism could cause these unrealistically large damping forces, which would lead to unconservative estimates of seismic demands, it was concluded that a limit should be imposed on the magnitude of the damping forces; the term “capped damping” was introduced. However, the scope of the problem is not clear because the model analyzed was a shear building, which is not applicable to modern moment-resisting frames or modern coupled shear walls, structural systems that are common for tall buildings.

A comprehensive investigation of the performance of the conventional linear viscous damping models—including Rayleigh damping and superposition of modal damping matrices—as well as nonlinear viscous damping models—including tangent Rayleigh damping and capped damping—has been presented by Hall [2018]. He analyzed an enhanced model of the SAC 20-story steel-moment frame with 3% damping subjected to very intense, pulse-like ground motion, causing roof displacement of approximately 2% of the height of the building and plastic rotations of over 7% rad, which are beyond the acceptable limits in design guidelines.

The objective of this report is to answer the question: Can building response to Maximum Considered Earthquake ( $MCE_R$ ) design-level GMs (2% probability of exceedance in 50 years or a return period of 2475 years) be estimated satisfactorily using linear viscous damping models or is a capped-damping model—which may be cumbersome to implement in commercial computer codes—necessary? Resolving this issue is important because, as mentioned above, researchers have questioned the validity of linear models, which are standard in professional practice. This issue should be investigated in the context of realistic, state-of-the-practice models of “actual” buildings subjected to an ensemble of ground motions that are consistent with the hazard defined by the  $MCE_R$  and are selected by modern methods. These two requirements are satisfied in this study, whereas they were not in most previous studies. Although the focus is on  $MCE_R$  design-level GMs, excitations associated with rarer events are also considered to explore the limits on validity of linear damping models.



## 2 Damping Models

Considered in this study are three damping models: Rayleigh damping based on initial stiffness; superposition of modal damping matrices with constant (or equal) damping ratios specified in all modes; and interstory dampers with an upper bound imposed on the damping forces.

### 2.1 RAYLEIGH DAMPING

In this linear viscous damping model, the damping matrix is a linear combination of the mass and stiffness matrices:

$$\mathbf{c} = a_0 \mathbf{m} + a_1 \mathbf{k} \quad (1)$$

In this study, the initial stiffness matrix is constructed for the structure with hinges modeled implicitly. Internal hinge rotations are eliminated by static condensation of the element stiffness matrix, thus structural elements appear as a single two-node element. This implementation of Rayleigh damping is similar to that in PERFORM-3D, a commercial software widely used in professional practice for nonlinear RHA of buildings.

The coefficients  $a_0$  and  $a_1$  were computed from modal damping ratios specified for the first and third vibration modes of the building. Beyond the third-mode frequency, the damping ratios increase with frequency without bound, leading to large values in higher modes, even exceeding critical damping.

### 2.2 CONSTANT MODAL DAMPING

In this linear viscous damping model, the classical damping matrix is defined as the superposition of modal damping matrices [Wilson and Penzien 1972]:

$$\mathbf{c} = \mathbf{m} \left( \sum_{n=1}^N \frac{2\zeta_n \omega_n}{M_n} \boldsymbol{\phi}_n \boldsymbol{\phi}_n^T \right) \mathbf{m} \quad (2)$$

The  $n$ th term in this summation is the contribution of the  $n$ th mode with its damping ratio  $\zeta_n$  to the damping matrix; if this term is not included, the resulting  $\mathbf{c}$  implies zero damping ratio in the  $n$ th mode. A common assumption is to specify the same damping ratio in every mode of vibration. We will refer to this model as *Constant Modal Damping*. In this study; damping in the first 20 modes was specified.

### 2.3 CAPPED DAMPING

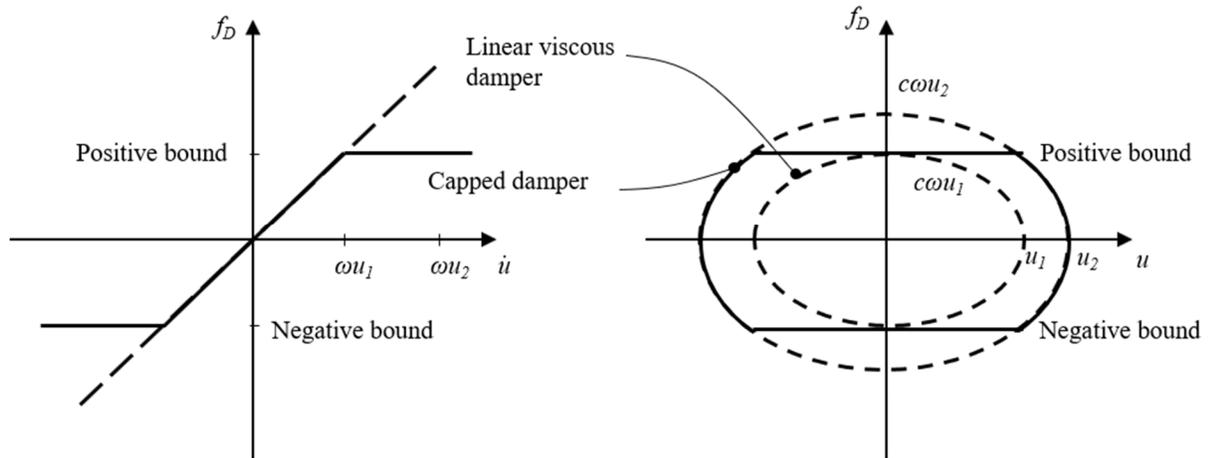
In this nonlinear viscous damping model, a bound (or a cap) is imposed on the damping forces. Energy dissipation in the linear range of vibration is modeled by interstory viscous dampers, which develop lateral damping forces proportional to the relative velocity between the two floors of a story. The height-wise distribution of damping coefficients  $C_i$  ( $i$  denotes story number) is taken as proportional to the story stiffnesses  $K_i$ , i.e.,  $C_i = \alpha K_i$ ; thus the interstory damper model is akin to initial-stiffness-proportional damping. With this assumption, the constant  $\alpha$  corresponding to a specified value of the first-mode damping ratio  $\zeta_1$ , can be determined from

$$\zeta_1 = \alpha \frac{T_1 \sum_i K_i (\phi_{i,1} - \phi_{i-1,1})^2}{4\pi \sum_i m_i \phi_{i,1}^2} \quad (3)$$

where  $m_i$  is the mass lumped at the  $i$ th floor, and  $\phi_{i,1}$  is the lateral displacement of the  $i$ th floor in the fundamental vibration mode. Computation of story stiffnesses is described in Appendix A.

How should the bound on the damping force in each interstory damper be defined? Consider a linear single-degree-of-freedom (SDF) system with damping ratio  $\zeta$ . The ratio of the damping force to stiffness force when the system is undergoing steady-state harmonic motion at its own natural frequency is  $2\zeta$ . This result is applicable also to an SDF system subjected to wide-frequency-band earthquake excitations because the response of the system is akin to harmonic motion (at its natural frequency), but with a slowly-varying amplitude [Crandall and Mark 1963]. This result is also valid for complex systems vibrating in a single mode of vibration at the modal frequency. Based on such considerations, we limit the damping force in a story to  $2\zeta$  times the story strength, an idea first proposed by Hall [2006]. Computation of story strengths is described in Appendix A.

Compared in Figure 1 are the characteristics of a linear viscous damper and the same damper with a bound (or cap) imposed on the magnitude of the damping force, both undergoing harmonic motion at frequency  $\omega$ . The damping force-velocity and damping force-displacement relations for both dampers are plotted. At small amplitudes of motion ( $u \leq u_1$  or  $\dot{u} \leq \omega u_1$ ), the two dampers are identical; the damping force is a linear function of velocity, i.e.,  $f_D = c\dot{u}$ , and the damping force-displacement plot is the familiar hysteresis loop of elliptical shape. At larger motions, ( $u > u_1$  or  $\dot{u} > \omega u_1$ ),  $f_D = c\dot{u}$  remains valid for the linear viscous damper, but for the damper with bounded damping force, this relationship becomes bilinear, valid for both increasing and decreasing velocity; see Figure 1(a). At these larger motions, the elliptical hysteresis loop remains valid for the linear viscous damper, but the loop is truncated at the positive and negative bounds on the damping force in the case of the nonlinear damper with bounded force.



**Figure 1** Linear viscous damping and capped damping models: (a) damping force-velocity relation; and (b) damping force-deformation relation.



### 3 Structural Systems

Analyzed is a 20-story steel moment-resisting frame building in Los Angeles that was designed as part of the SAC project for post-Northridge earthquake design criteria [Gupta and Krawinkler 1999; Krawinkler 2000]. The dimensions and section sizes were taken from Appendix B of the FEMA-356 SAC Steel report [FEMA 2000]. The subterranean part of the building was not modeled and the columns were fully constrained at the base.

A simple model of a perimeter frame of the building was based on centerline dimensions; beams were modeled between centerlines of columns without rigid offsets, panel zones, or top and bottom flange cover plates. The fundamental period of vibration is 3.81 sec. Two values of modal damping were considered: 2% and 5%. This lower value is generally consistent with values estimated from motions of tall buildings recorded during earthquakes [Bernal et al. 2015] and also conforms to values recommended in design guidelines [PEER 2017]. The larger value was considered simply because it had been commonly assumed for decades.

An enhanced model of the 20-story steel moment-frame included several complex features: geometric nonlinearity; strain hardening and deterioration in plastic hinges; flexibility and yielding of panel zones; tri-element beams to model cover plated ends, and the interior gravity frames. The fundamental period of vibration of the enhanced model is 3.38 sec, and modal damping was assumed to be 3%. Implicit plastic hinges are employed for both building models. Details of these models are available in Appendix B.

This enhanced model is intended to be similar to the model for the same building developed by Hall [2018]. Vibration properties and earthquake responses of the two models are compared in Appendix C.



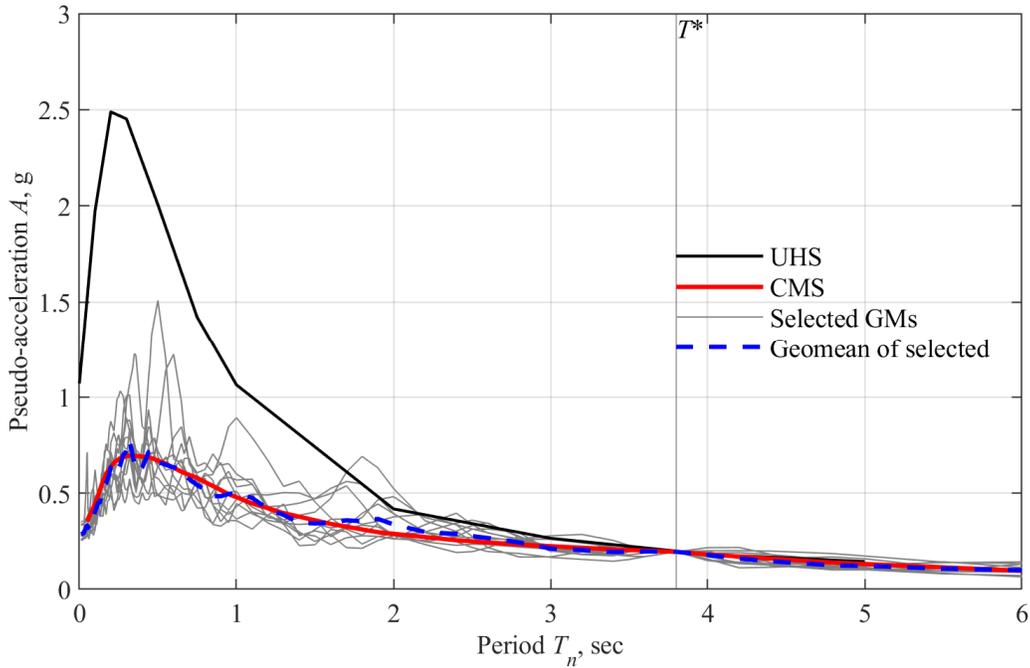
## 4 Ground Motions

Ground motions were selected for the Los Angeles City Hall site, the assumed location for the building, for earthquake events with 2% probability of exceedance (PE) in 50 years (i.e., return period of 2475 years). This corresponds to the  $MCE_R$  event defined in design codes and guidelines. Consistent with professional practice, the target spectrum was defined as the Conditional Mean Spectrum (CMS) [Baker 2011]. The CMS is constructed for a selected value of the conditioning period  $T^*$ , where the spectral acceleration is specified. As is common,  $T^*$  was selected as  $T_1$ , the fundamental vibration of the building, and  $A(T^*)$  as the value that matches the Uniform Hazard Spectrum (UHS).

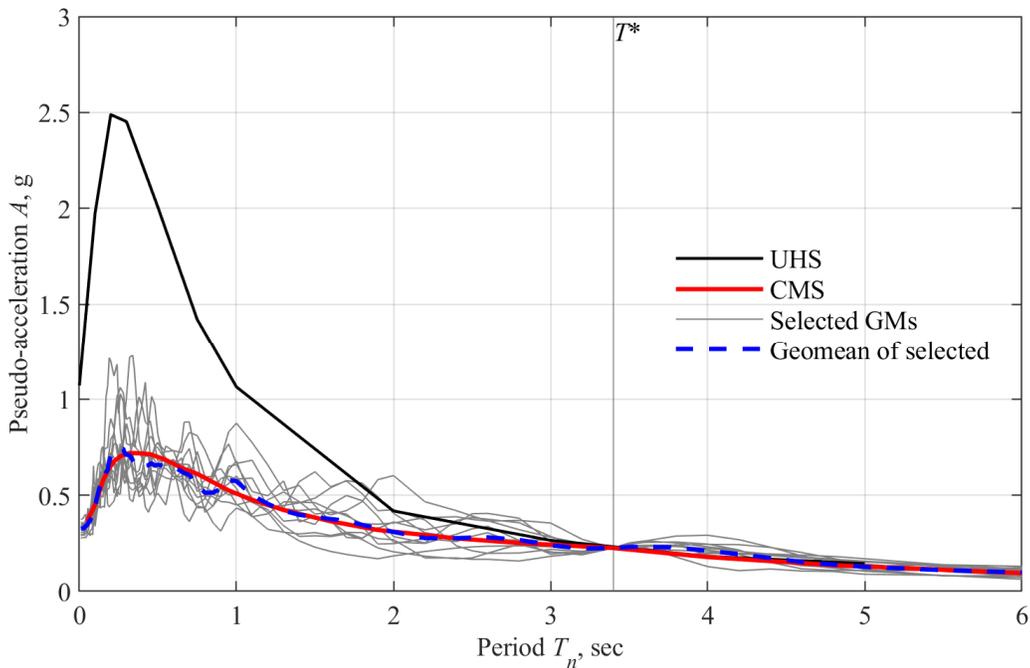
Shown in Figure 2 is the UHS for the selected site, and the CMS conditioned on  $T^* = 3.81$  sec, the fundamental vibration period  $T_1$  of the simple model of the 20-story frame. The spectral acceleration  $A(T^*) = 0.195g$ . Ground motions in the NGAWest-2 database were scaled to this  $A(T^*)$ , and those with scale factor (SF) greater than 5 were excluded from further consideration. From the database of scaled GM records, 11 GMs that most closely agree with (or “match,” for brevity) the CMS in shape were selected. Shown in Figure 2 are the response spectra for these 11 GMs, all of which pass through  $A(T^*)$  of the CMS, as enforced by the scaling criterion. Results of the nonlinear RHA of the 20-story frame to this set of GMs will be presented in Section 5.1.

The process of scaling and selecting GM records outlined above was repeated to select GMs appropriate for nonlinear RHA of the enhanced model of the 20-story building, with fundamental vibration period  $T_1 = 3.38$  sec and  $A(T^*) = 0.225$  g. The UHS for the site remains unchanged, of course, but the CMS is now conditioned on  $T^* = 3.38$  sec. Presented in Figure 3 are the UHS, CMS, and the response spectra for the 11 GMs that were selected. Results of nonlinear RHA of the enhanced model of the 20-story building to this set of GMs will be presented in Section 5.2.

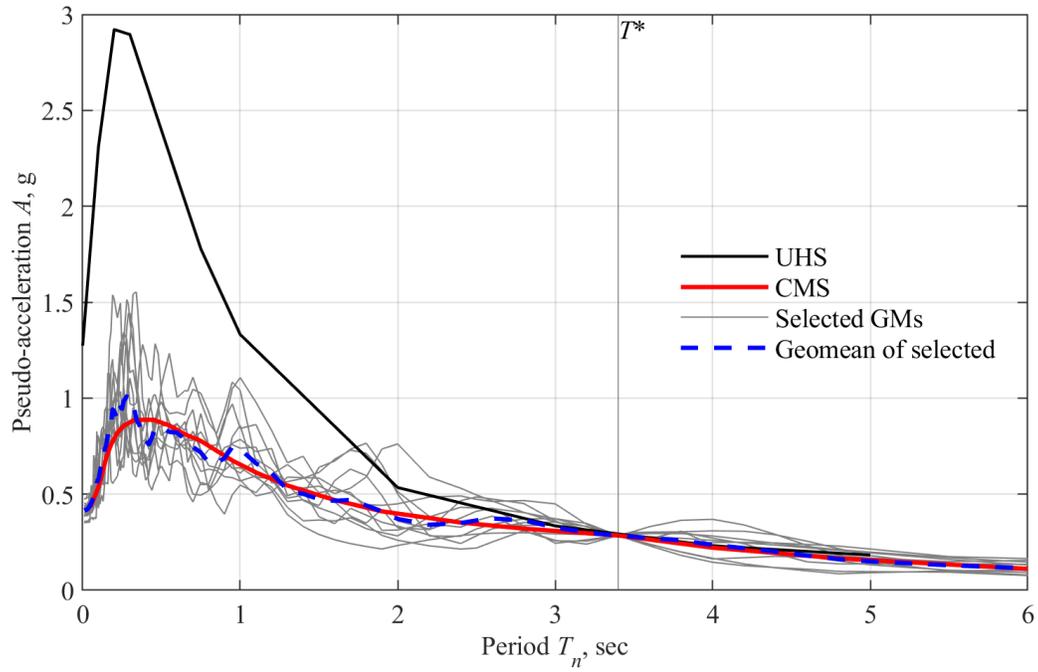
Ground motions from earthquake events rarer than  $MCE_R$ , those with 1% PE in 50 years (i.e., return period of 4975 years), were also selected for analysis of the enhanced model of the 20-story building. The UHS for the site is now more intense, of course, with a spectral acceleration  $A(T_1 = 3.38 \text{ sec}) = 0.285$  g. Shown in Figure 4 are the UHS, the CMS conditioned on  $T^* = 3.38$  sec, and the response spectra for the 11 GMs that were selected. Results of nonlinear RHA of the enhanced model of the 20-story building to this set of GMs will also be presented in Section 5.2.



**Figure 2** Uniform Hazard Spectrum (UHS) for 2% PE in 50 years, Conditional Mean Spectrum (CMS) for  $T^* = 3.81$  sec, response spectra for 11 scaled GMs selected for similarity to the CMS, and their geomean.



**Figure 3** Uniform Hazard Spectrum (UHS) for 2% PE in 50 years, Conditional Mean Spectrum (CMS) for  $T^* = 3.38$  sec, response spectra for 11 scaled GMs selected for similarity to the CMS, and their geomean.



**Figure 4** Uniform Hazard Spectrum (UHS) for 1% PE in 50 years, Conditional Mean Spectrum (CMS) for  $T^* = 3.38$  sec, response spectra for 11 scaled ground motions selected for similarity to the CMS, and their geomean.



## 5 Structural Response for Three Damping Models

Nonlinear RHAs of the two structural systems (Section 3) subjected to the pertinent set of 11 GMs (Section 4) were performed using OpenSees [McKenna et al. 2000; McKenna 2011] for three different damping models (Section 2). These results were processed to determine: (1) the average value of demand over 11 GMs; and (2) the largest demand on the building among the 11 GMs. Both of these response data are of direct interest because some design codes and guidelines specify limits on one [ASCE 41-17 2017] or both [PEER 2017] response quantities.

Structural engineers evaluate story drifts, which are measures of global system behavior, as well as member and connection rotations, which are measures of local system behavior when implementing a performance-based seismic design. Acceptance criteria for plastic rotations vary by element type (e.g., moment-frame beams and connections, braced-frame beams and connections, and coupling beams in a wall building). For welded cover-plate flanges with connections designed by post-Northridge criteria, ASCE 41-17 [2017] limits plastic rotations to 2.33% and 3.1% rad for life safety and collapse prevention, respectively; however, generally applicable limits on global demands are not specified. For special building types—e.g., schools and hospitals—drift limits are specified in other codes or standards, e.g., the California Building Code (CBC) and California Existing Building Code (CEBC). Sometimes the drift limit is determined indirectly from performance requirements for non-structural components. In contrast, PEER’s TBI Guidelines [2017] provide acceptance criteria for story drifts: the average value of peak-story drifts over 11 GMs should not exceed 3%, and the largest value over all GMs should not exceed 4.5%; limits on residual drifts are also specified.

There is no direct way of evaluating different damping models in the absence of a benchmark model for damping or the *exact* seismic demand on a structure due to a given GM. However, as cited earlier, researchers have argued that linear viscous damping models are unconservative because they underestimate seismic demands compared to nonlinear models, such as Rayleigh damping with tangent stiffness or the capped damping model. In this section, the results of nonlinear RHA will be presented and interpreted to determine if and when the underestimation by two linear viscous damping models—Rayleigh damping (with initial stiffness) and constant modal damping—relative to the capped damping model is small enough to be acceptable.

## 5.1 TWENTY-STORY FRAME: SIMPLE MODEL

Presented in Figure 5 and Table 1(a) are the average values of peak floor displacements, peak story drifts (expressed as percent of story height), and peak plastic rotations for the simple model with 2% damping; these structural responses are essentially identical for the two linear viscous damping models but both underestimate the demands relative to the capped damping model by less than 11%; see Table 2(a).

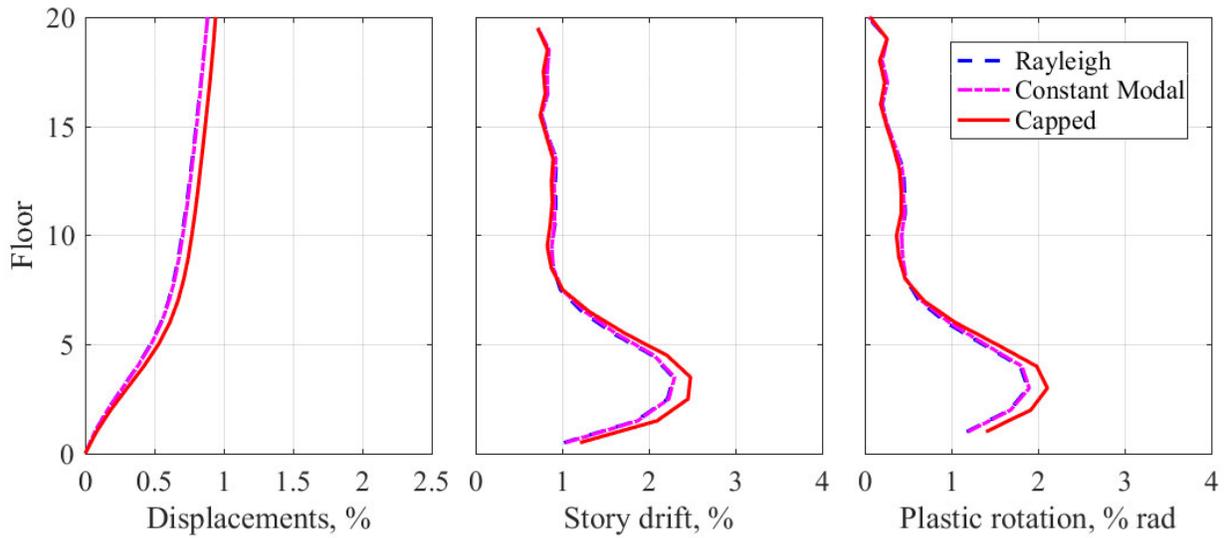
The response of the structure to the GM 1188, which imposes the largest demands among the 11 GMs, is plotted in the same format in Figure 7; in addition, the variation of roof displacement with time is presented in Figure 6. Observe that the response is, essentially, identical among the three damping models for the first 100 sec of the GM, but thereafter the results begin to diverge; see Figure 6. For this GM of very long duration, the peak demands occur near the end of the excitation. Interstory drifts of over 6% and plastic rotations just below 6% radian are significantly larger than the 4.5% allowed by design guidelines [PEER 2017; ASCE 2017]. At these large inelastic deformations [Table 1(a)], the constant modal damping model underestimates seismic demands by 18–21% relative to the capped damping model; greater underestimation of 23–26% is observed for the Rayleigh damping model; see Table 2(a).

**Table 1 Seismic demands on a simple model of the 20-story frame for three damping models due to GMs with 2% PE in 50 years; damping = 2% and 5%.**

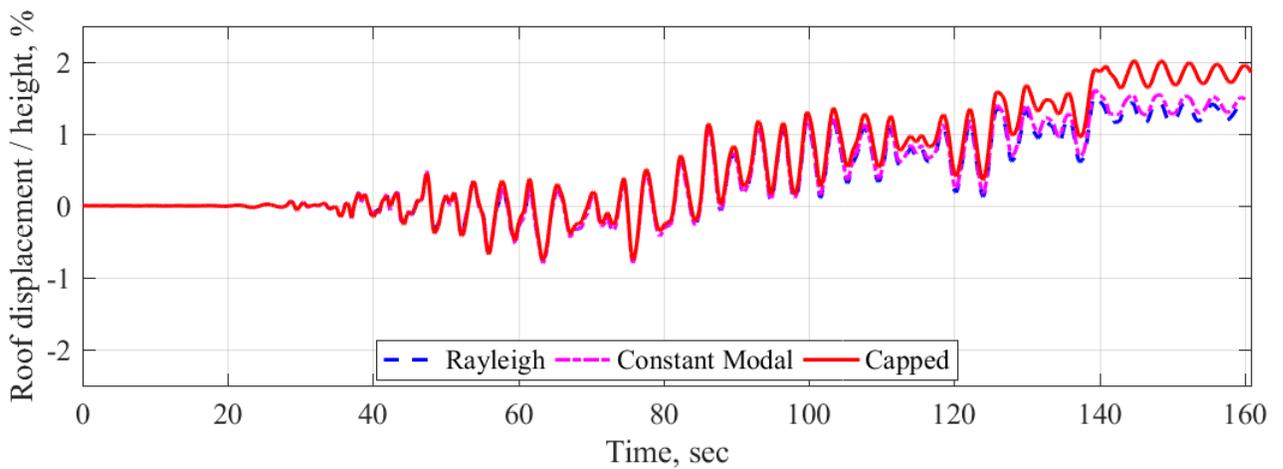
Damping Model	(a) 2% damping				(b) 5% damping			
	Avg of 11 GMs		Max over 11 GMs		Avg of 11 GMs		Max over 11 GMs	
	Story drift %	Plastic rotations % radians	Story drift, %	Plastic rotations % radians	Story drift %	Plastic rotations, % radians	Story drift %	Plastic rotations % radians
Rayleigh	2.28	1.88	4.72	4.36	2.01	1.54	3.88	3.50
Constant modal	2.30	1.90	4.98	4.64	2.04	1.63	4.22	3.85
Capped	2.48	2.11	6.11	5.85	2.13	1.73	4.44	4.02

**Table 2 Underestimate (%) in seismic demands on a simple model of the 20-story frame by linear viscous damping models relative to capped damping subjected to GMs with 2% PE in 50 years; damping = 2% and 5%.**

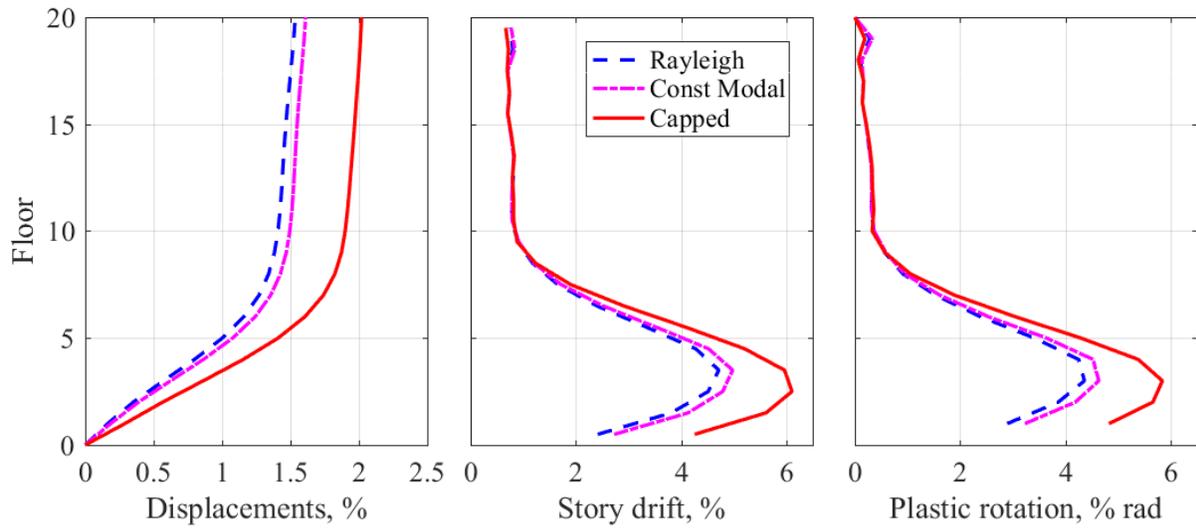
Damping Model	(a) 2% damping				(b) 5% damping			
	Avg of 11 GMs		Max over 11 GMs		Avg of 11 GMs		Max over 11 GMs	
	Story drift %	Plastic rotations, % radians	Story drift %	Plastic rotations % radians	Story drift %	Plastic rotations, % radians	Story drift %	Plastic rotations, % radians
Rayleigh	8.1	10.9	22.8	25.4	5.6	11.0	12.5	13.0
Constant modal	7.3	10.0	18.5	20.7	4.2	5.8	4.9	4.2



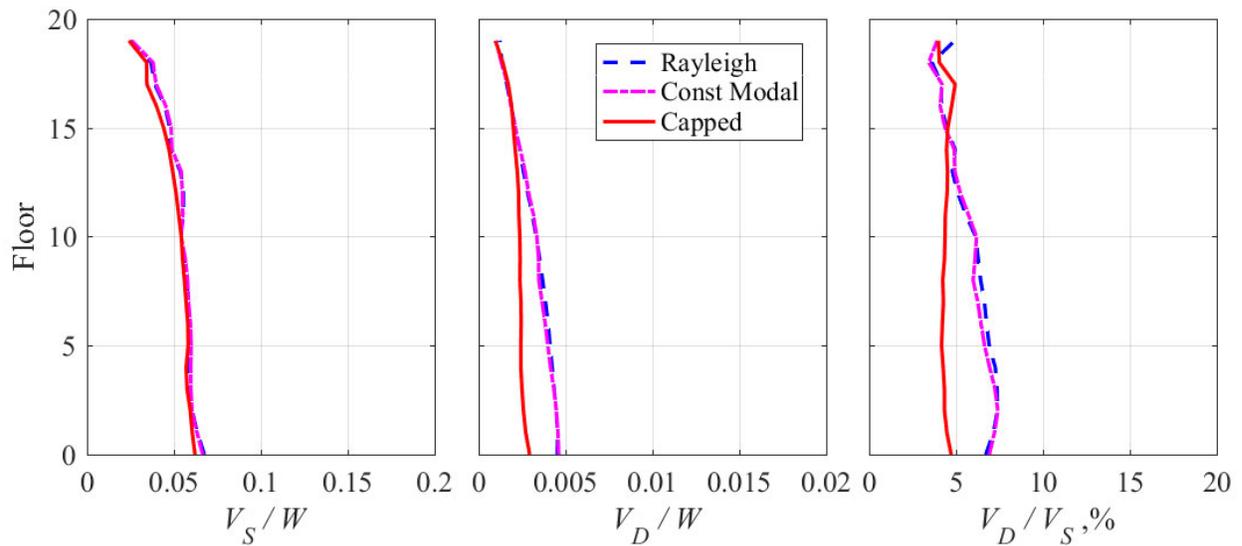
**Figure 5** Average (over 11 GMs) seismic demands on a simple model for the 20-story frame for three damping models due to GMs with 2% PE in 50 years; 2% damping: (a) peak floor displacements; (b) peak story drifts; and (c) peak plastic rotations.



**Figure 6** Response history of roof displacement of a simple model for the 20-story frame for three damping models subjected to GM 1188 scaled corresponding to 2% PE in 50 years; 2% damping.



**Figure 7** Maximum (over 11 GMs) seismic demands on a simple model for the 20-story frame for three damping models due to GMs with 2% PE in 50 years; 2% damping: (a) peak floor displacements; (b) peak story drifts; and (c) peak plastic rotations.



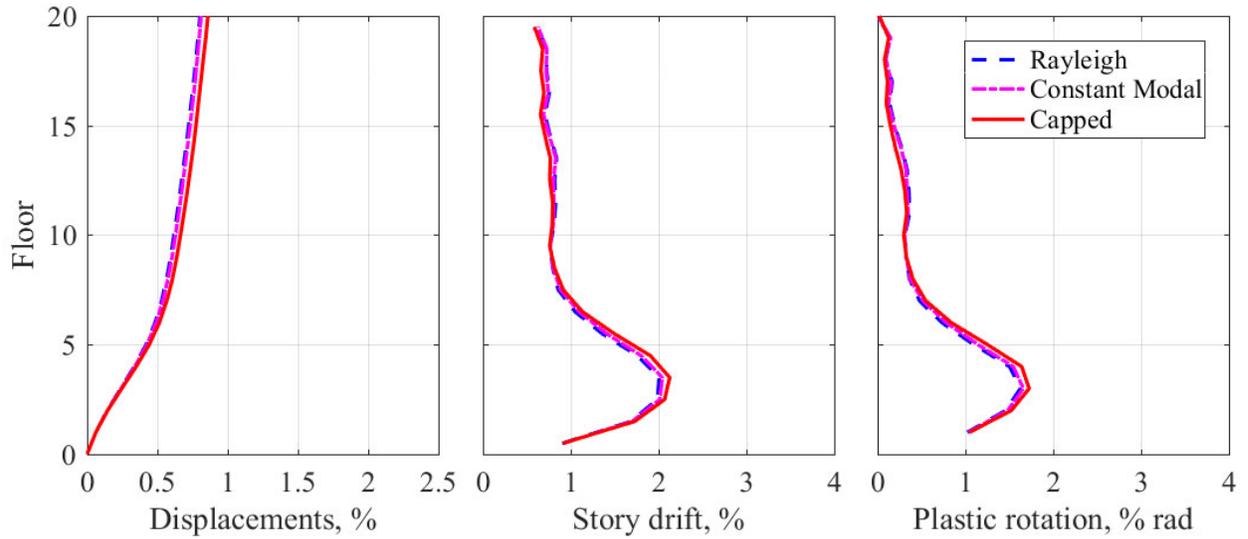
**Figure 8** Maximum (over 11 GMs) forces in a simple model of the 20-story frame for three damping models due to GMs with 2% PE in 50 years; 2% damping: (a) peak shear force  $V_S$ ; (b) peak damping force  $V_D$ ; and (c) ratio  $V_D/V_S$ .

Presented in Figure 8 are the height-wise distribution of peak story shears,  $V_S$ , and peak story damping forces,  $V_D$ , both normalized relative to the total weight of the building and the ratio  $V_D/V_S$ ; computation of  $V_D$  and  $V_S$  is described in Appendix E. Because all stories of the building yielded, the  $V_S/W$  plot is the same for all damping models; it is simply the height-wise distribution of the story strengths. The ratio  $V_D/V_S$  is close to 4%, the limit of  $2\zeta$  imposed in the capped damping model, but this ratio reaches approximately 7% (or  $3.5\zeta$ ) for the linear damping models. Note that although larger than  $2\zeta$ ; this ratio is nowhere close to the alarming value of 46% (approximately  $9\zeta$ ) reported for a shear building with 5% damping [Hall 2006], even though story drifts and plastic rotations are quite large: approximately 6%.

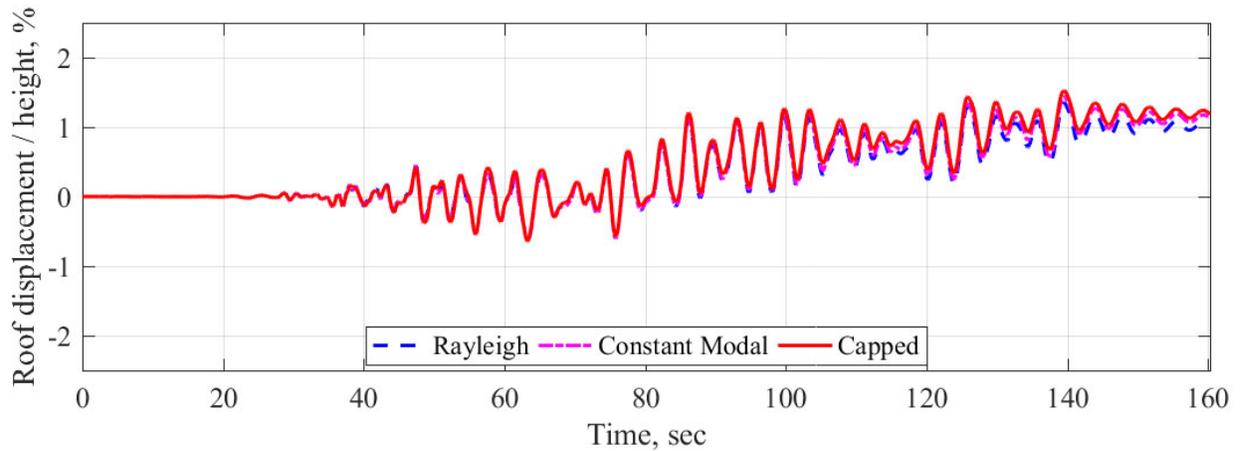
Although 2% damping assumed in the preceding example is generally consistent with measured values for tall steel buildings [Bernal et al. 2015] and recommended values [PEER 2017], we examine if the preceding observations would remain valid if damping were 5%, a value that had been commonly assumed for several decades. Larger damping tends to increase damping forces, which would suggest larger differences between structural responses with linear and capped damping models. However, it also reduces the response, implying less yielding, which would suggest smaller differences between the two responses; after all, linear and capped damping models would give essentially identical response in the absence of yielding of the structure.

To explore the overall effect of the two competing factors, nonlinear RHAs of the 20-story frame with 5% damping subjected to the same 11 GMs were repeated. The average responses are shown in Figure 9, and the maximum demands (over 11 GMs) due to GM 1188 in Figure 10 and Figure 11. The story drifts and plastic rotations are now reduced, and the constant modal damping model is able to closely follow the roof displacement history determined using the capped damping model during the entire 160 sec duration of the GM. For this GM, the constant modal damping model predicts peak demands within 5% of those from the capped damping model; in contrast, the Rayleigh damping model underestimates demands by 13%; see Table 2 (b). At average (over 11 GMs) plastic rotations less than 2% rad [Table 1(b)], the linear damping models underestimate seismic demands to a lesser degree: see Table 2(b).

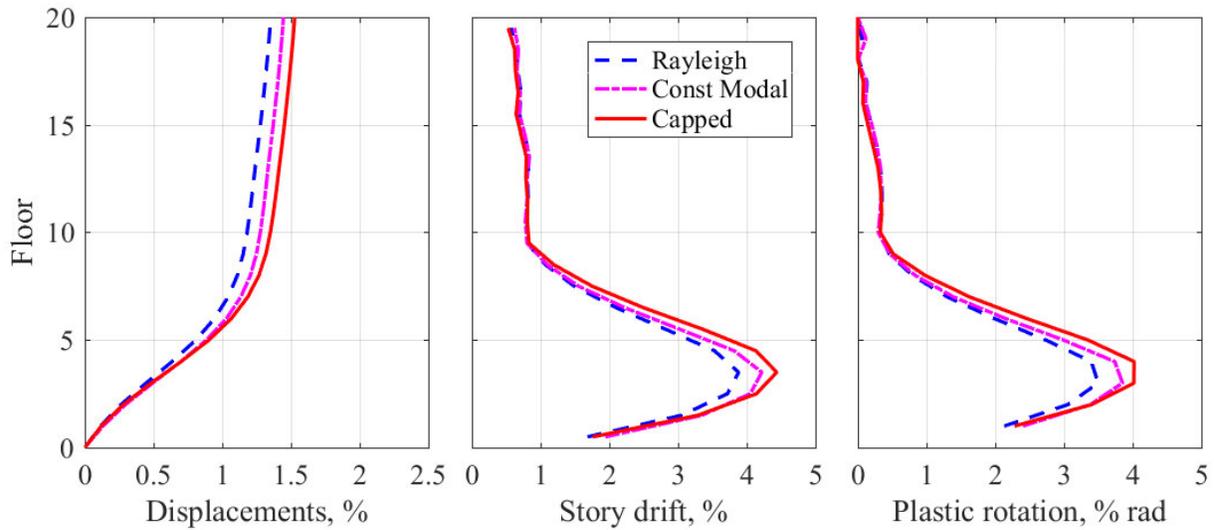
Compared to Rayleigh damping, the constant modal damping model consistently predicts seismic demands closer to the capped damping model. Rayleigh damping implies much higher damping in the higher modes of vibration compared to the latter model, thus underestimating the seismic demands to a greater degree; see Table 2.



**Figure 9** Average (over 11 GMs) seismic demands on a simple model of the 20-story frame for three damping models due to GMs with 2% PE in 50 years; 5% damping: (a) peak shear drifts; (b) peak story drifts; and (c) peak plastic rotations.



**Figure 10** Response history of roof displacement of a simple model of the 20-story frame for three damping models subjected to GM 1188 scaled corresponding to 2% PE in 50 years; 5% damping.



**Figure 11** Maximum (over 11 GMs) forces on a simple model of the 20-story frame for three damping models due to GMs with 2% PE in 50 years; 5% damping: (a) peak floor displacements; (b) peak story drifts; and (c) peak plastic rotations.

## 5.2 TWENTY-STORY BUILDING: ENHANCED MODEL

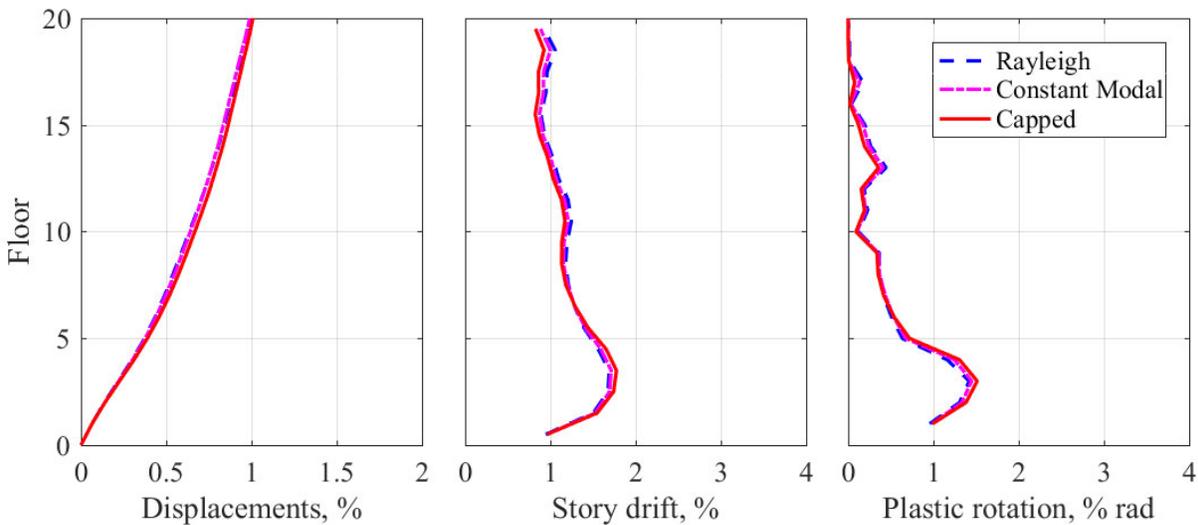
Nonlinear response history analysis of the enhanced model of the 20-story building with 3% damping was implemented for 11 GMs corresponding to probability of exceedance (PE) of 2% in 50 years (average return period = 2475 years), which is the  $MCE_R$  event defined in design codes and guidelines. Presented in Figure 12 are average values (over 11 GMs) of peak floor displacements, peak story drifts, and peak plastic rotations. The seismic demands are similar for the two linear damping models, with the constant modal damping model resulting in slightly larger responses; see Table 3(a). It underestimates the demands relative to the capped damping model by 3–5%, whereas Rayleigh damping underestimates them by 5–6%; see Table 4(a).

**Table 3** Seismic demands on an enhanced model of the 20-story building for three damping models subjected to 11 GMs with: (a) 2% PE in 50 years; and (b) 1% PE in 50 years.

Damping Model	(a) PE = 2% in 50 years				(b) PE = 1% in 50 years			
	Avg of 11 GMs		Max over 11 GMs		Avg of 11 GMs		Max over 11 GMs	
	Story drift %	Plastic rotations % radians	Story drift %	Plastic rotations % radians	Story drift %	Plastic rotations % radians	Story drift %	Plastic rotations % radians
Rayleigh	1.69	1.42	2.48	2.49	2.02	1.89	4.03	4.96
Constant modal	1.71	1.45	2.55	2.62	2.09	1.98	4.35	5.42
Capped	1.78	1.51	2.56	2.64	2.18	2.10	4.35	5.39

**Table 4 Underestimate (%) in seismic demands on an enhanced model of the 20-story building by linear viscous damping models relative to capped damping subjected to GMs with: (a) 2% PE in 50 years; and (b) 1% PE in 50 years.**

Damping Model	(a) 2% in 50 years				(b) 1% in 50 years			
	Avg of 11 GMs		Max over 11 GMs		Avg of 11 GMs		Max over 11 GMs	
	Story drift %	Plastic rotations % radians	Story drift %	Plastic rotations % radians	Story drift %	Plastic rotations % radians	Story drift %	Plastic rotations % radians
Rayleigh	4.8	6.2	3.2	5.7	7.3	9.8	7.4	8.0
Constant modal	3.5	4.4	0.2	0.9	4.2	5.7	0.1	-0.6

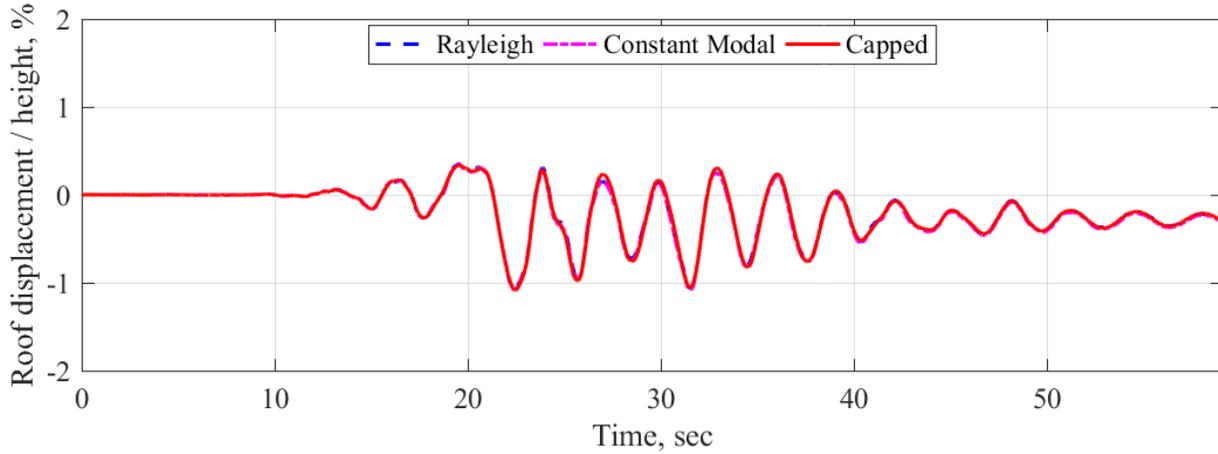


**Figure 12 Average (over 11 GMs) seismic demands on an enhanced model of the 20-story building for three damping models due to GMs with 2% PE in 50 years: (a) peak floor displacements; (b) peak story drifts; and (c) peak plastic rotations.**

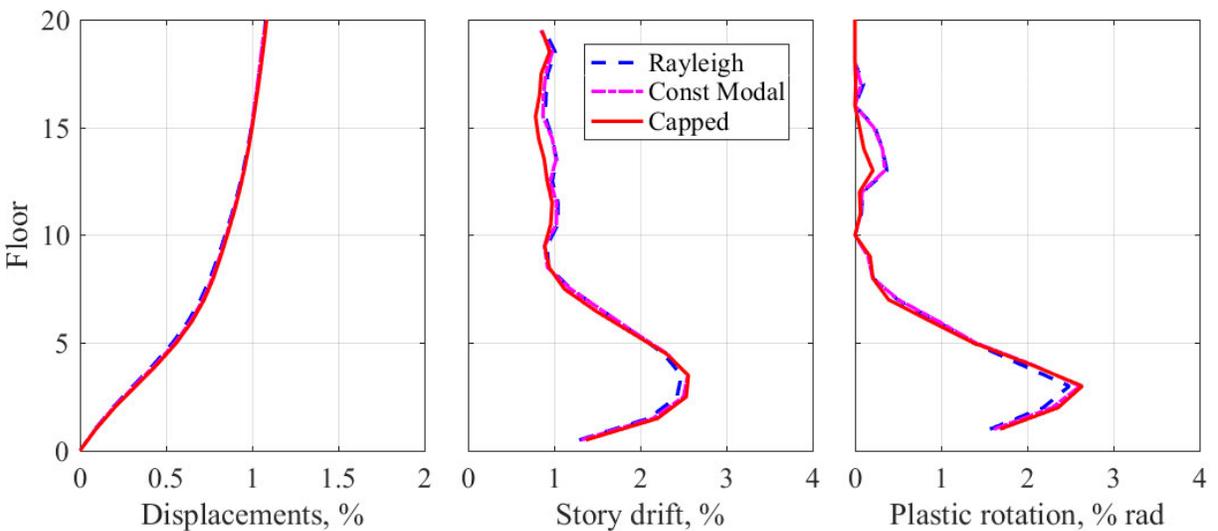
The response of the enhanced model to GM 6953, which imposes the largest demands among the 11 GMS, are plotted in the same format in Figure 14; in addition, the variation of roof displacement with time is presented in Figure 13. Story drifts due to this ground motion exceed 4% and plastic rotations are large. Observe that the roof displacement is essentially unaffected by bounding the damping forces. At the moderate inelastic deformations, indicated by story drifts of approximately 2.5% and plastic rotations of 2.5% rad, the seismic demands on the frame with constant modal damping are essentially identical to those obtained with capped damping, whereas Rayleigh damping underestimates seismic demands by 3–6%; see Table 4(a).

Presented in Figure 15 are the height-wise distributions of the peak story shears,  $V_S$ , and peak story damping forces,  $V_D$ , normalized relative to the total weight of the building; and the ratio

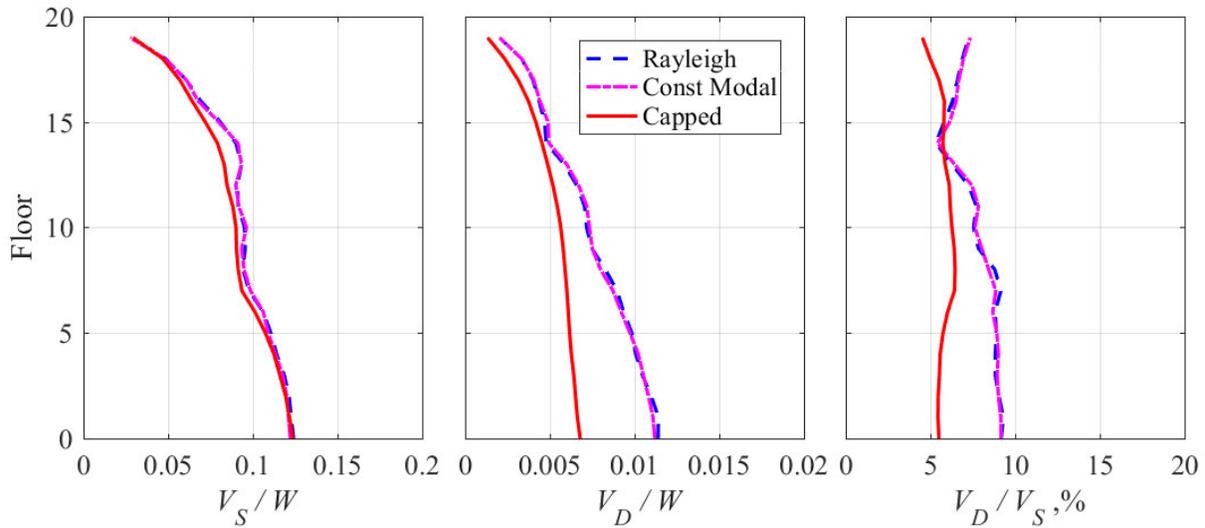
$V_D/V_S$ . Because all stories of the building yielded, the  $V_S/W$  plot is the same for all damping models; it is simply the height-wise distribution of the story strengths. The ratio  $V_D/V_S$  is approximately 6%, the limit of  $2\zeta$  imposed in the capped damping model, but this ratio at the base is close to 9% (or  $3\zeta$ ) for the linear damping models. Because of this “excessive” damping force, the seismic demands are underestimated, but only slightly: less than 1% by the constant damping model and 3–6% by Rayleigh damping; see Table 4(a).



**Figure 13** Response history of roof displacement of an enhanced model of the 20-story building for three damping models subjected to GM 6953 scaled corresponding to 2% PE in 50 years.



**Figure 14** Maximum (over 11 GMs) seismic demands on an enhanced model of the 20-story building for three damping models due to GM 6953 scaled corresponding to 2% PE in 50 years: (a) peak floor displacements; (b) peak story drifts; and (c) peak plastic rotations.



**Figure 15** Maximum (over 11 GMs) forces on an enhanced model of the 20-story building for three damping models due to GM 6953 scaled corresponding to 2% PE in 50 years: (a) peak shear force  $V_S$ ; (b) peak damping force  $V_D$ ; and (c) ratio  $V_D/V_S$ .

Based on these results, it is reasonable to conclude for this building that the linear damping models considered here, Rayleigh damping and constant modal damping, are satisfactory for estimating seismic demands due to  $MCE_R$  design-level GMs. Between these two linear models, constant modal damping is recommended because it leads to larger demands that are closer to those obtained using the capped damping model. These results indicate that at  $MCE_R$  design-level GMs, the building with constant modal damping satisfies the story drift and plastic rotation limits of 4.5% and 3.1% rad, respectively, specified in design guidelines. Bounding the damping forces—such that  $V_D/V_S$  does not exceed  $2\zeta$ —does not increase the seismic demands significantly enough to reach a contradictory conclusion.

For more intense GMs causing larger inelastic deformations, the underestimation in response using linear damping models may increase. To explore whether linear damping models would then become unacceptable, we examine the response to 11 GMs associated with earthquake events with 1% PE in 50 years, i.e., average return period of 4975 years, which is twice that corresponding to the  $MCE_R$  event.

Presented in Figure 16 and Table 3(b) are the average (over 11 GMs) values of the peak floor displacements, peak story drifts, and peak plastic rotations; story drifts now exceed 2%, and plastic rotations are approximately 2% rad. At these inelastic deformations, the linear damping models underestimate average seismic demands relative to the capped damping model to a greater degree: 4–6% for constant modal damping and 7–10% in the case of Rayleigh damping; see Table 4(b).

The response of the enhanced model to GM 6953, which imposes the largest seismic demands among the 11 GMs, are presented next; the roof displacement history in Figure 17, and the height-wise distribution of peak values of floor displacements, story drifts, and plastic rotations in Figure 18. Story drifts due to this ground motion exceed 4%, and plastic rotations are larger

than 5% rad. Observe that all three damping models give very similar roof displacement histories; more precisely, the peak values of roof displacement, story drifts, and plastic rotations are slightly underestimated by linear viscous damping models. The constant modal damping model underestimated seismic demands by less than 1% and the Rayleigh damping model by 7–8%; see Table 4(b). This underestimation results from the fact that “excessive” damping forces are developed in linear viscous damping models, as indicated by the  $V_D/V_S$  ratio in several stories of the building being close to 10% in contrast to the  $2\zeta = 6\%$  in the capped damping model; see Figure 19. Observe by comparing data in Table 4(a) and Table 4(b) that, when viscous damping is represented by linear models, the underestimation of average seismic demands increases with the intensity of GMs.

Although larger than  $2\zeta$ , the  $V_D/V_S$  ratio is nowhere close to the alarming value of 46% (or  $9\zeta$ ) reported for a shear building with 5% damping [Hall 2006]. Among the 22 cases\* analyzed, the largest value of  $V_D/V_S$  was approximately 12% (or  $4\zeta$ ), well below the 20% considered as dubious [Hall 2018].

Based on these results, it is reasonable to conclude for this building that the constant modal damping model is satisfactory for estimating seismic demands due to GMs with PE of 1% in 50 years, seismic demands are underestimated relative to the capped damping model by less than a few percent. Between the two linear models, the constant modal damping model is preferred because it leads to larger demands. This is a prudent choice in the absence of a benchmark result.

The degree to which seismic demands are underestimated by linear damping models varies with ground-motion characteristics. This is illustrated in Table 5 and Table 6 by comparing the responses computed for two excitations: GM 6953, which produced the largest demands (over 11 GMs) and GM 185. Recall that both (in fact, all 11) GMs have been scaled to match the spectral acceleration  $A(T_1)$  of the UHS. When scaled to PE of 2% in 50 years, GMs 185 and 6953 cause story drifts of  $\sim 2\%$  and  $\sim 2.5\%$ , respectively; at PE of 1% in 50 years, the two GMs cause story drifts of  $\sim 2.5\%$  and  $\sim 4\%$ ; see Table 5. Although GM 185 causes significantly less yielding relative to GM 6953, contrary to expectation, linear damping models underestimate its seismic demands by a significantly larger degree. For example, at PE of 1% in 50 years, story drifts due to GM 185 were underestimated by 9.6% in the case of constant modal damping, but only by 0.1% when the excitation was GM 6953; see Table 6. This observation indicates that (1) the inelastic response of buildings depends in a complex manner on the detailed characteristics of GMs, and (2) spectral acceleration  $A(T_1)$  is an inadequate intensity measure for selecting GMs to be used in nonlinear RHA of buildings. This is not surprising when we recall that  $A(T_1)$  characterizes completely the response of a linear single-degree-of-freedom system, but not if the simple system is inelastic. It is even less appropriate a GM intensity measure for inelastic response of buildings.

The influence of increasing intensity of GMs on seismic demands and their underestimation by linear damping models is investigated further by considering a wider range of intensities. The peak seismic demands—story drifts and plastic rotations—due to GM 6953 scaled to five intensities, including the two corresponding to PEs 2% in 50 years and 1% in 50 years, are presented in Figure 20; also included is the percent underestimation of demand by linear damping models relative to capped damping. With increasing intensity of the GM, as expected, the seismic demands increase. The percent underestimation of demands also increases but not necessarily

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\* Two sets of 11 GMs corresponding to PEs of 2% and 1% in 50 years.

monotonically, which is yet another indication of the complexity of dynamics of buildings deforming far into the inelastic range.

The most intense GM considered—which was 50% more intense than 2% PE in 50 years and approximately 20% more intense than 1% PE in 50 years—caused story drift exceeding 15% and plastic rotation of 22% radian. Seismic demands were underestimated by less than 10% when constant modal damping was used, but by almost 30% in the case of Rayleigh damping. Clearly, the latter model is unacceptable.

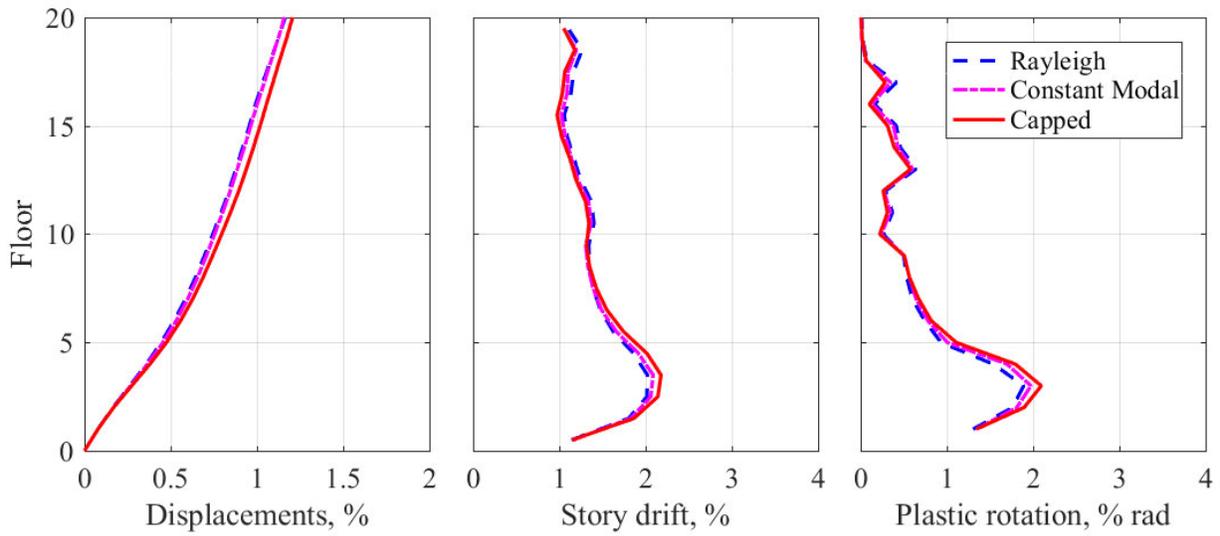
As noted earlier, the seismic demands on the building with the capped damping model are expected to be larger relative to linear damping models. This is what has been observed in most of the 22 cases analyzed; however, there were occasional exceptions. One of these is identified in Table 6(b) where the capped damping model led to 0.6% smaller plastic rotation relative to constant modal damping.

**Table 5** Seismic demands on an enhanced model of the 20-story building for three damping models due to GMs 6953 and 185 scaled to (a) 2% PE in 50 years and (b) 1% PE in 50 years.

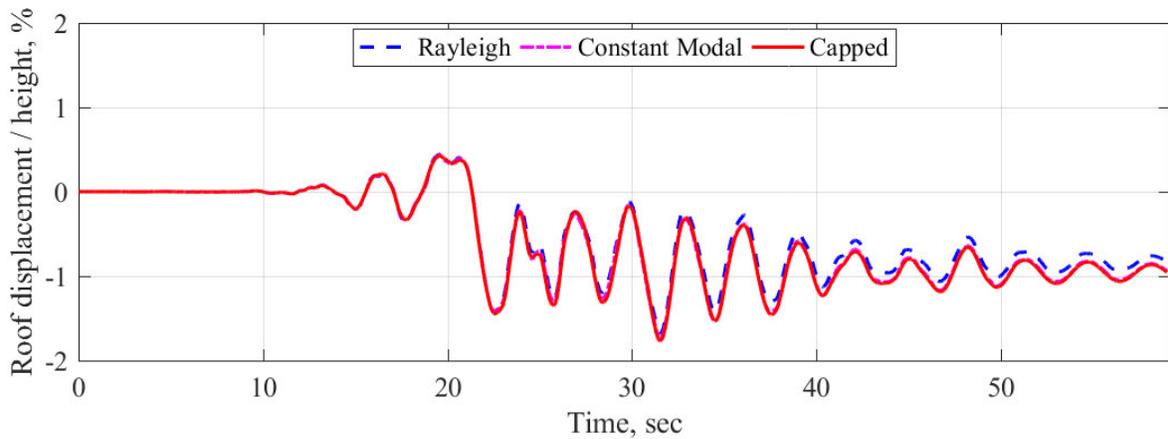
Damping Model	(a) PE = 2% in 50 years				(b) PE = 1% in 50 years			
	GM 6953		GM 185		GM 6953		GM 185	
	Story drift %	Plastic rotations % radians	Story drift %	Plastic rotations % radians	Story drift %	Plastic rotations % radians	Story drift %	Plastic rotations % radians
Rayleigh	2.48	2.49	2.05	1.81	4.03	4.96	2.32	2.22
Constant Modal	2.55	2.62	2.13	1.91	4.35	5.42	2.41	2.32
Capped	2.56	2.64	2.26	2.10	4.35	5.39	2.66	2.77

**Table 6** Underestimate (%) in seismic demands on an enhanced model of the 20-story building by linear viscous damping models relative to capped damping subjected to GMs 6953 and 185 scaled to (a) 2% PE in 50 years and (b) 1% PE in 50 years.

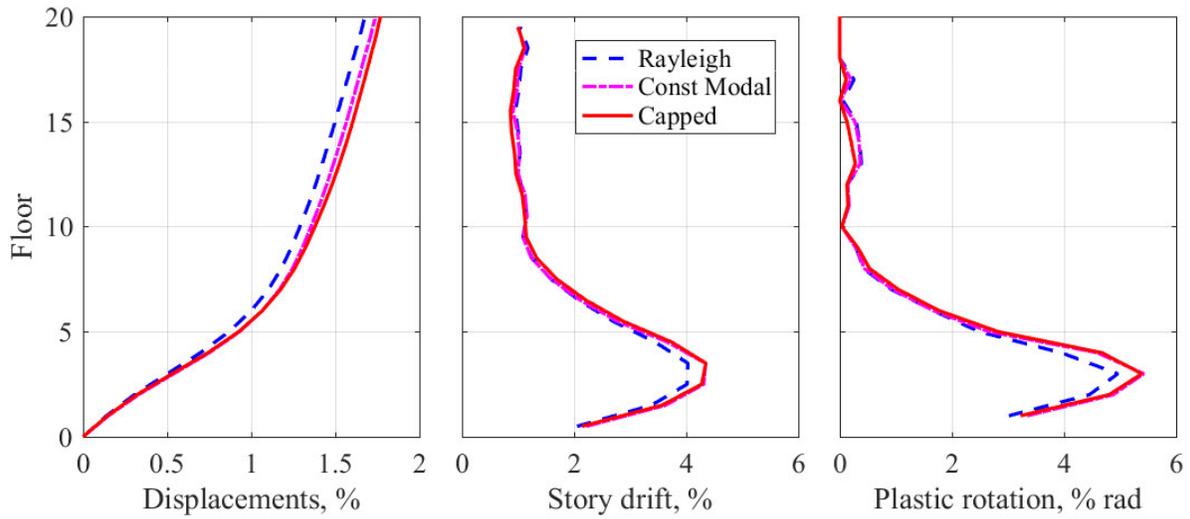
Damping Model	(a) PE = 2% in 50 years				(b) PE = 1% in 50 years			
	GM 6953		GM 185		GM 6953		GM 185	
	Story drift %	Plastic rotations % radians	Story drift %	Plastic rotations % radians	Story drift %	Plastic rotations % radians	Story drift %	Plastic rotations % radians
Rayleigh	3.2	5.7	9.3	14.0	7.4	8.0	12.8	19.9
Constant Modal	0.2	0.9	5.7	9.2	0.1	-0.6	9.6	16.3



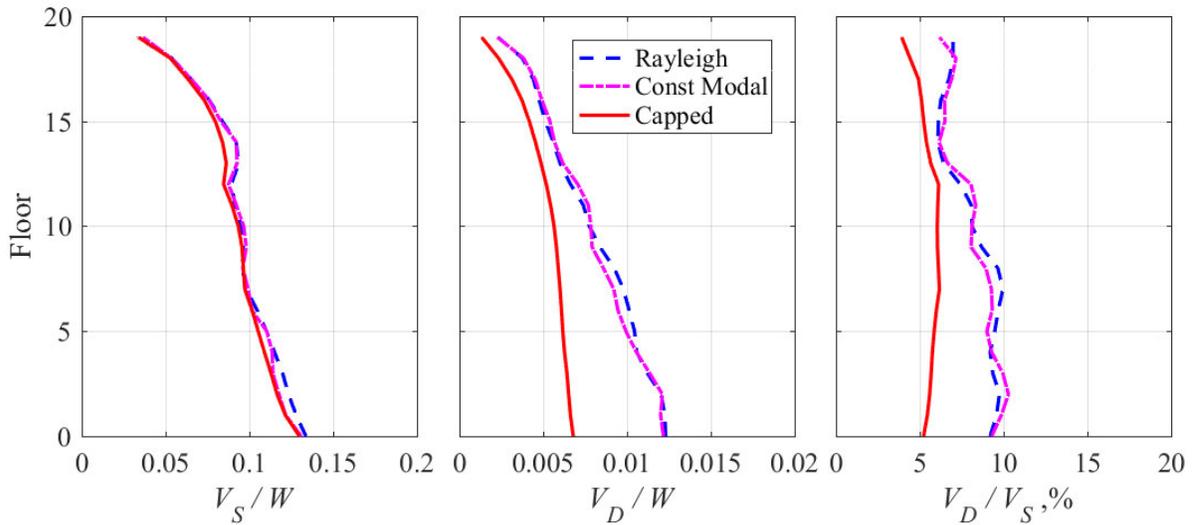
**Figure 16** Average (over 11 GMs) seismic demands on an enhanced model of the 20-story building for three damping models due to GMs with 1% PE in 50 years: (a) peak floor displacements; (b) peak story drifts; and (c) peak plastic rotations.



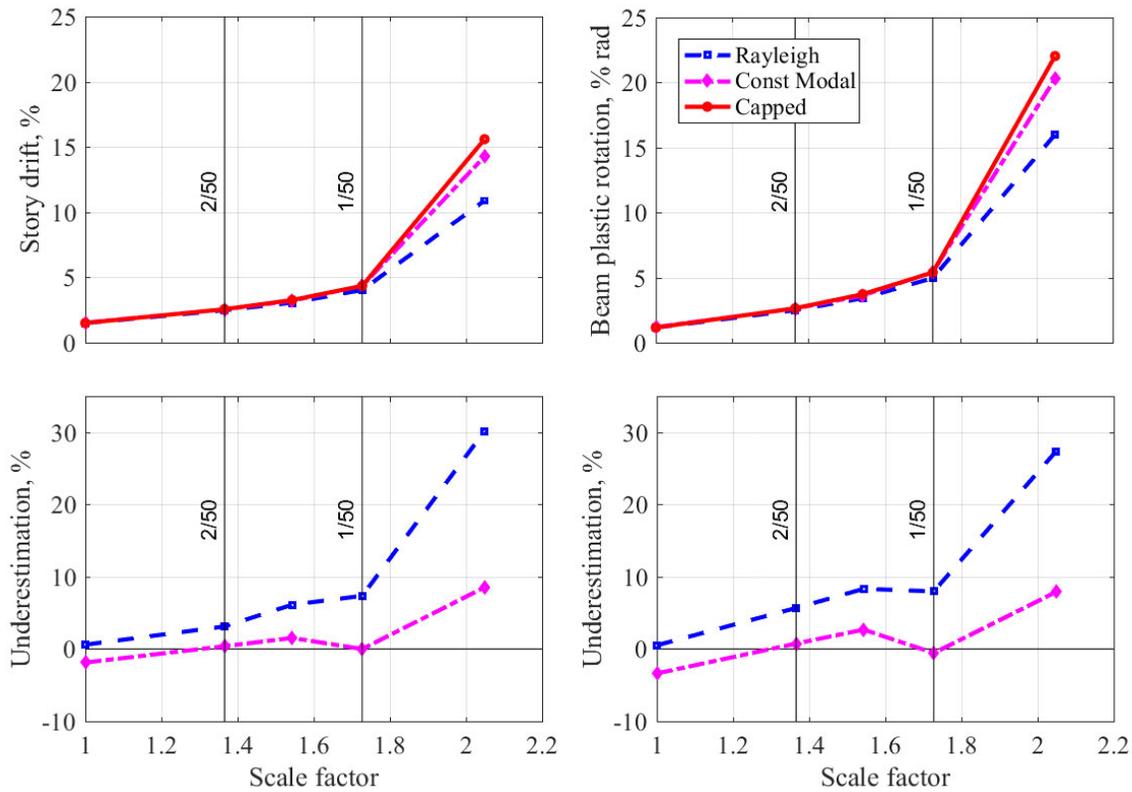
**Figure 17** Response history of roof displacement of an enhanced model of the 20-story building for three damping models due to GM 6953 scaled corresponding to 1% PE in 50 years.



**Figure 18** Maximum (over 11 GMs) seismic demands on an enhanced model of the 20-story building for three damping models due to GM 6953 scaled corresponding to 1% PE in 50 years: (a) peak floor displacements; (b) peak story drifts; and (c) peak plastic rotations.



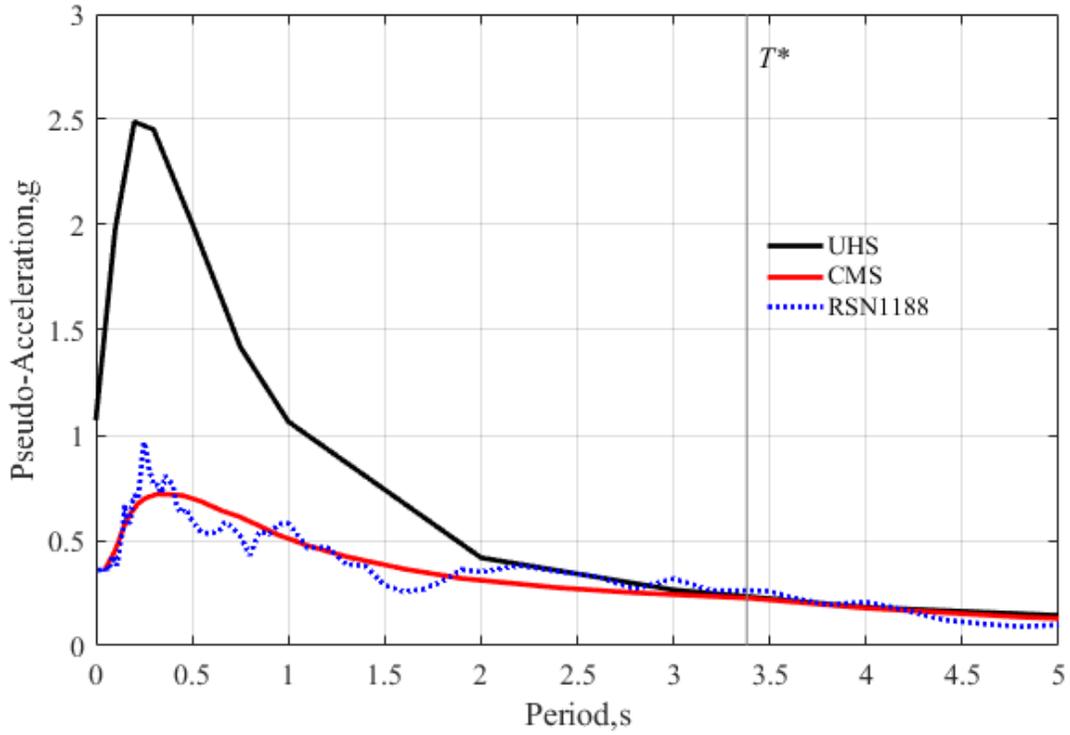
**Figure 19** Maximum (over 11 GMs) story forces on an enhanced model of the 20-story building for three damping models due to GM 6953 with 1% PE in 50 years: (a) peak shear force  $V_S$ ; (b) peak damping force  $V_D$ ; and (c) ratio  $V_D/V_S$ .



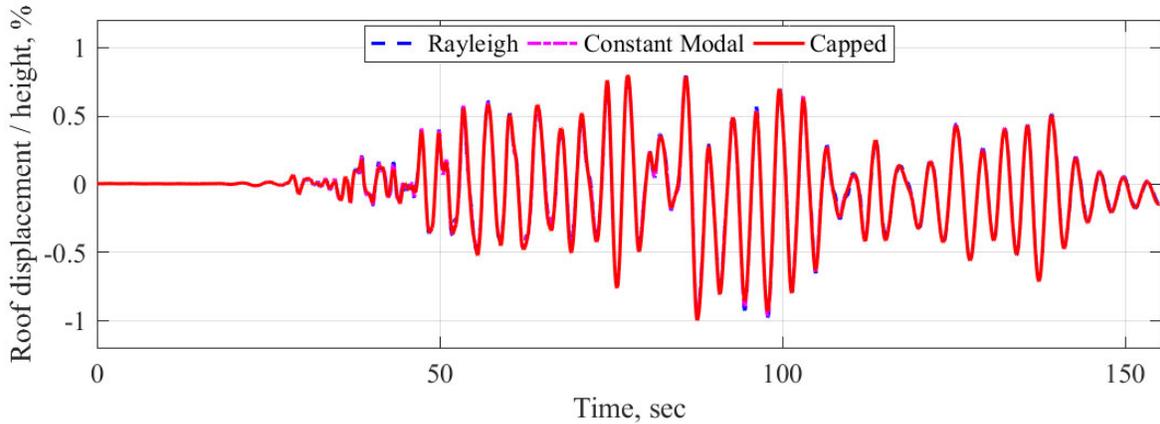
**Figure 20** Response of an enhanced model of the 20-story building for three damping models due to GM 6953 scaled to five different intensities, including the two corresponding to PE 2% and 1% in 50 years: Top two panels: seismic demands; and bottom two panels: underestimate by linear damping models.

Finally, we return to the GM that imposed the largest demands on either model of the building, combined with the most underestimation of demand by linear damping models. This occurred for the combination of GM 1188 with scale factor of 3.61 (Table D.1) and the simple model; results were presented in Table 1 and Figure 6 and Figure 7. The response spectrum for this scaled GM is plotted in Figure 21, together with the UHS for 2% PE in 50 years and the CMS with conditioning period  $T^* = 3.38$  sec., the fundamental vibration period of the enhanced model. Observe that  $A(T_1 = 3.38 \text{ sec})$  for this GM exceeds the CMS value, and the spectral shape is generally consistent with the CMS, indicating that this GM is an acceptable choice for analysis of the enhanced model for seismic hazard defined by 2% PE in 50 years.

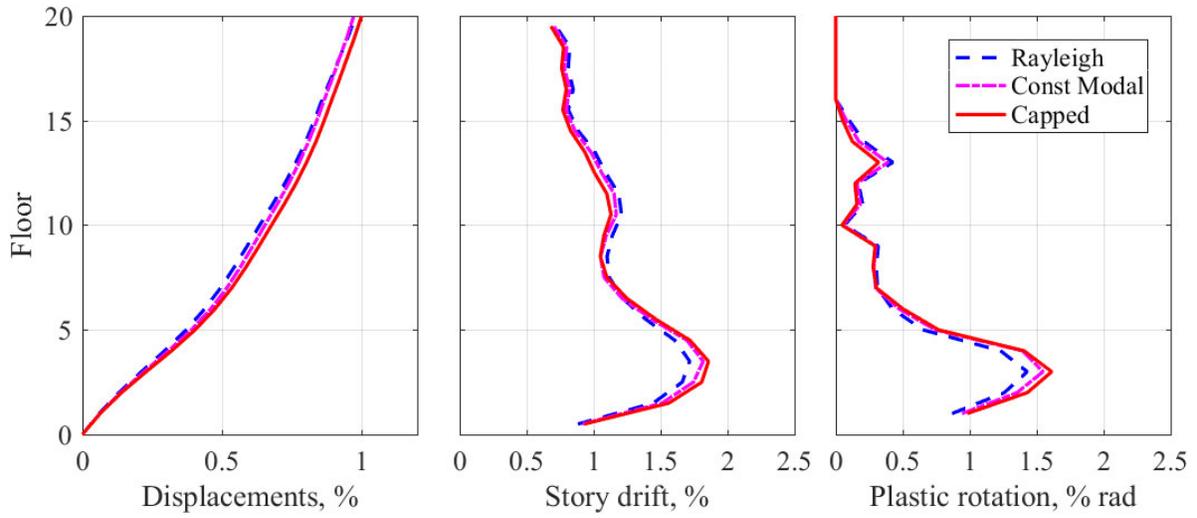
The response of the enhanced model to this GM is presented in Figure 22 and Figure 23. Comparison of Figure 22 and Figure 23 versus Figure 6 and Figure 7 indicates that the large residual displacement of the simple model is absent in the case of the enhanced model, and the seismic demands on the enhanced model are approximately one-third compared to the simple model. Most importantly, constant modal damping underestimates seismic demands on the enhanced model by only 4% compared to the unacceptably large underestimate of 21% (see Table 2) in the case of the simple model. These disparities demonstrate the importance of realistic modeling of buildings and selection of ground motions.



**Figure 21** Uniform Hazard Spectrum (UHS) for 2% PE in 50 years, Conditional Mean Spectrum (CMS) for  $T^* = 3.38$  sec, and the response spectrum for scaled GM 1188.



**Figure 22** Response history of roof displacement of an enhanced model of the 20-story building for three damping models due to scaled GM 1188.



**Figure 23** Seismic demands on an enhanced model of the 20-story building for three damping models due to scaled GM 1188: (a) peak floor displacements; (b) peak story drifts; and (c) peak plastic rotations.



## 6 Conclusions

This report has investigated the question: Can building response to  $MCE_R$  design-level ground motions (2% PE in 50 years) be estimated satisfactorily using linear viscous damping models or is a nonlinear model, such as capped damping, necessary? This question is pertinent for two reasons: First, nonlinear models have been recommended to limit damping forces in order to avoid underestimation of response; second, the capped damping model cannot be implemented readily in commercial computer codes, and it requires initial runs to define the lateral stiffness and lateral strength of each story.

This question was examined in the context of two models of a 20-story steel moment frame building: a simple centerline model and an enhanced model; the latter included several complex features to create a realistic model. The principal conclusions based primarily on the results for the enhanced model are as follows:

1. Linear viscous damping models are adequate for estimating seismic demands on buildings—designed to satisfy current story drift and plastic rotation limits—due to  $MCE_R$  design-level GMs. In contrast, earlier studies have questioned the validity of linear models, but they typically used simplistic models of buildings and/or extremely intense GM.
2. Between the two linear damping models—Rayleigh damping and constant modal damping—the latter is preferable for nonlinear RHA of buildings because it leads to modestly larger demands. This is a prudent choice in the absence of a benchmark or “exact” result.
3. We do not recommend the Rayleigh damping model in nonlinear RHA of buildings because it leads to smaller demands and hence could lead to the conclusion that design or evaluation criteria have been satisfied, when other linear damping models lead to the opposite conclusion. Furthermore, as mentioned in the Introduction, several researchers have identified various problems and deficiencies with Rayleigh damping depending on how the yielding elements in the building are modeled
4. Although constant modal damping is a satisfactory model for estimating seismic demands to  $MCE_R$  design-level ground motions—and even for 50% more intense GMs—it may not be appropriate for extreme motions that deform the structure close to collapse.

5. If the goal is to arrive at conclusions valid for professional practice, research investigations on modeling damping in nonlinear RHA of buildings should be based on realistic, state-of-the-practice models of buildings subjected to an ensemble of GMs that correspond to the  $MCE_R$  and have been selected by modern methods. The conclusions drawn here are from an investigation of an “actual” steel moment-frame building pushed close to the limit of 4.5% story drift [see Table 1(b)] imposed in modern design guidelines. Thus, these conclusions are expected to be valid for other MRF buildings designed to satisfy these criteria. However, such studies should be conducted on a variety of structural systems and materials to arrive at broadly applicable conclusions.

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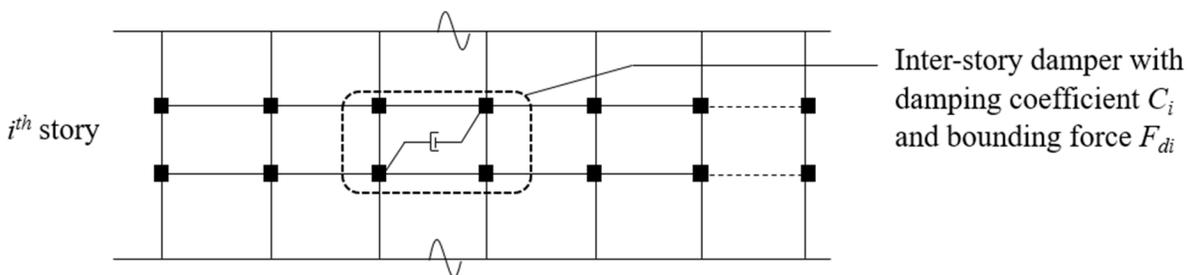
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# Appendix A Capped Damping Model

## A.1 STORY DAMPER COEFFICIENTS

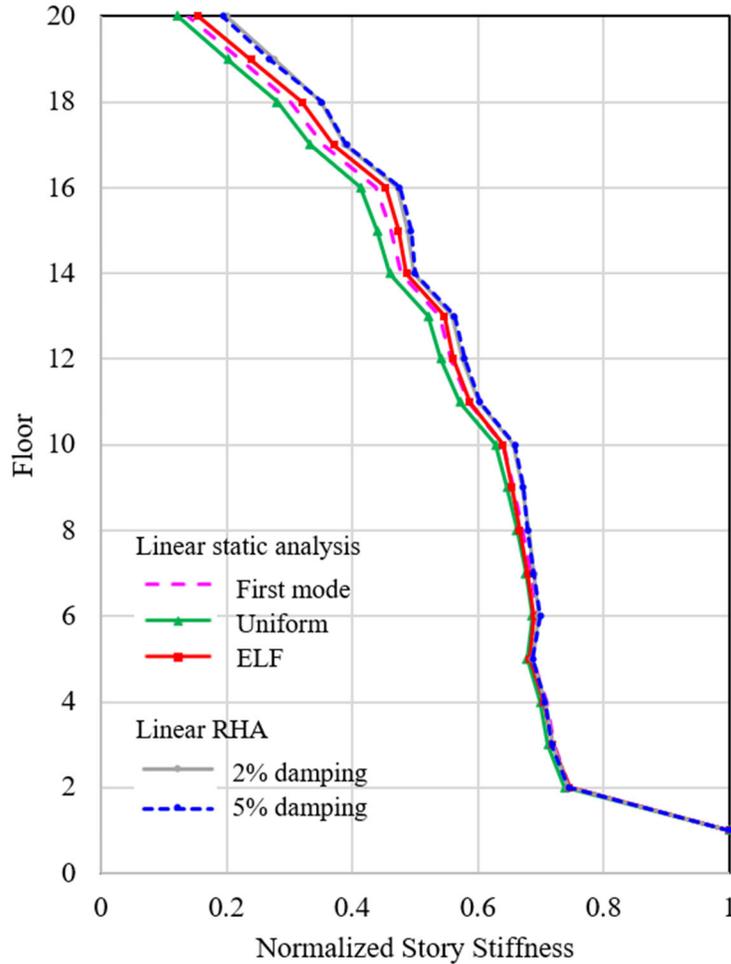
Energy dissipation in the linear range of vibration is modeled by interstory viscous dampers, which develop lateral damping forces proportional to the relative velocity between the two floors of a story. As shown in Figure A.1, these dampers were placed in the middle bay of the 5-bay frame; however, other placements of the dampers would be equivalent because horizontal translational DOFs of all nodes on a floor are constrained.

For the purposes of defining the height-wise distributions of  $C_i$ , and only for this purpose, lateral stiffnesses of stories were estimated by various indirect methods: linear static analysis with three different lateral force distribution—first mode, code equivalent lateral forces, and uniform [Chopra 2017, Section 23.4.1]— and a trial linear RHA of the building with constant modal damping. From the results of these analyses, the lateral stiffness of a story was determined as the ratio of story shear to story drift. Although valid for results of static analysis, this approach may be reasonable for RHA results only if the peak values of story shear and drift occur at the same time instant; and this was confirmed in the results of linear RHA selected to compute story stiffness. The height-wise distribution of lateral story stiffnesses of the simple model of the 20-story frame determined by all four methods is presented in Figure A.2. Observe that the results from linear RHAs for two values of the damping ratio—2% and 5%—are almost identical. Story stiffness distributions determined by the three static analyses are very close in the lower 10 stories but differ in the upper stories because of differences in the three force distributions. The mean story stiffness distributions determined from linear RHA of the building subjected to 11 GMs are essentially the same as those determined from static analyses in the lower 10 stories, but are larger in the upper stories, perhaps because of the complex dynamics of the 20-story frame.



**Figure A.1** Interstory viscous damper in the middle bay of the  $i^{\text{th}}$  story; representative of all stories.

In this study, the height-wise distribution of the  $C_i$ 's was assumed to be the same as the story stiffness distribution, determined from RHAs of the building. Note that the estimated values of story stiffness are not used in any part of the analyses other than to define the height-wise distribution of the  $C_i$  values required to determine their numerical values from Equation (3).



**Figure A.2** Story stiffness distribution estimated by linear static analyses with three different force distributions and by linear RHA with two values of constant model damping.

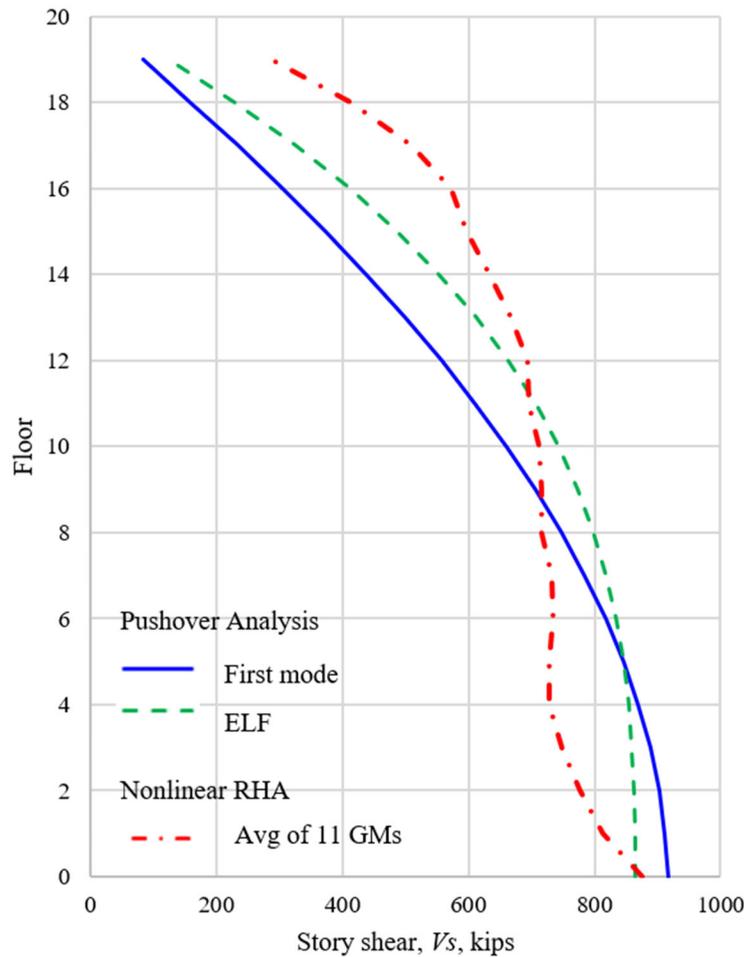
## A.2 BOUND ON STORY DAMPER FORCE

The bound on the damping force in each story is defined as  $2\zeta$  times the lateral strength of the story. The story strengths may be estimated by pushover analysis of the building. Nonlinear static analysis of the building subjected to gradually increasing lateral forces with a specified height-wise distribution leads to the pushover curve and the story shears when, ideally, all the stories have just yielded; however, inelastic deformation tends to be concentrated in the lower stories, which yield first; thereafter, further yielding tends to continue in those stories without additional deformation of the upper stories, making it often impractical to push the upper stories to yield. The computed story shears provide an estimate of the story strengths shown for the simple model in

Figure A.3 for two lateral force distributions: first mode and code-specified equivalent lateral forces [Chopra 2017; Section 23.4.1].

The story strengths may also be estimated by nonlinear RHA of the building subjected to a GM strong enough to cause yielding of all stories. These are given by the peak values of story shears determined by such an analysis. Used in this study are the average story strengths determined by nonlinear RHAs of the building (with 2% constant modal damping) subjected to 11 GMs presented in Figure A.3.

Note that these estimated values of story strengths are used only for establishing a bound on the story damper force, and not in any other part of the dynamic analyses.

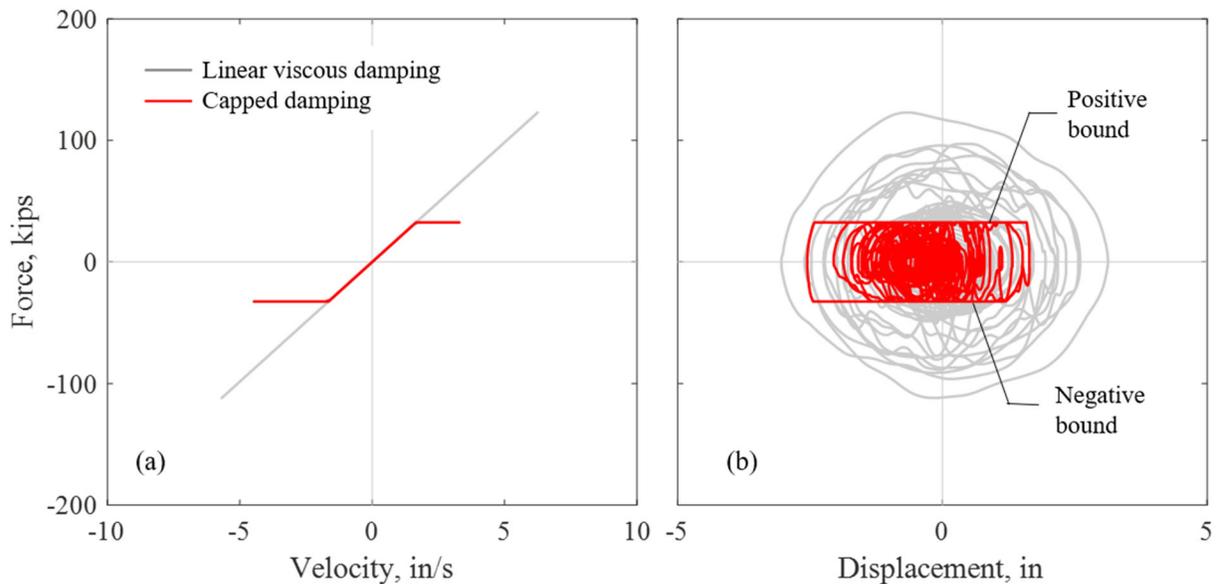


**Figure A.3** Story strengths estimated by pushover analyses with two different force distributions and nonlinear RHAs for 11 GMs.

### A.3 IMPLEMENTATION OF CAPPED DAMPING IN OPENSEES

An interstory damper is implemented as a zero-length element connected to the horizontal DOFs at the two floors of a story, with its force-velocity relationship defined by a uniaxial material model in OpenSees. The damping force-velocity relationship is bilinear, where the linear branch—defined by the damping coefficient  $C_i$ —is valid until the damping force reaches the bounding value; at larger velocities, the damping force remains constant at the bounding value.

Presented in Figure A.4 are the results from nonlinear RHA of the simple model of the 20-story building subjected to one of the 11 GMs for two models of interstory dampers: linear viscous damping and capped damping. For the damper in the third story of the building model, evolution of the damping force versus interstory relative velocity is shown in Figure A.4(a), and versus interstory drift in Figure A.4(b). At small amplitudes of motion—the two damping models are identical—the damping force is a linear function of velocity, and the damping force versus deformation plot is the familiar hysteresis loop of elliptical shape. At larger motions of the nonlinear damper with bounded force, the damping force-velocity relation is bilinear, and the hysteresis loop is truncated at positive and negative bounds on the damping force.



**Figure A.4** Plots of force in the third-story damper versus (a) interstory relative velocity and (b) interstory drift determined by RHA of a simple model of the 20-story frame.

## Appendix B Structural Models

The building considered is a 20-story steel moment-resisting frame building in Los Angeles that was designed according to the 1994 Uniform Building Code (UBC) as part of the SAC project for post-Northridge earthquake design criteria [Gupta and Krawinkler 1999; Krawinkler 2000]. The plan of the building and elevation of the moment-resisting perimeter frame, which is analyzed in this work, are presented in Figure B.1; the interior frames are gravity frames. Two planar models of an east–west, 5-bay perimeter frame of the building were developed: simple model and enhanced model. In both models the subterranean part of the building was ignored and the columns were fully clamped at the ground level.

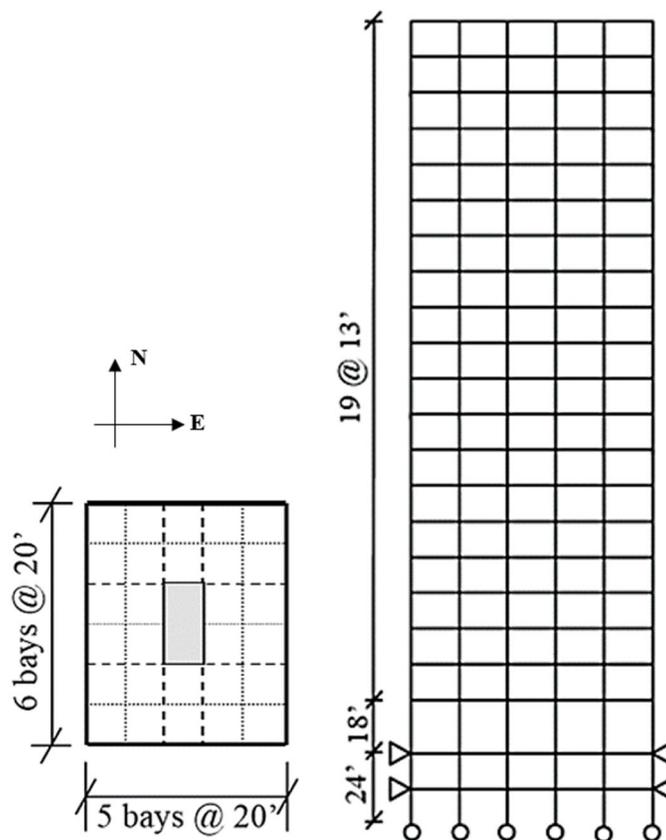


Figure B.1 Plan and elevation of SAC 20-story building designed for Los Angeles [Gupta and Krawinkler 1999].

The seismic masses were computed from the design dead and live loads, resulting in 40 kips-sec/ft at the 20<sup>th</sup> floor and 38 kips-sec/ft at floors 1–19 [Gupta and Krawinkler 1999, Appendix B].

## B.1 SIMPLE MODEL

Presented in Figure B.2 is a simple model of the perimeter frame, based on centerline dimensions; beams were modeled between centerlines of columns without rigid offsets; deformations in panel zones and the contribution of the top and bottom cover plates to stiffness and strength were not considered. The first three natural periods of vibration of the model are  $T_1 = 3.81$  sec,  $T_2 = 1.33$  sec, and  $T_3 = 0.77$  sec.

Each beam-and-column element was modeled as three elements in series: implicit zero-length rotational springs at the ends and an elastic element in between the hinges [Figure B.3(a)]. The unwanted internal rotational DOFs were condensed out. This approach was preferred over modeling hinges explicitly with damping omitted, because the latter approach leads to a damping model similar to tangent stiffness damping [Hall 2018], which is discarded for reasons mentioned in Chopra and McKenna [2016] and [Hall 2018].

The moment-rotation relation for plastic hinges is idealized by the multilinear backbone curve shown in Figure B.4(a), where  $M_y$  is the yield moment,  $M_c$  is the capping moment or peak moment,  $\theta_p$  is the pre-peak plastic rotation, and  $\theta_{pc}$  is the post-peak plastic rotation. For wide flange (WF) sections, definition of the backbone curve is based on Section 3.2.2 of the PEER/ATC-72 report [2010] for non-RBS (reduced-beam section) moment connections. In this reference, the modeling parameters were developed by multivariate regression analysis of a database of moment connection tests [Ibarra et al. 2005; Lignos and Krawinkler 2011]; modifications proposed by Ribeiro et al. [2017] were included in this study. The modeling parameters depend mainly on the geometry and section properties, including the depth-to-thickness ratio ( $h/t_w$ ), flange width-to-thickness ratio ( $b_f/2t_f$ ), ratio of unbraced-length to weak-axis radius of gyration ( $L_b/r_y$ ), span-to-depth ratio ( $L/d$ ), and yield strength ( $F_y$ ).

For tubular Hollow Square Steel (HSS) columns, the backbone curve is based on Lignos and Krawinkler [2010]. The moment-rotation relationships were developed based on multivariate regression analysis of a database of tests on more than 120 HSS columns under constant axial load and cyclic moments. The component deterioration parameters depend strongly on the column depth-to-thickness ratio ( $D/t$ ), axial load to strength ratio ( $N/N_y$ ), and yield strength ( $F_y$ ). Required in establishing these parameters is the axial force on the column under gravity loads. The moment-rotation relation shown in Figure B.4(b) is modeled without cyclic deterioration by a multilinear material in OpenSees.

In the simple model of the frame, material strengths were defined by their nominal values, i.e., yield strength of beams,  $F_{yb} = 36$  ksi and yield strength of columns,  $F_{yc} = 50$  ksi. For both WF beams and HSS sections, the capping moment to yield moment ratio was assumed to be 1.05.

The initial stiffness of the hinge is typically modified to ensure that the natural periods of vibration in the linear range of the model remain unchanged. In this study, the initial stiffness of the hinge was multiplied by 1000. This is the default mode in PERFORM-3D [2011; Powell 2015] but not in OpenSees. The influence of this choice is described in Appendix B of Ibarra and Krawinkler [2013].

Each column element was assigned a P-delta transformation to account for gravity load effects. A gravity column, as shown in Figure B.2, was also added to model the P-delta effects of the gravity loads on the interior frames. Mass was distributed to the nodes based on the tributary area, and all horizontal DOFs at a floor were laterally constrained.

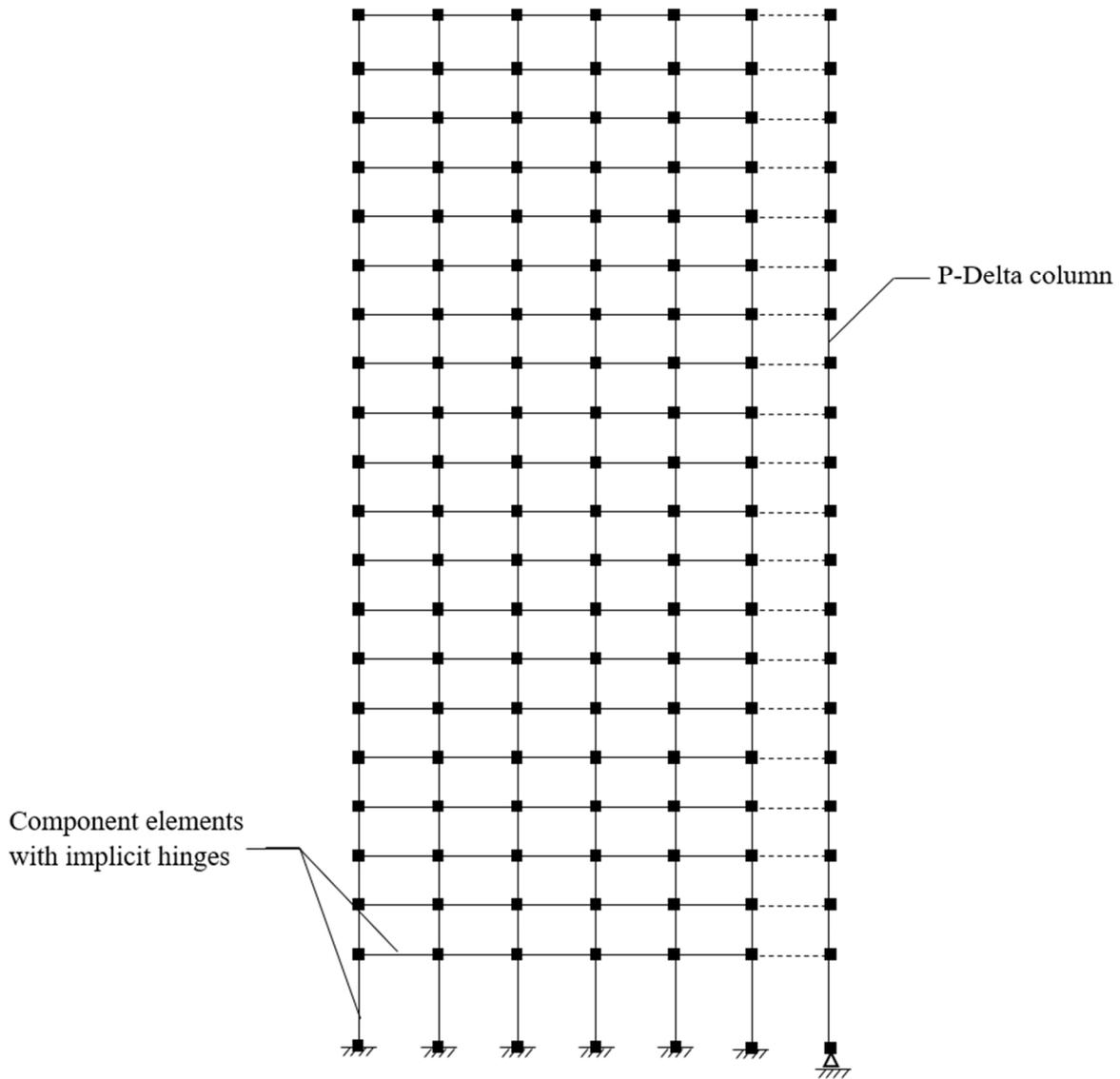
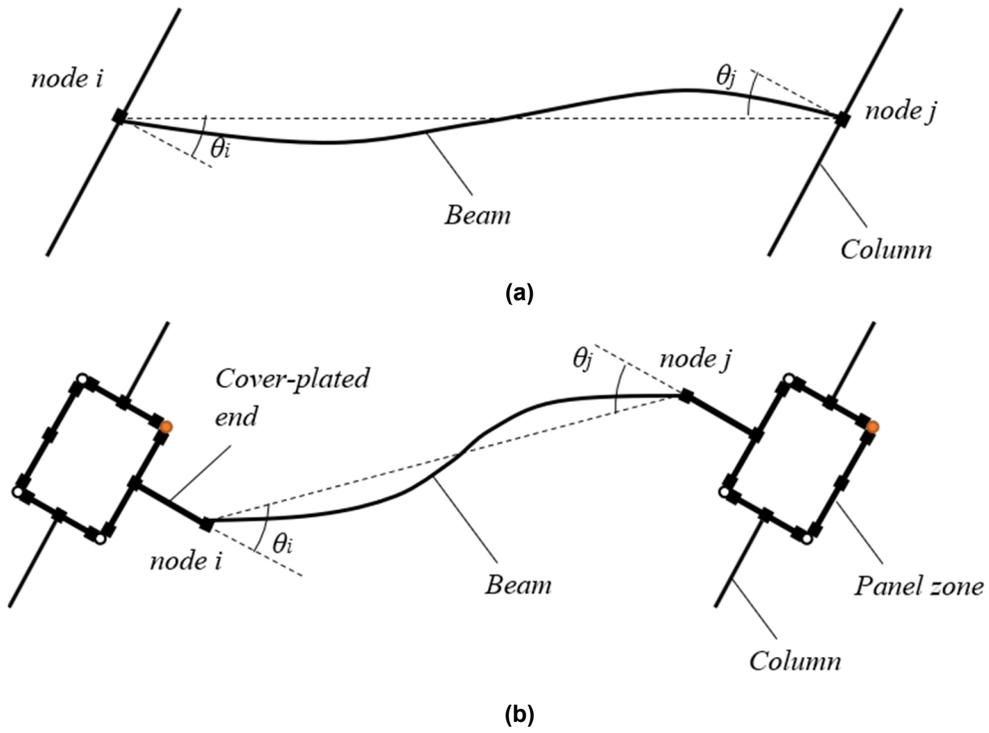
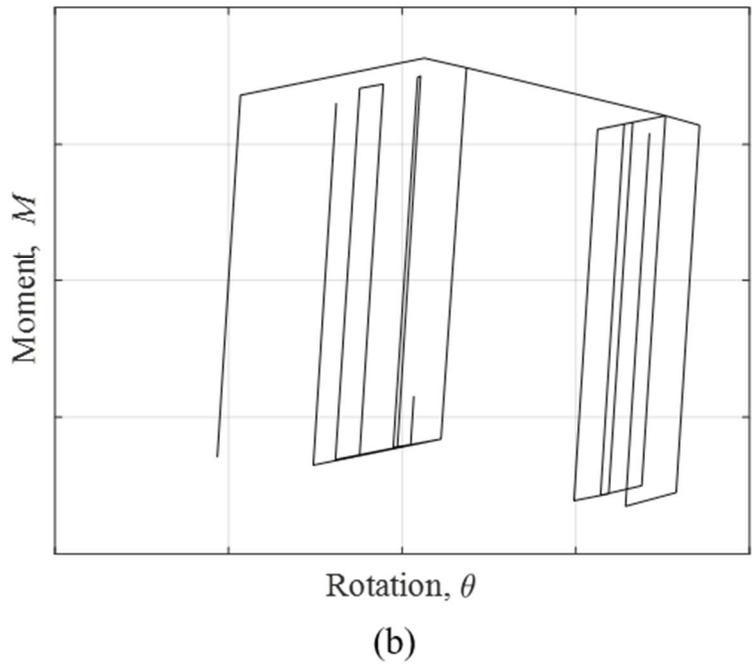
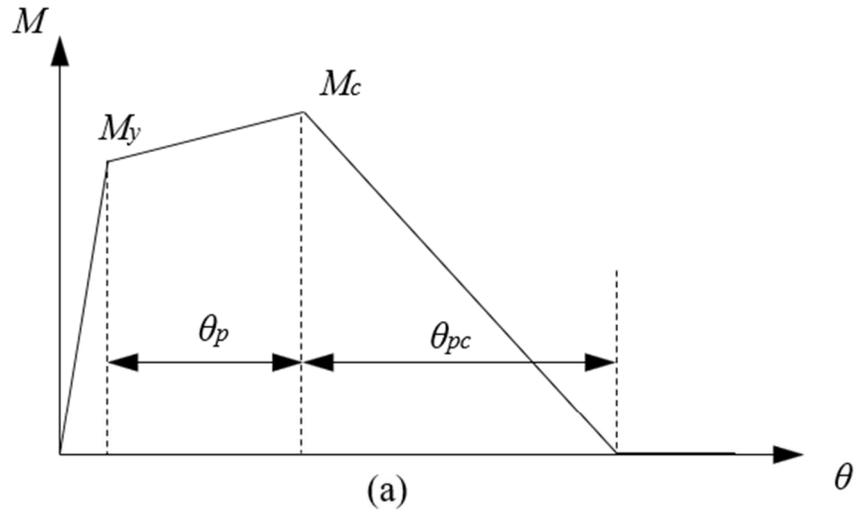


Figure B.2 Simple model of the 20-story frame.



**Figure B.3** Beam models: (a) single beam element in simple model; and (b) cover-plated beam elements connected rigidly to the panel zones and by plastic hinges to the main beam element in the enhanced model; plastic hinges form at nodes *i* and *j*.



**Figure B.4** (a) Multilinear moment-rotation backbone curve for beam and column hinges; and (b) typical cyclic behavior.

## B.2 ENHANCED MODEL

An enhanced model of the 20-story steel moment-frame included several complex features: geometric nonlinearity; strain hardening and deterioration of plastic hinges; flexibility and yielding of panel zones, tri-element beams to model cover plated ends, and the interior gravity frames. The first three natural vibration periods of this model are  $T_1 = 3.38$  sec,  $T_2 = 1.17$  sec, and  $T_3 = 0.68$  sec

The same approach was employed for modeling plastic hinges in the enhanced model, but it was modified (to the extent practical) to match Hall's model of the building [2018]. Instead of the nominal values, the yield strengths of the beams and the columns were defined as 46 ksi and 54 ksi, respectively. In defining the plastic hinges in beams, the capping-to-yield moment ratio was assumed to be 1.2. For columns, there seemed to be no good reason to increase the capping-to-yield moment ratio. Therefore,  $M_c/M_y$  was left unchanged at 1.05, consistent with the recommendation in Lignos and Krawinkler [2010], which differs from 1.2 in Hall [2018].

Following Gupta and Krawinkler [1999], the panel zone is modeled as a rectangular-shaped assembly of eight very stiff elastic beam-column elements and one zero-length rotational spring element that models the shear deformations in the panel zone; see Figure B.5. The other three corners of the rectangular assembly are pinned joints with the two connecting nodes constrained to move together, horizontally and vertically, without any resistance to shear distortion of the panel-zone assembly. This rectangular assembly of very stiff beam-column elements<sup>+</sup>, rotational spring, and hinges will distort into a parallelogram under lateral deformation. The parameters defining the trilinear backbone curve for the rotational spring [Figure B.6(a)] depend on the depth, thickness, and yield strength of the panel zone, as well as the width and thickness of the column flange and the depth of the beam. Definition of these parameters followed the recommendations in Gupta and Krawinkler [1999], except that strain hardening ratio,  $\alpha$ , was modified to 10% to match Hall's model [2018]. The rotational spring is modeled by a hysteretic material in OpenSees; see Figure B.6(b). Pinching or deterioration of the hysteresis loop is not modeled, as suggested by experimental evidence that deterioration in the shear force versus shear distortion relationship for panel zones is limited. The thickness of the panel zone is taken as the combined thicknesses of the column web and doubler plates where specified.

Figure B.3(b) describes schematically the model for a cover-plated beam that includes elastic beam-column elements for the cover plated ends, connected rigidly to the panel zone and by a plastic hinge to the main beam element. The design of the building specified different sizes for the top and bottom cover plates, with thickness that varies with the beam sections in different parts of the building. Instead of explicitly modeling all these different sizes, a simplified approach was adopted. For all beams, the area and moment of inertia of the cover-plated ends were defined to be 40% and 70% larger than for the main beam section, respectively.

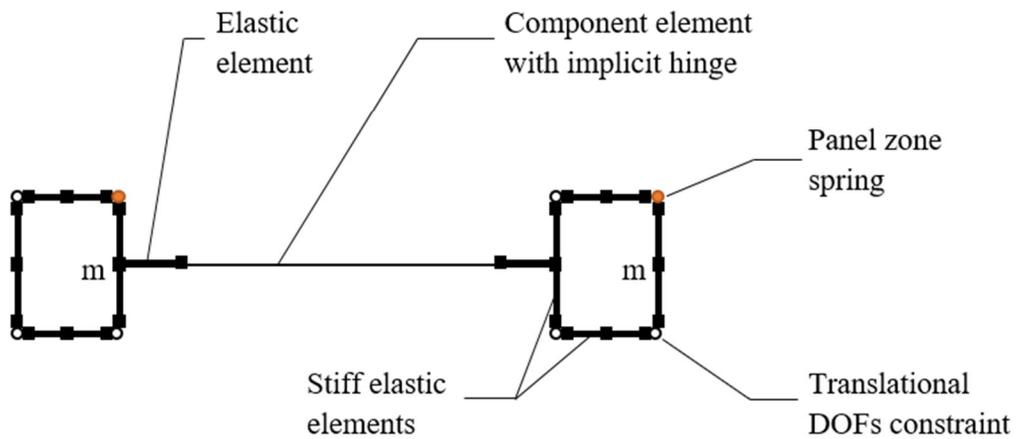
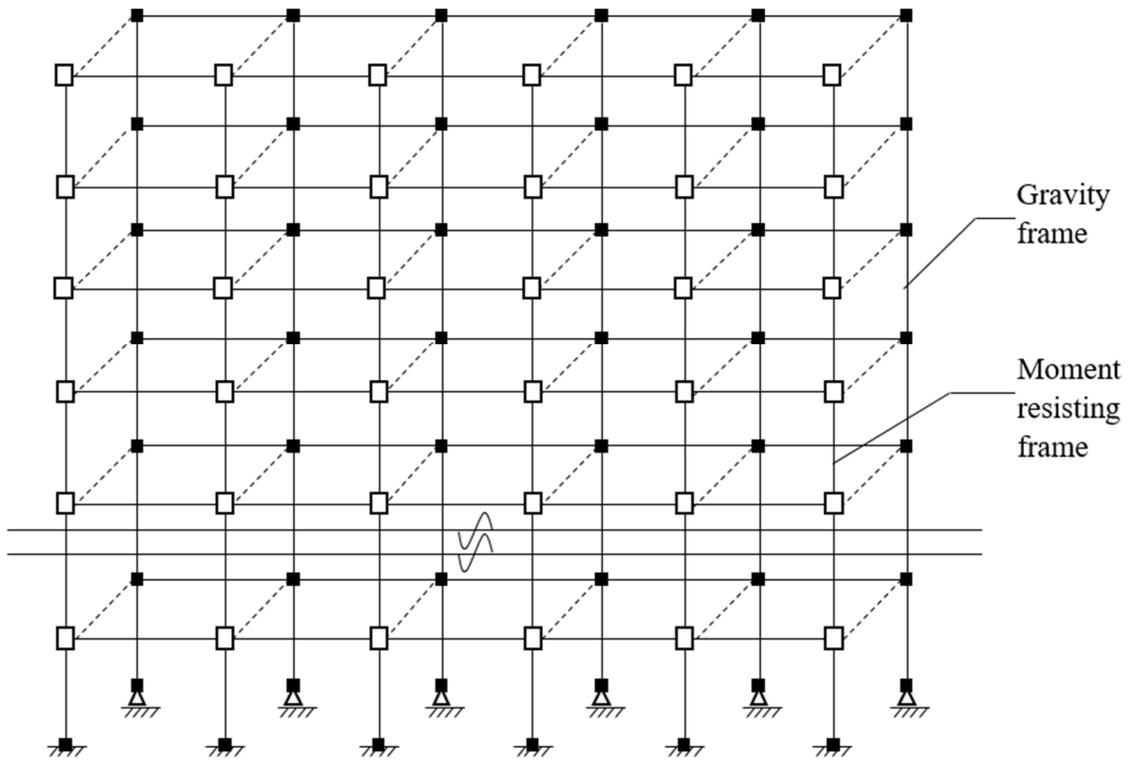
Following Hall [2018], one planar gravity frame is included in the model to represent the interior gravity frames in the east-west direction. The gravity frame, modeled by elastic beams and columns connected at their centerlines, is intended to represent the contribution of half of the five gravity frames. Thus, the stiffnesses of one of the frames were multiplied by 2.5. However, it was assumed that the pinned connections in gravity frames can develop only 10% of the beam stiffness. Thus, the sectional area and moment of inertia of the structural elements in a gravity frame were multiplied by a factor of 0.25.

The gravity frame shown in Figure B.5 accounts for the P-delta effects of the vertical loads acting on the interior frames. The moment-frame columns include P-delta transformation to account for the gravity load effect on the perimeter frame. The node at the floor level on the right side of the panel zone is assigned the tributary mass (highlighted in Figure B.5). Horizontal DOFs

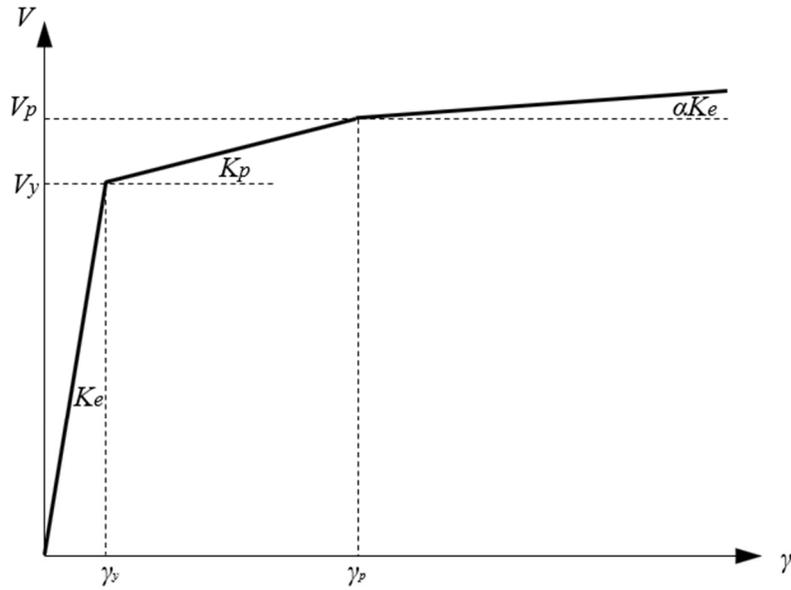
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<sup>+</sup> An area of 1000 in<sup>2</sup> and moment of inertia of 100,000 in<sup>4</sup> were assigned to the stiff beam-column elements.

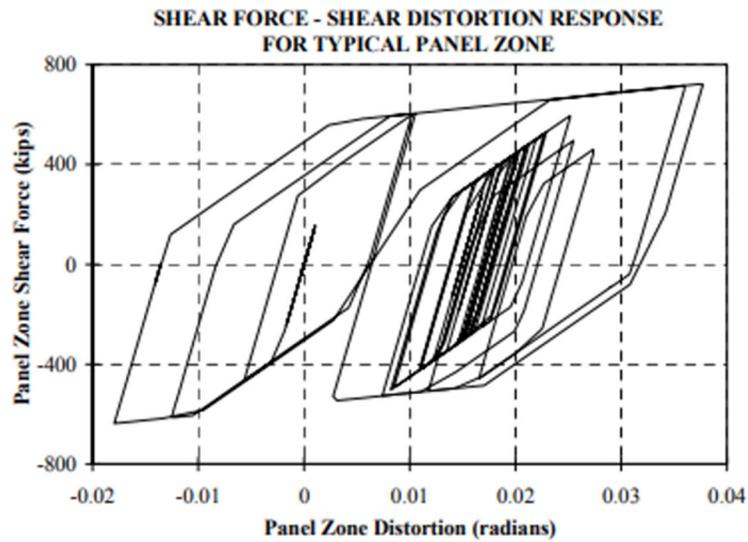
of all nodes on the same floor, i.e., nodes with tributary mass in the moment frame and all nodes in the gravity frame, were constrained to undergo the same horizontal displacement.



**Figure B.5** Enhanced model of the 20-story building; modeling details for beams and panel zone are shown.



(a)



(b)

Figure B.6 Shear force–shear distortion relation for panel zone: (a) backbone curve; and (b) typical cyclic behavior (from [Gupta and Krawinkler [1999]]).

### B.3 COMPUTATION TIMES

Computation times for response history analysis of the enhanced model for 60 sec duration of GM 6953 with PE 2% in 50 years on a computer with a processor of Intel® Core™ i5-3570 CPU @3.40 GHz and an 8GB RAM were 1923, 3456, and 2295 seconds for Rayleigh, constant modal, and capped damping, respectively.

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## Appendix C Comparison with Hall’s Model [2018]

The enhanced model developed here was intended to be similar to the model of the SAC building developed by Hall [2018]. The various modifications and features described in Section B.2 were intended to achieve this goal. However, the two models are not identical; the principal differences are listed below:

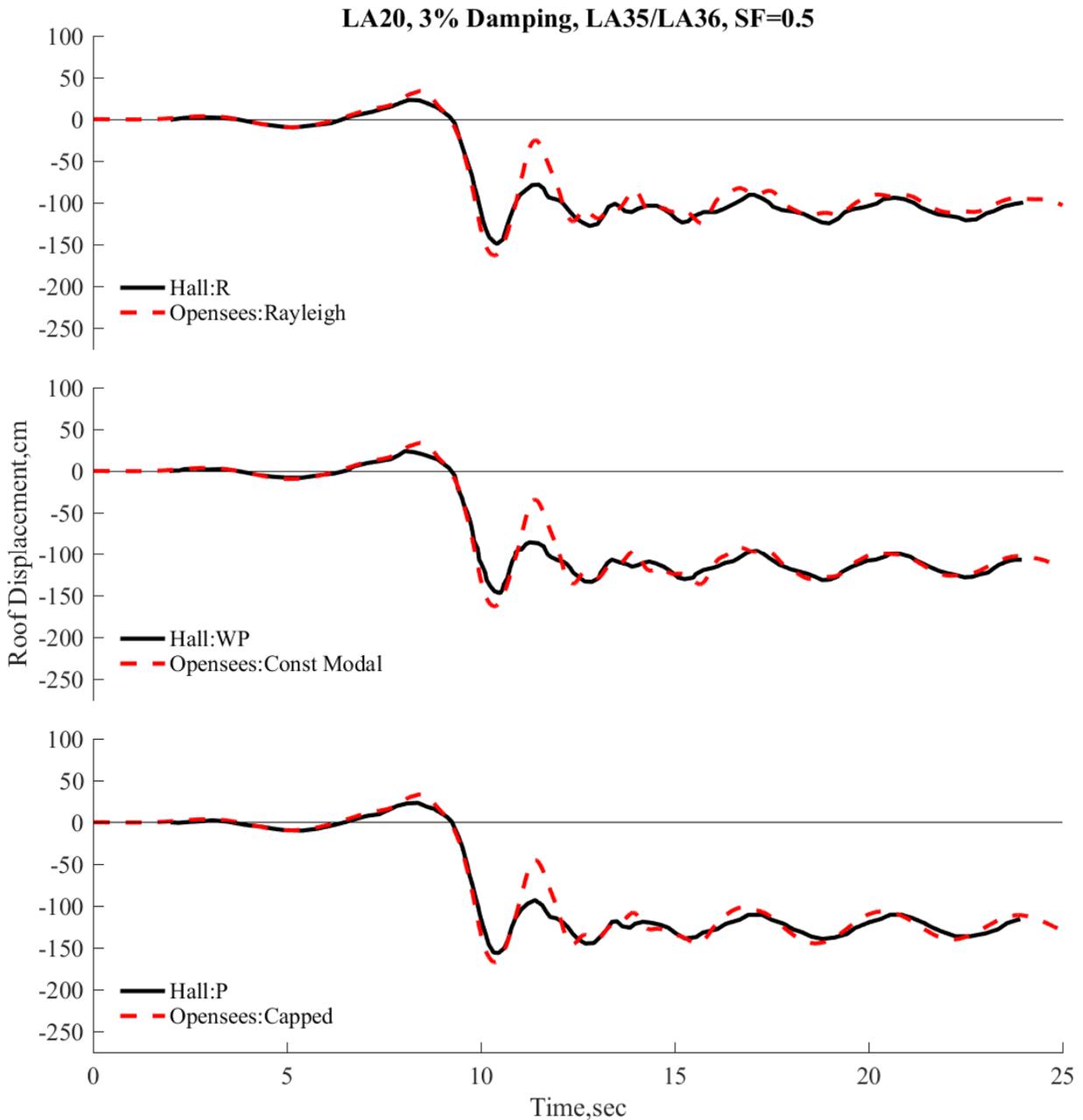
1. The building is restrained at the ground level to prevent lateral motion, and the columns are continuous into the basement in Hall [2018]. In contrast, in this work the columns were fully restrained at the ground level.
2. The panel zone was modeled by a shear element in Hall [2018] in contrast to an assemblage of rigid elements with a rotational spring in this work.
3. Capped damping was modeled by a viscous panel element and the bound on the damping force by a limit on the shear stress for the material in Hall [2018]. In contrast, in this work capped damping was modeled by interstory dampers with a bound (or cap) imposed on the damping forces.

However, the Rayleigh (R) and Wilson–Penzien (WP) damping models in Hall [2018] are essentially the same as Rayleigh (with implicit hinges) and Constant Modal Damping models in this study.

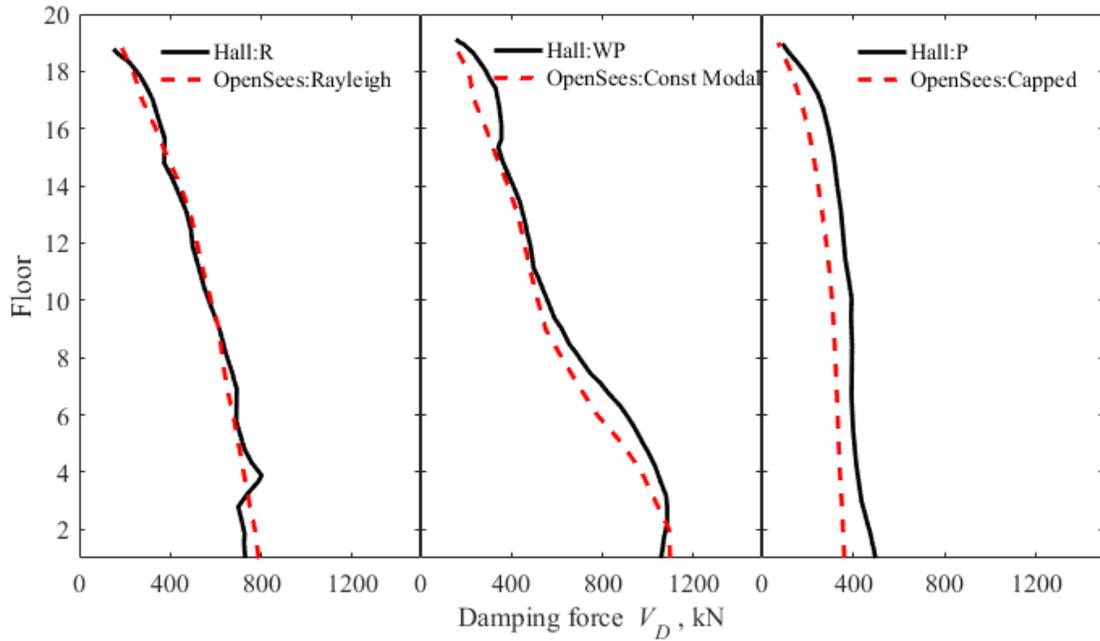
Results from nonlinear RHA of the two models of the 20-story building subjected to the LA35/36 GM described in Hall [2018] scaled by 0.5, are compared in Figures C.1, C.2, and C.3. The roof displacements histories are in good agreement for each of the three damping models; see Figure C.1. The height-wise distributions of the story damping forces are also in good agreement for each of the three damping models; see Figure C.2. However, the height-wise distribution of the plastic hinge rotations in the two models is significantly different—see Figure C.3—with the largest plastic rotation occurring in different stories: the third story in the OpenSees model developed in this study in contrast to the fifth story in Hall’s model. Furthermore, the plastic hinge rotations are concentrated in the lowest three stories of the OpenSees model, whereas they are distributed over a larger number of stories in Hall’s model. Finally, the OpenSees model predicts a larger value for the maximum (over the building height) plastic-hinge rotation compared to Hall’s model.

These discrepancies in estimating local response by the two models arise, most likely, because the subterranean part of the structure included in Hall’s model allows rotation at the base of the first-story columns, which tends to distribute the plastic rotations over several stories and

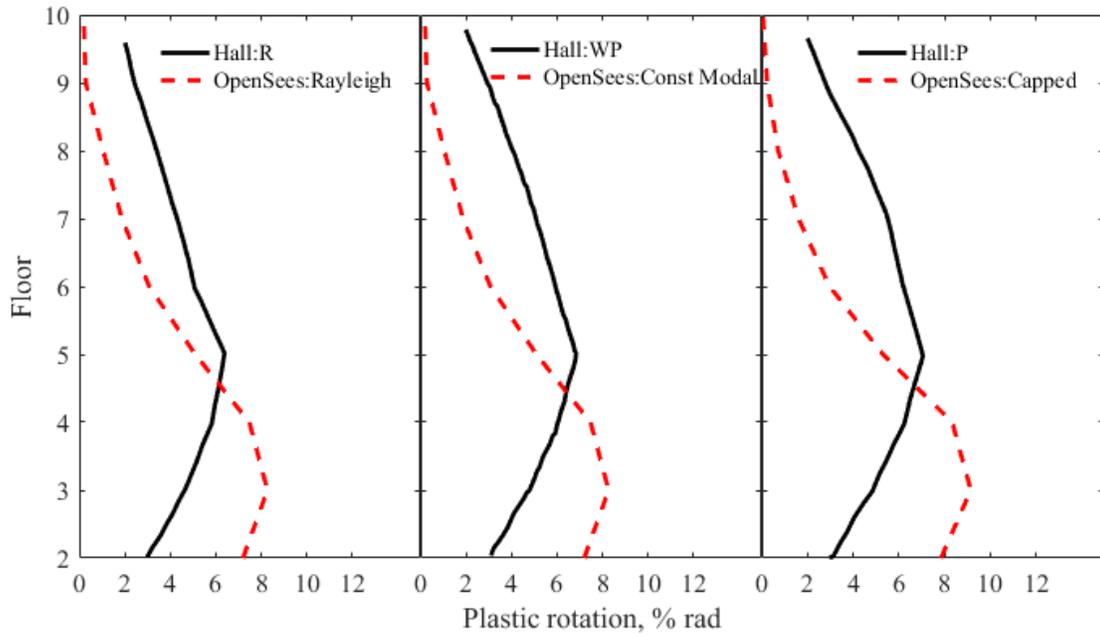
reduces the magnitude of plastic rotations. Results from the OpenSees model are generally consistent with those reported by Goel and Chopra [2004], who also ignored the basement and fixed first-story columns at their base. They also reported largest plastic rotations in the third story of the building (Figure C.4).



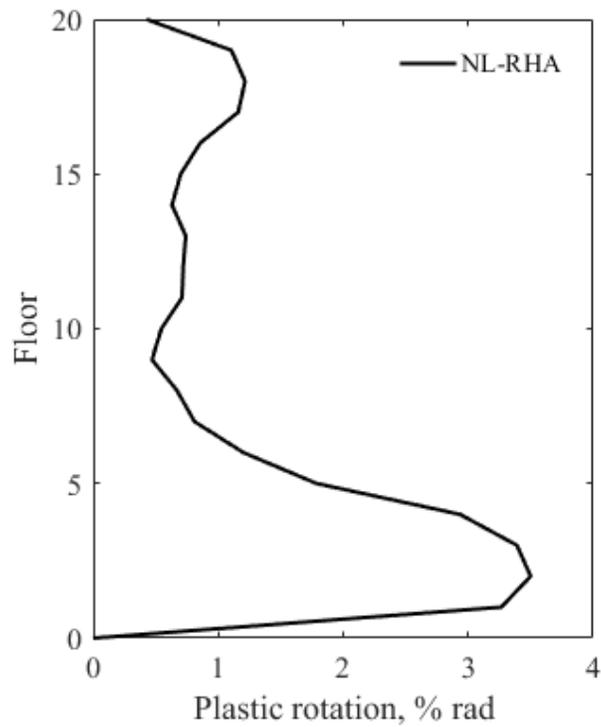
**Figure C.1** Roof-displacement history for an enhanced model of the 20-story building for three damping models due to LA 35/36 ground motion.



**Figure C.2** Story damping forces in an enhanced model of the 20-story building for three damping models due to LA 35/36 ground motion.



**Figure C.3** Beam plastic rotations in an enhanced model of the 20-story building for three damping models due to LA 35/36 ground motion.



**Figure C.4 Beam plastic rotations (Goel and Chopra [2004]).**

### References

- Hall J.F. (2018). Performance of viscous damping in inelastic seismic analysis of moment-frame buildings, *Report No. EERL 2018-01*, Earthquake Engineering Research Laboratory, California Institute of Technology, Pasadena, CA.
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## Appendix D Ground Motions

Listed in this Appendix are the selected ground-motion records and the scale factor for each record. These data are tabulated as follows:

**Table D.1 Selected GM records, components, and scale factors for 2% PE in 50 years;  $T^* = 3.81$  sec.**

NGA Record Sequence Number (RSN)	Earthquake name	Station name	Component number	Scale factor	AT2 Filename
1183	1999 Chi-Chi Taiwan	CHY008	1	2.1855	RSN1183_CHICHI_CHY008-N.AT2
1188	1999 Chi-Chi Taiwan	CHY016	2	3.6098	RSN1188_CHICHI_CHY016-W.AT2
1213	1999 Chi-Chi Taiwan	CHY055	1	2.6423	RSN1213_CHICHI_CHY055-W.AT2
1237	1999 Chi-Chi Taiwan	CHY090	1	3.6167	RSN1237_CHICHI_CHY090-E.AT2
1261	1999 Chi-Chi Taiwan	HWA009	1	4.0660	RSN1261_CHICHI_HWA009-E.AT2
1436	1999 Chi-Chi Taiwan	TAP052	2	2.5945	RSN1436_CHICHI_TAP052-N.AT2
1471	1999 Chi-Chi Taiwan	TCU015	2	2.2389	RSN1471_CHICHI_TCU015-N.AT2
1490	1999 Chi-Chi Taiwan	TCU050	1	1.9079	RSN1490_CHICHI_TCU050-E.AT2
1545	1999 Chi-Chi Taiwan	TCU120	1	1.1193	RSN1545_CHICHI_TCU120-E.AT2
3747	1992 Cape Mendocino	College of the Redwoods	1	2.3645	RSN3747_CAPEMEND_CRW270.AT2
5825	2010 El Mayor-Cucapah	CERRO PRIETO GEOTHERMAL	2	0.8880	RSN5825_SIERRA.MEX_GEO090.AT2

**Table D.2 Selected GM records, components, and scale factors for 2% PE in 50 years;  $T^* = 3.38$  sec.**

NGA Record Sequence Number (RSN)	Earthquake name	Station name	Component number	Scale factor	AT2 Filename
185	1979 Imperial Valley-06	Holtville Post Office	1	1.3112	RSN185_IMPVAL.L.H_H-HVP225.AT2
838	1992 Landers	Barstow	2	2.6455	RSN838_LANDERS_BRS090.AT2
1193	1999 Chi-Chi Taiwan	CHY024	1	1.3329	RSN1193_CHICHI_CHY024-E.AT2
1213	1999 Chi-Chi Taiwan	CHY055	1	3.4161	RSN1213_CHICHI_CHY055-W.AT2
1223	1999 Chi-Chi Taiwan	CHY067	2	4.9494	RSN1223_CHICHI_CHY067-W.AT2
1430	1999 Chi-Chi Taiwan	TAP042	2	3.5776	RSN1430_CHICHI_TAP042-N.AT2
1436	1999 Chi-Chi Taiwan	TAP052	2	2.6670	RSN1436_CHICHI_TAP052-N.AT2
1541	1999 Chi-Chi Taiwan	TCU116	1	1.4691	RSN1541_CHICHI_TCU116-E.AT2
1545	1999 Chi-Chi Taiwan	TCU120	1	1.3983	RSN1545_CHICHI_TCU120-E.AT2
1551	1999 Chi-Chi Taiwan	TCU138	2	1.4237	RSN1551_CHICHI_TCU138-W.AT2
6953	2010 Darfield New Zealand	Pages Road Pumping Station	2	1.3658	RSN6953_DARFIELD_PRPCS.AT2

**Table D.3 Selected GM records, components, and scale factors for 1% PE in 50 years;  $T^* = 3.38$  sec.**

NGA Record Sequence Number (RSN)	Earthquake name	Station name	Component number	Scale factor	AT2 Filename
185	1979 Imperial Valley-06	Holtville Post Office	1	1.6582	RSN185_IMPVAL.L.H_H-HVP225.AT2
736	1989 Loma Prieta	APEEL 9 - Crystal Springs Res	2	3.6838	RSN736_LOMAP_A09227.AT2
838	1992 Landers	Barstow	2	3.3457	RSN838_LANDERS_BRS090.AT2
1193	1999 Chi-Chi Taiwan	CHY024	1	1.6857	RSN1193_CHICHI_CHY024-E.AT2
1277	1999 Chi-Chi Taiwan	HWA028	2	4.1181	RSN1277_CHICHI_HWA028-N.AT2
1430	1999 Chi-Chi Taiwan	TAP042	2	4.5244	RSN1430_CHICHI_TAP042-N.AT2
1436	1999 Chi-Chi Taiwan	TAP052	2	3.3729	RSN1436_CHICHI_TAP052-N.AT2
1541	1999 Chi-Chi Taiwan	TCU116	1	1.8579	RSN1541_CHICHI_TCU116-E.AT2
1545	1999 Chi-Chi Taiwan	TCU120	1	1.7683	RSN1545_CHICHI_TCU120-E.AT2
2655	1999 Chi-Chi Taiwan-03	TCU122	2	2.3702	RSN2655_CHICHI.03_TCU122E.AT2
6953	2010 Darfield New Zealand	Pages Road Pumping Station	2	1.7273	RSN6953_DARFIELD_PRPCS.AT2

## Appendix E Computation of Story Shears and Story Damping Forces

A procedure to compute the horizontal shear forces and horizontal damping forces in all stories of the building are presented in this Appendix.

Consider a planar frame subjected to horizontal ground acceleration  $\ddot{u}_g(t)$ . Numerical solution of the equations governing the inelastic response of the frame provides the displacements  $\mathbf{u}$ , velocities  $\dot{\mathbf{u}}$ , and acceleration  $\ddot{\mathbf{u}}$  at all nodes; these are motions relative to the ground.

The horizontal shear force  $V_{Si}$  and horizontal damping force  $V_{Di}$  at a section cut at the bottom of the  $i$ th story and the inertia forces associated with masses lumped at the floor levels above that section are in equilibrium, i.e.,

$$\sum_{j=i}^N f_{Ij} + V_{Di} + V_{Si} = 0 \quad (\text{E.1})$$

The inertia force  $f_{Ij}$  associated with masses lumped at the  $j$ th floor is

$$f_{Ij} = \sum_k m_{kj} \ddot{u}_{kj}^t \quad (\text{E.2})$$

where the summation is over all the nodes on the  $j$ th floor,  $m_{kj}$  is the mass at the  $k$ th node, and  $\ddot{u}_{kj}^t$  is the total horizontal acceleration of that node. This total acceleration is equal to the acceleration  $\ddot{u}_{kj}$  of the node relative to the ground plus the ground acceleration.

The horizontal shear force  $V_{Si}$  at the bottom of the  $i$ th story is the sum of the horizontal shears in the  $k$  columns in that story,

$$V_{Si} = \sum_k V_{Sik} \quad (\text{E.3})$$

The horizontal damping force  $V_{Di}$  at the bottom of the  $i$ th story is given by rewriting Equation (E.1)

$$V_{Di} = -V_{Si} - \sum_{j=i}^N f_{Ij} \quad (\text{E.4})$$

In OpenSees,  $V_{Si}$  are computed by recording the element forces that enter into Equation (E.3) and  $f_{Ij}$  by recording the nodal accelerations plus ground acceleration that enter into Equation (E.2); with these two terms known,  $V_{Di}$  is computed from Equation (E.4).



## Appendix F Complete Set of Results

**Table F.1** Response of a simple model of the 20-story frame to 11 GMs corresponding to 2% PE in 50 years; 2% damping.

GM#	Story drift, %			Plastic rotations, % radian			$V_D/V_S$ at base, %		
	Rayleigh	Constant modal	Capped	Rayleigh	Constant modal	Capped	Rayleigh	Constant modal	Capped
1183	2.11	1.94	2.00	1.74	1.57	1.63	6.6	6.4	4.8
1188	4.72	4.98	6.11	4.36	4.63	5.85	6.7	6.9	4.7
1213	1.66	1.64	1.75	1.27	1.23	1.35	6.2	6.8	4.9
1237	2.42	2.51	2.81	2.00	2.10	2.39	7.0	7.2	4.3
1261	2.19	2.22	2.09	1.79	1.83	1.66	6.0	6.6	3.9
1436	1.60	1.50	1.70	1.23	1.13	1.30	6.8	6.7	4.7
1471	1.66	1.71	1.64	1.27	1.29	1.24	4.8	5.3	4.0
1490	2.44	2.45	2.57	2.04	2.04	2.16	6.0	6.6	4.1
1545	2.90	2.90	3.21	2.48	2.50	2.82	7.4	7.5	4.3
3747	2.10	2.09	2.36	1.72	1.71	1.99	7.1	7.2	4.3
5825	1.53	1.56	1.61	1.15	1.15	1.20	5.0	5.2	4.3

**Response of a simple model of the 20-story frame to 11 GMs  
corresponding to 2% PE in 50 years; 2% damping.**

**Figures F.1–F.11**

Results for 11 GMs listed in Table F.1 are presented in Figures F.1–F.11. Each figure is organized as follows:

<b>Top row</b>	Roof displacement history
<b>Middle row</b>	Peak values of floor displacement, story drifts, and plastic rotations
<b>Bottom row</b>	Peak story shears, $V_s$ , peak story damping forces, $V_D$ , and ratio $V_D / V_s$

Figure F.1 GM1183

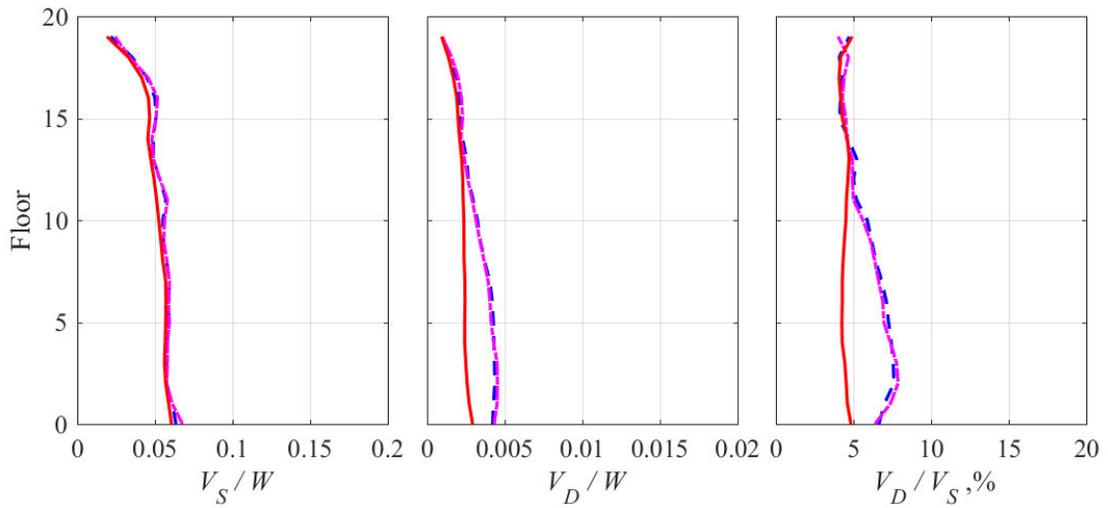
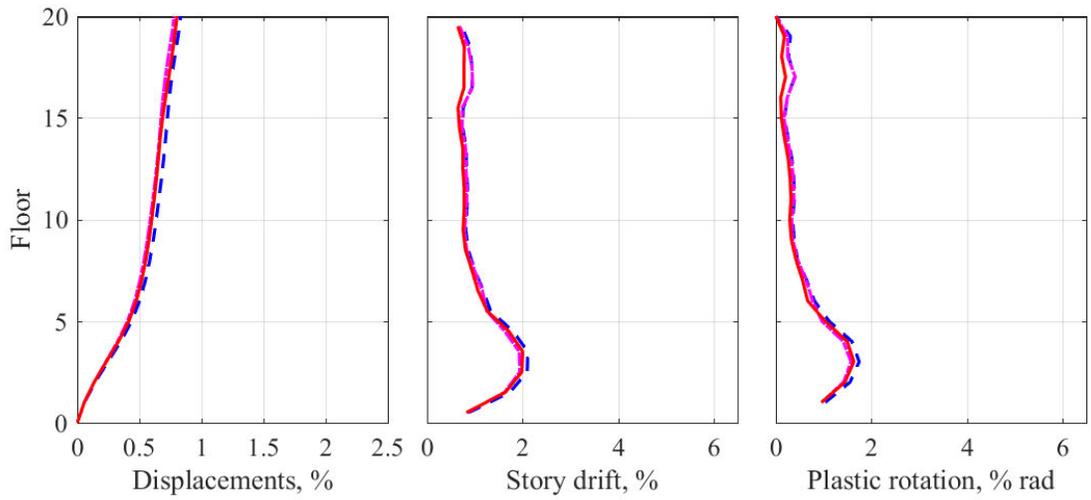
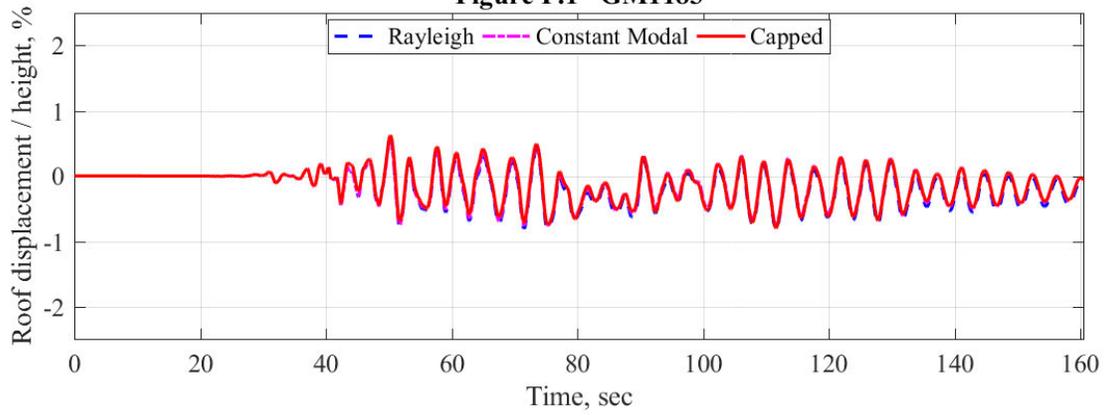


Figure F.2 GM1188

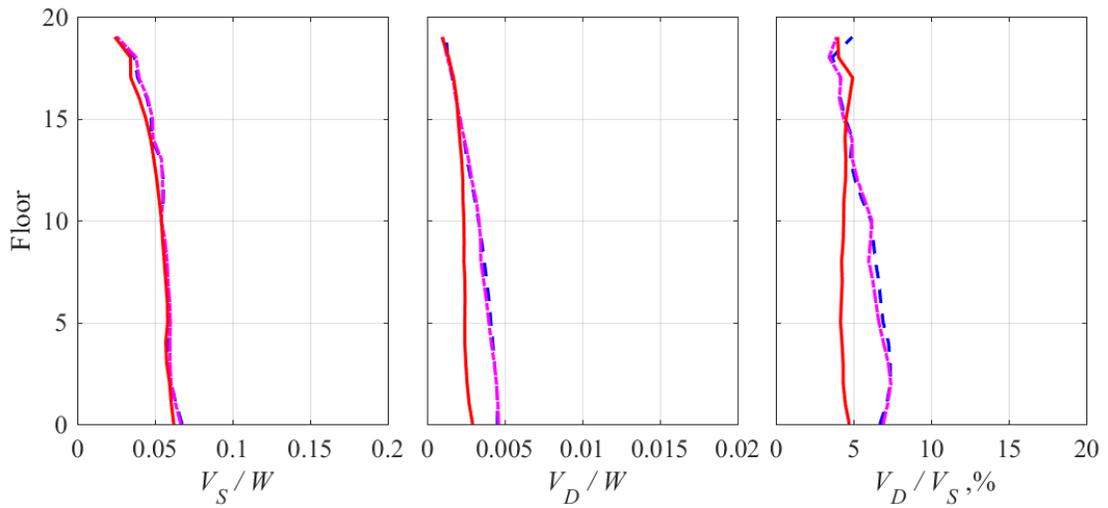
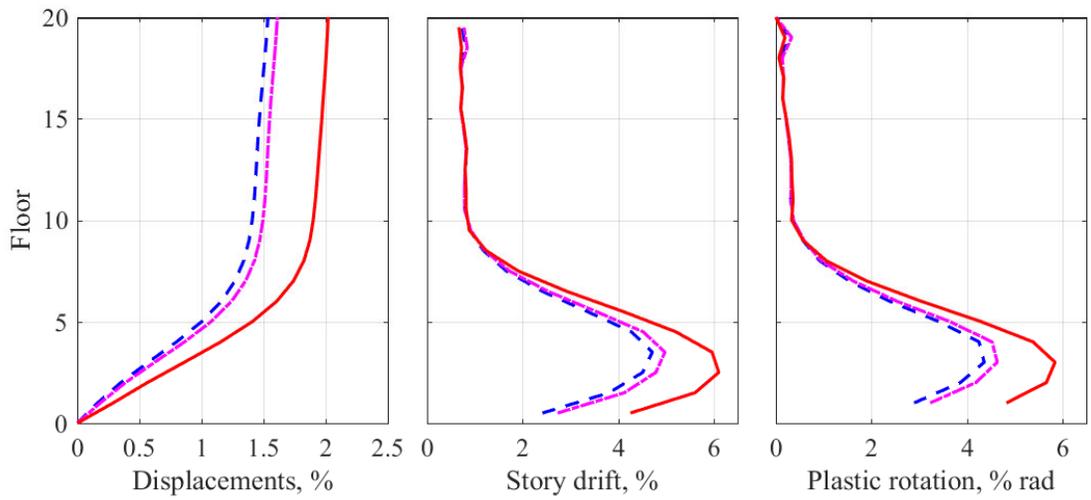
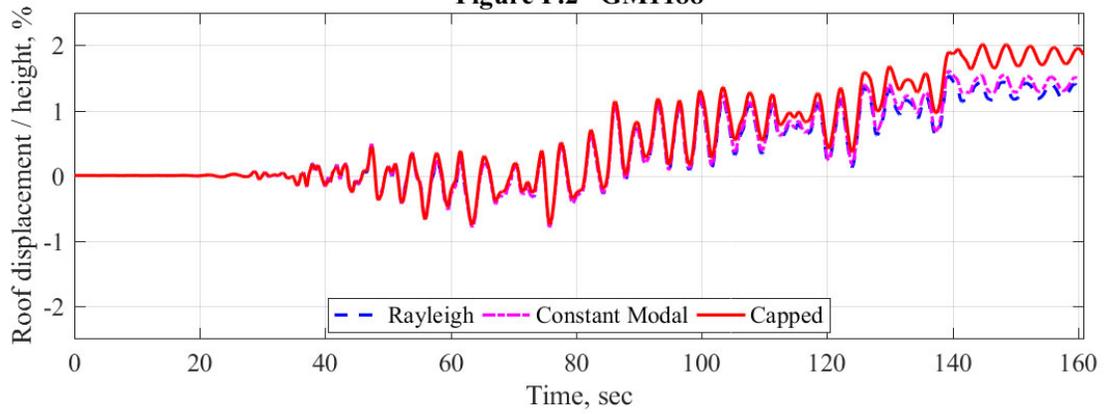


Figure F.3 GM1213

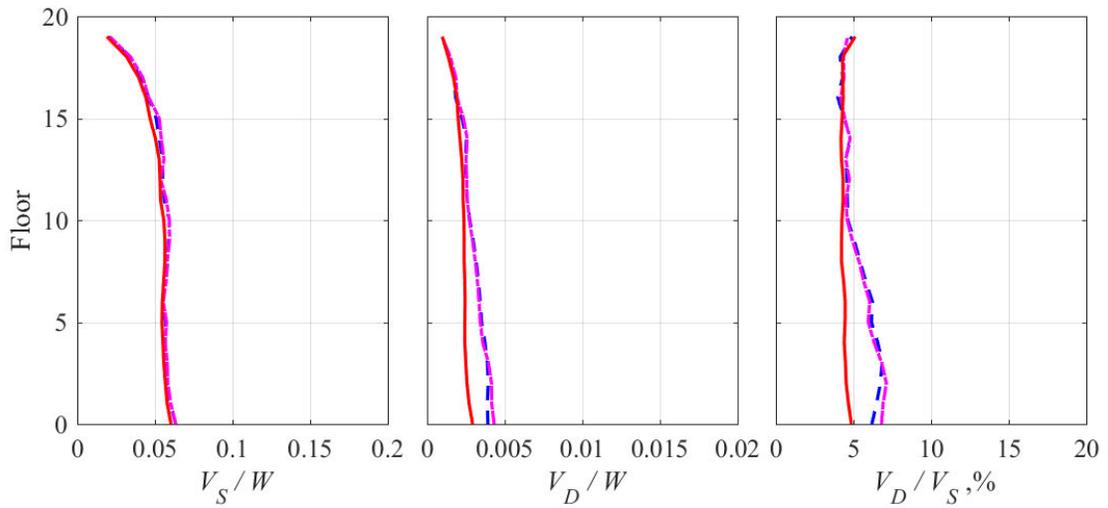
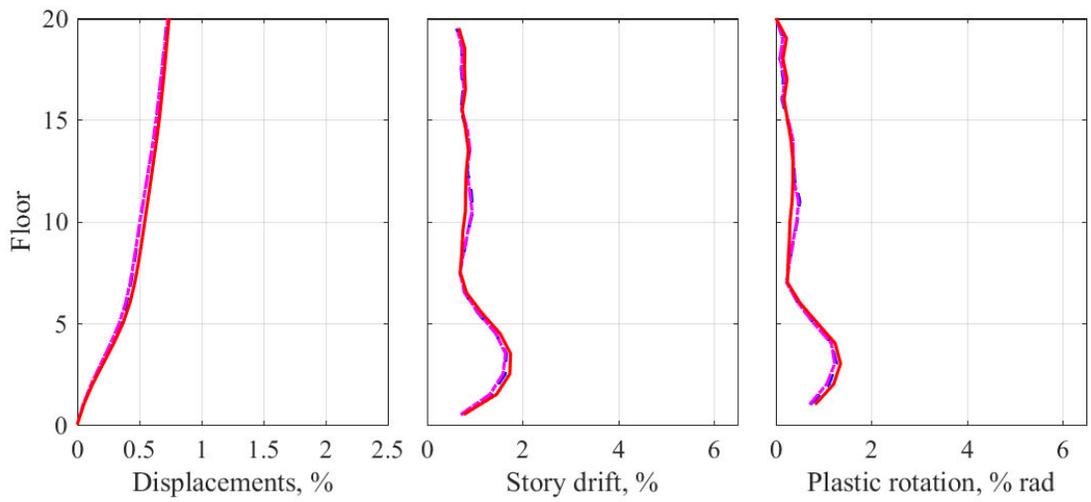
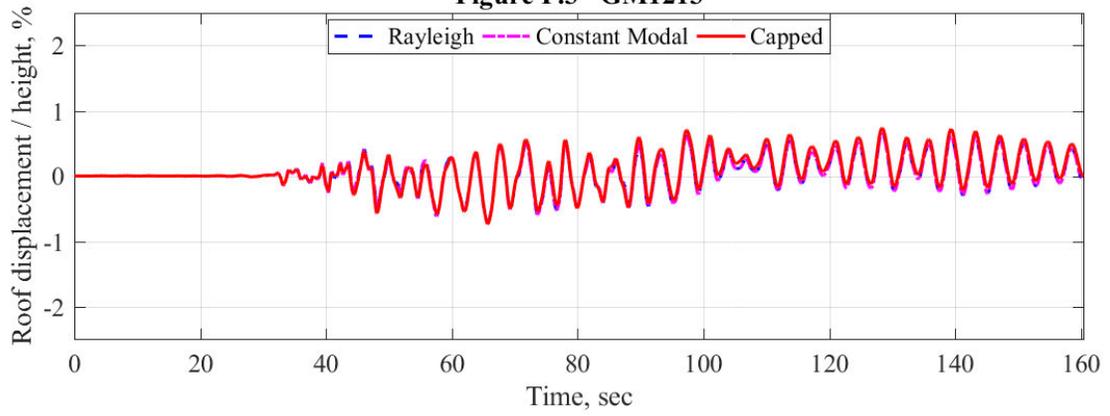


Figure F.4 GM1237

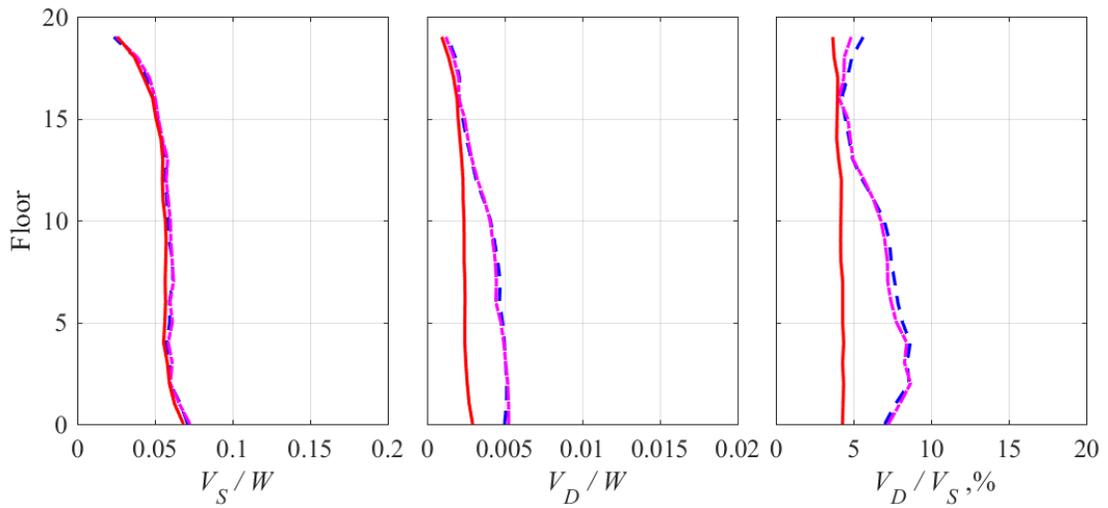
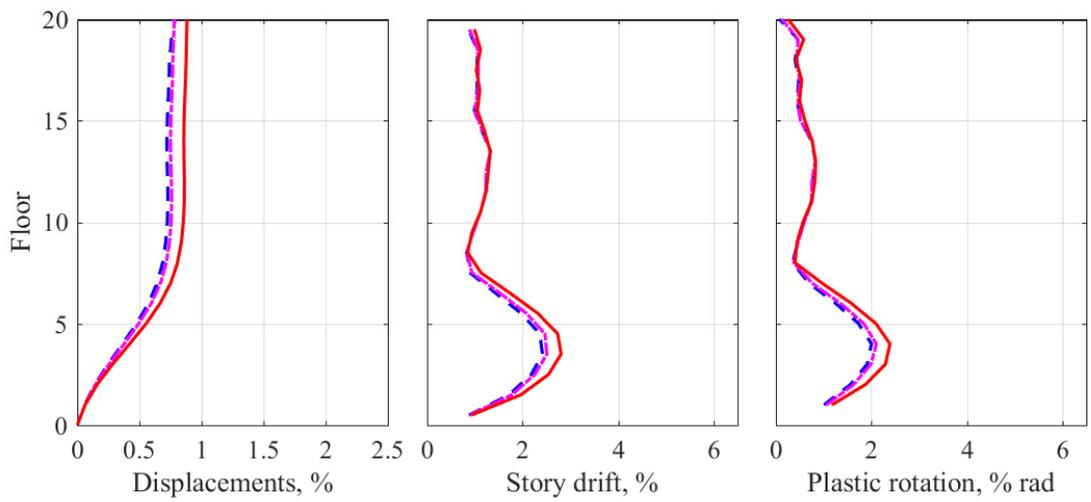
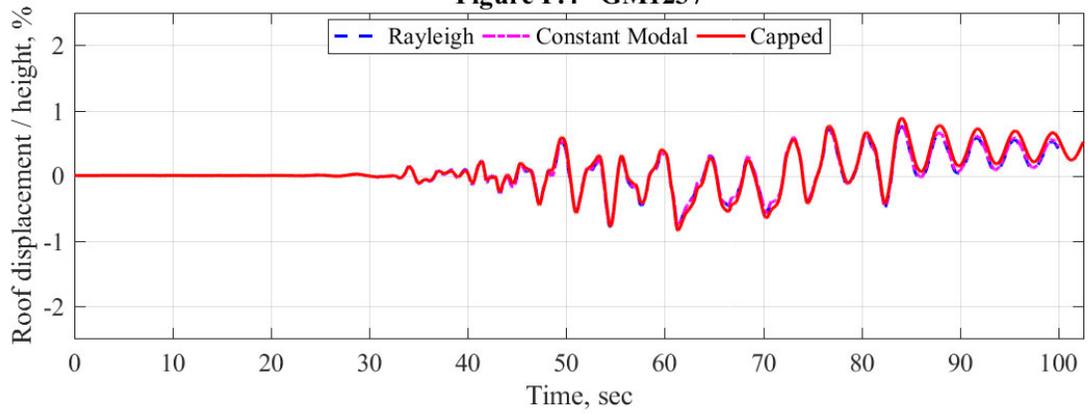


Figure F.5 GM1261

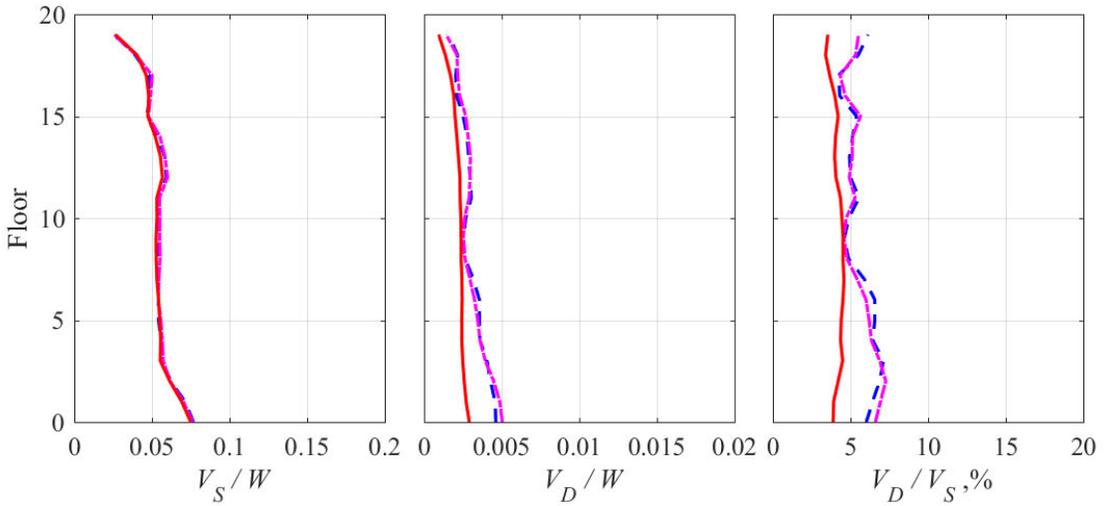
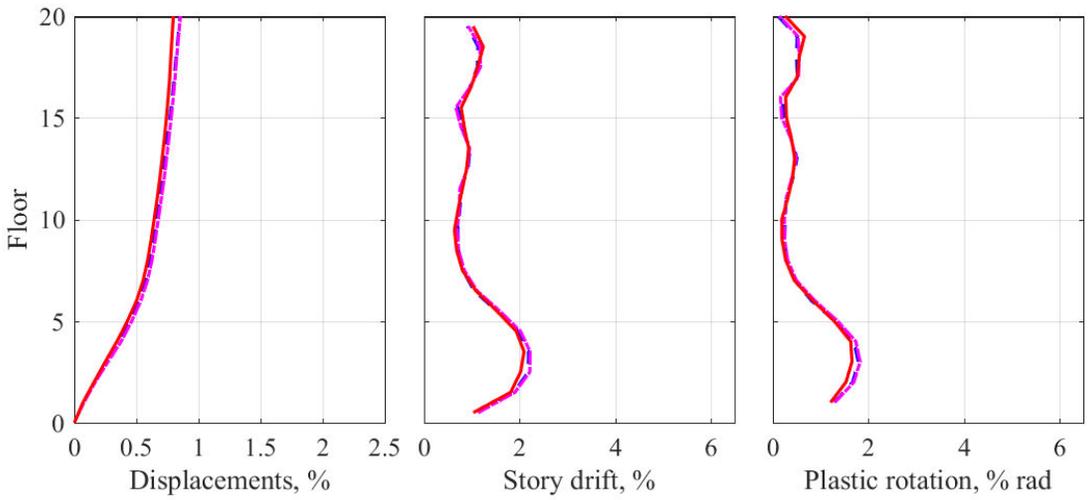
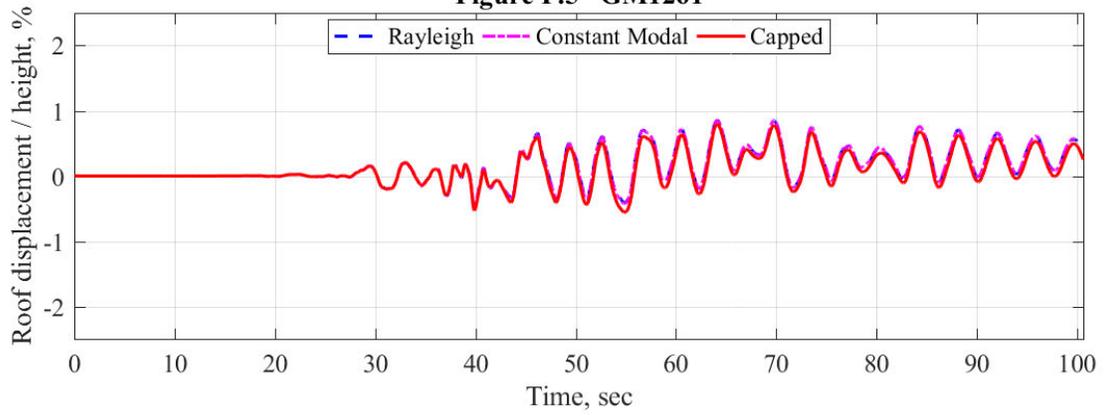


Figure F.6 GM1436

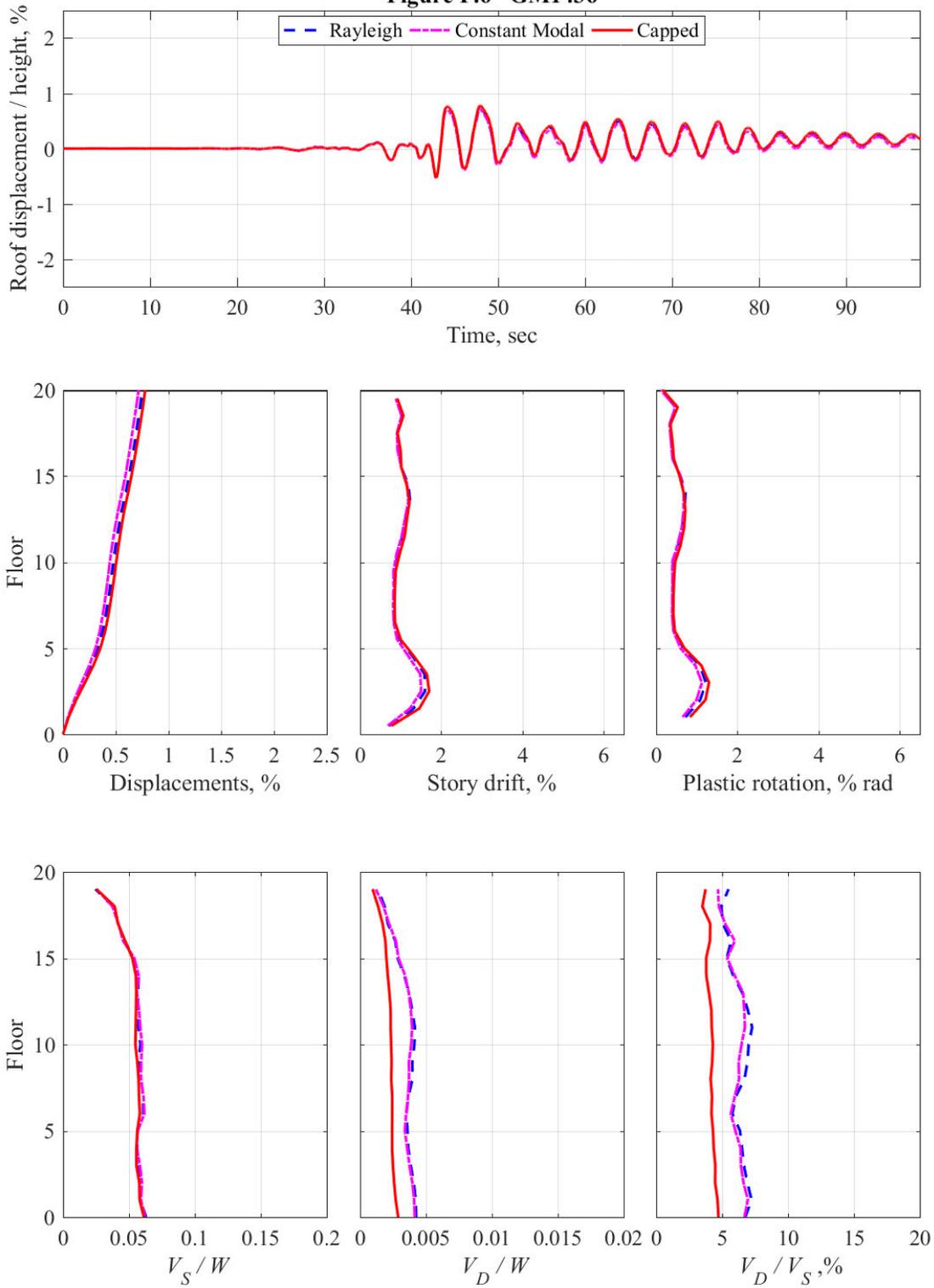


Figure F.7 GM1471

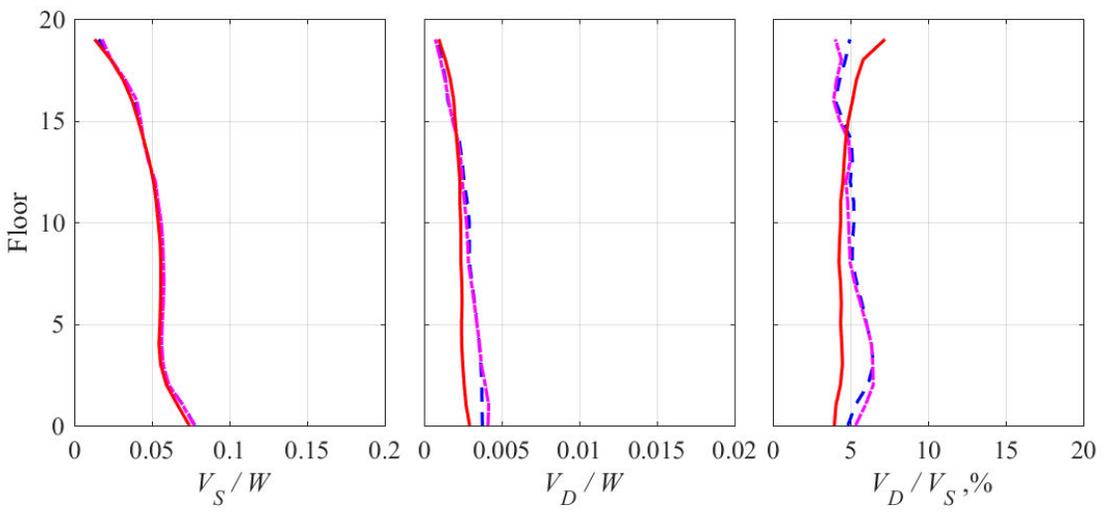
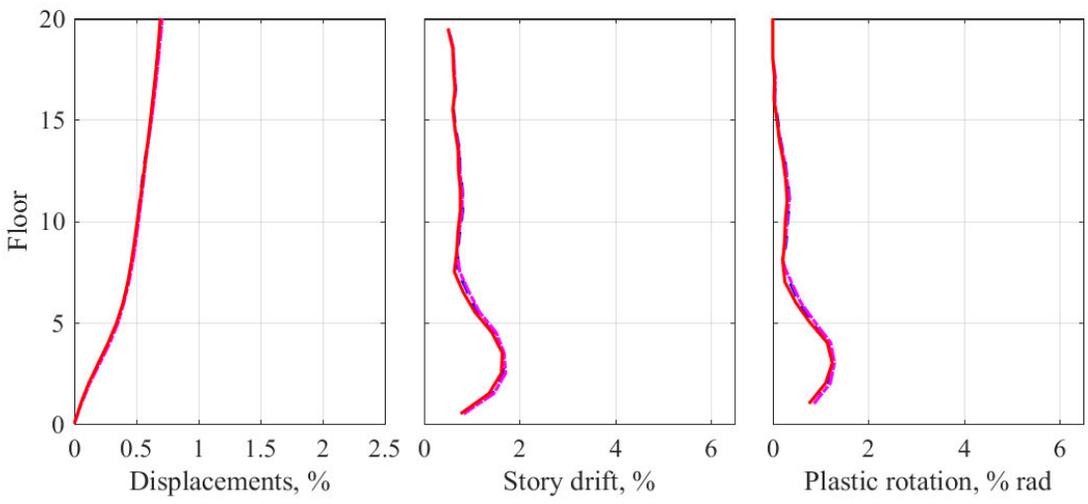
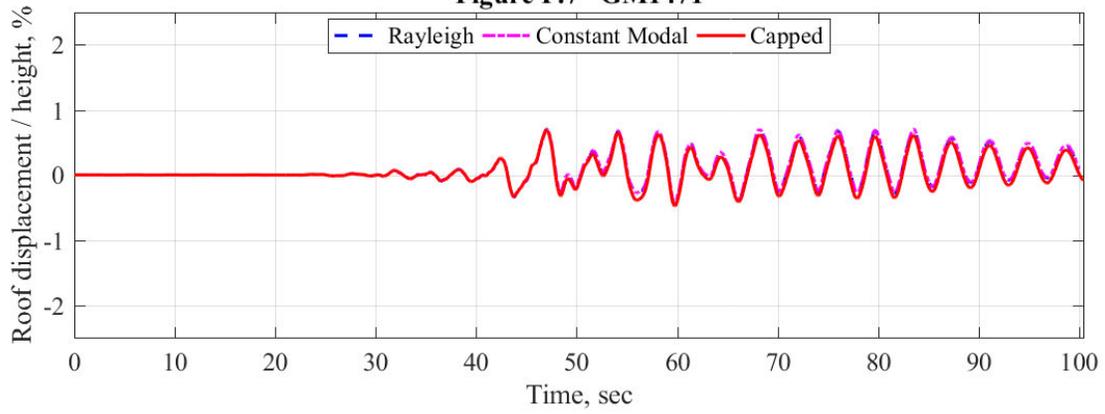


Figure F.8 GM1490

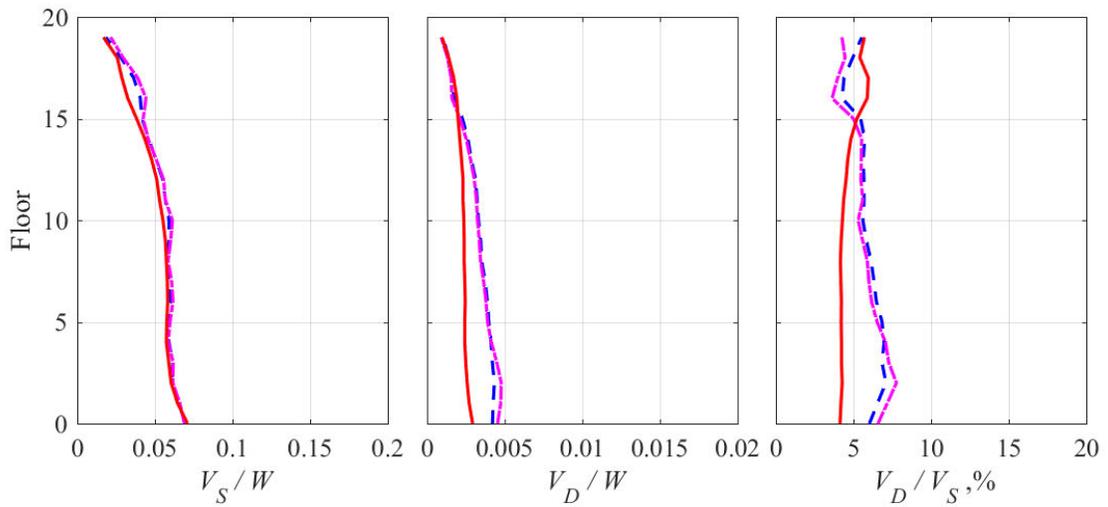
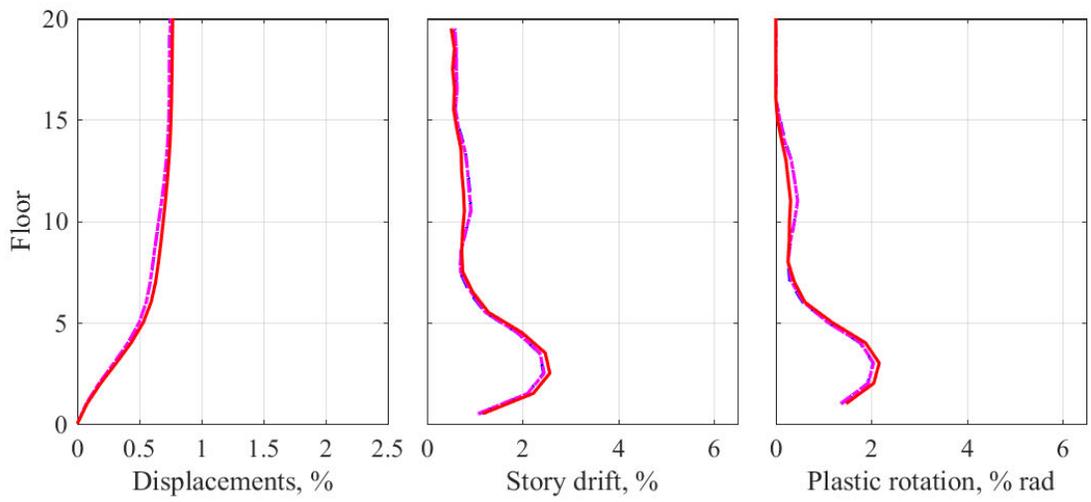
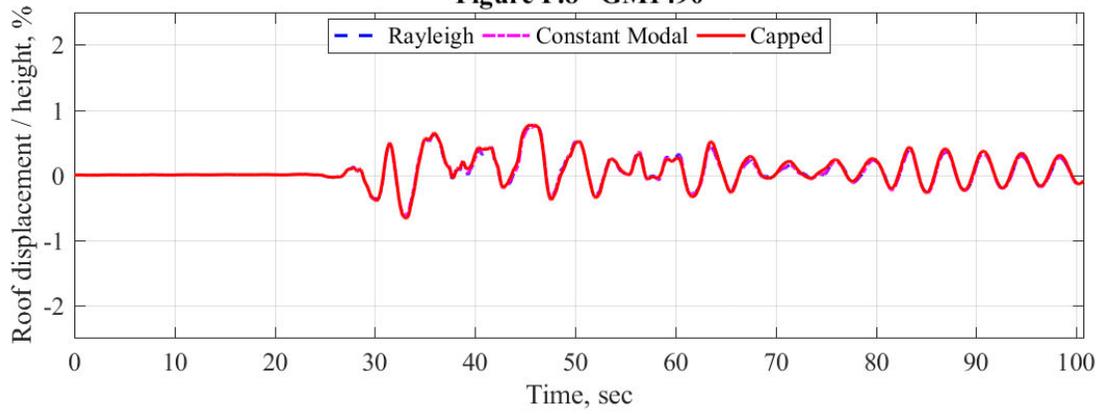
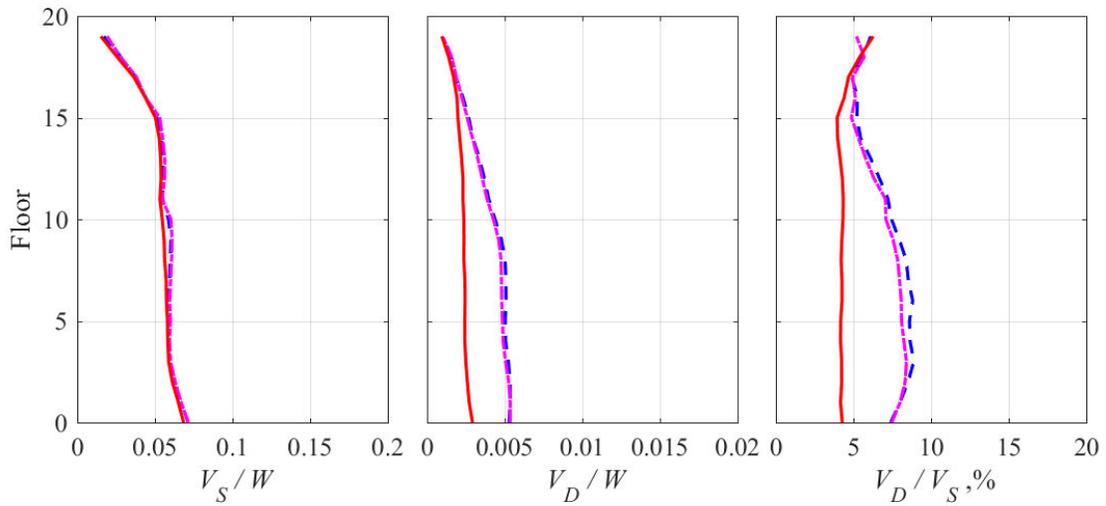
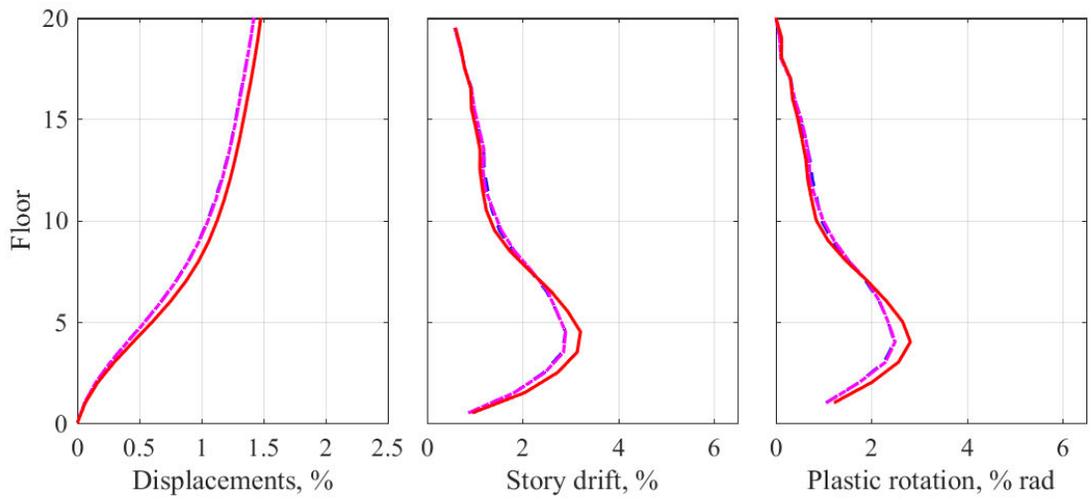
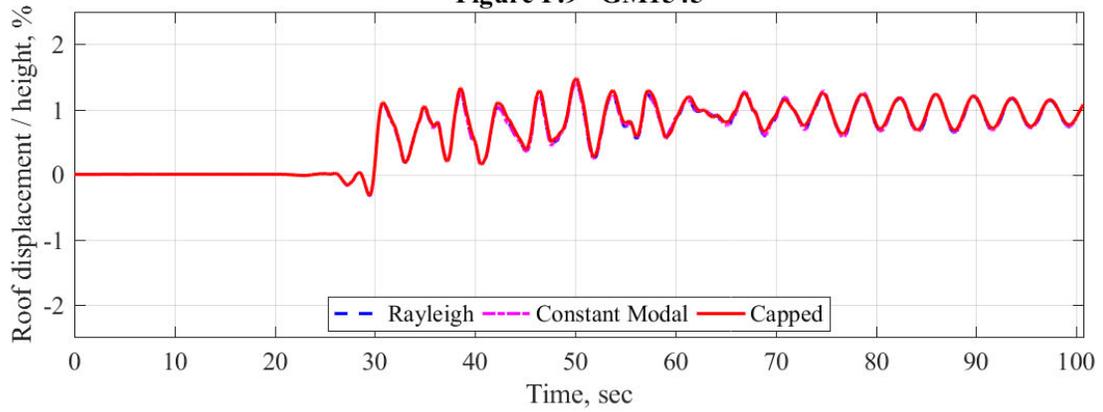
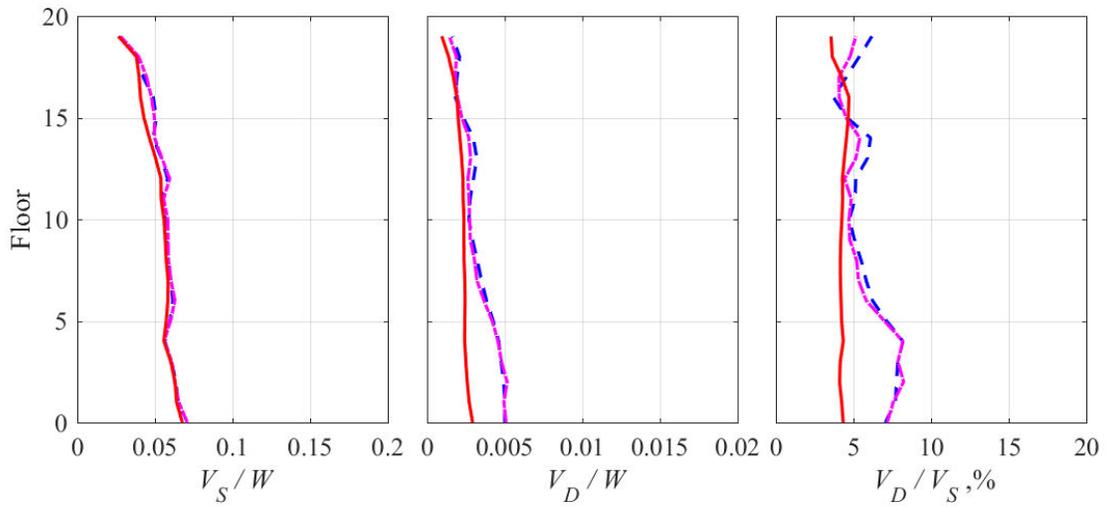
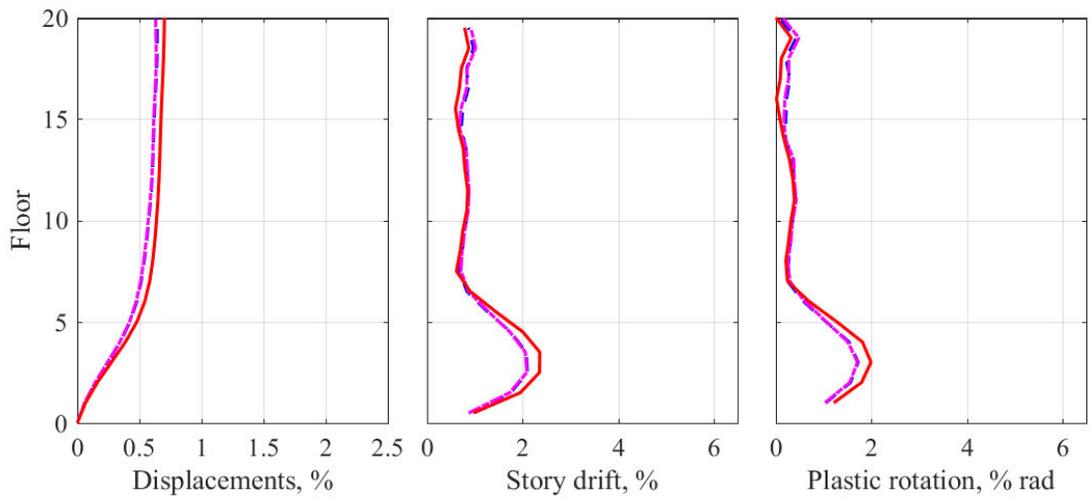
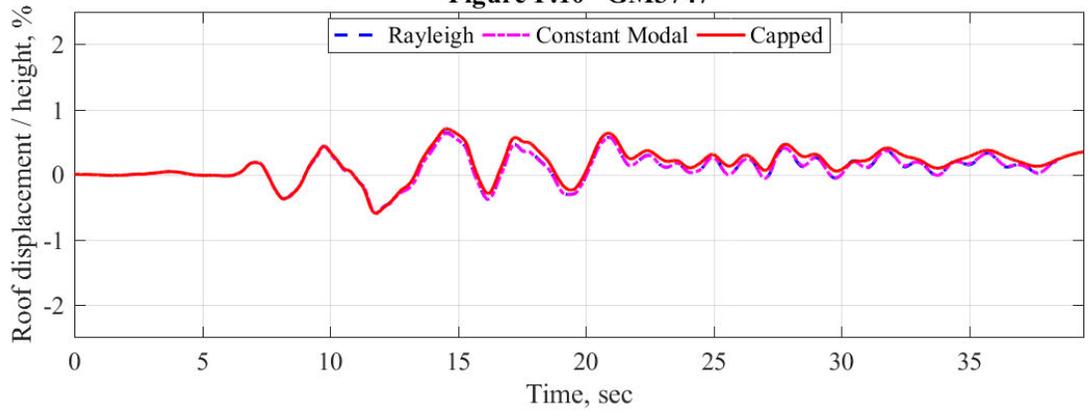


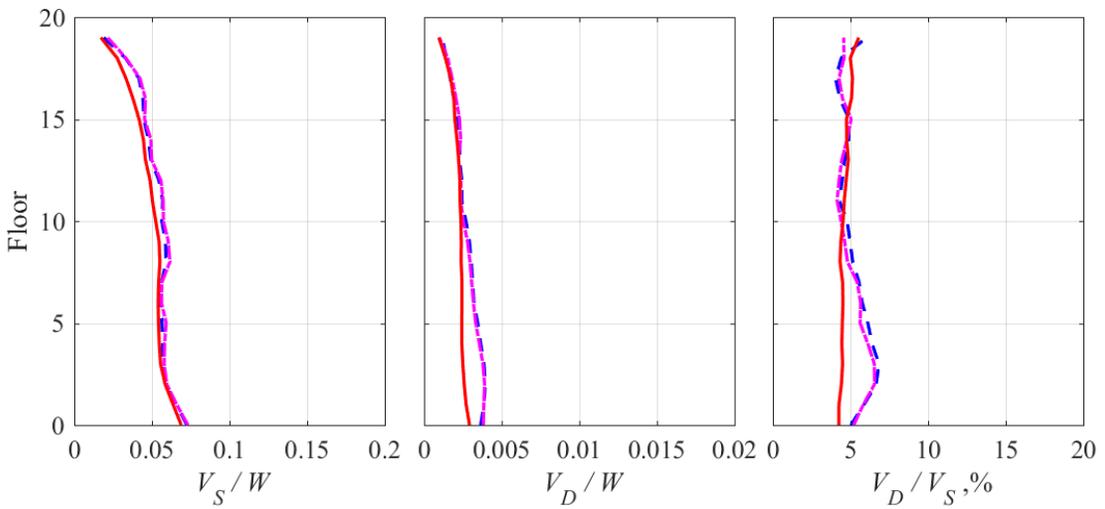
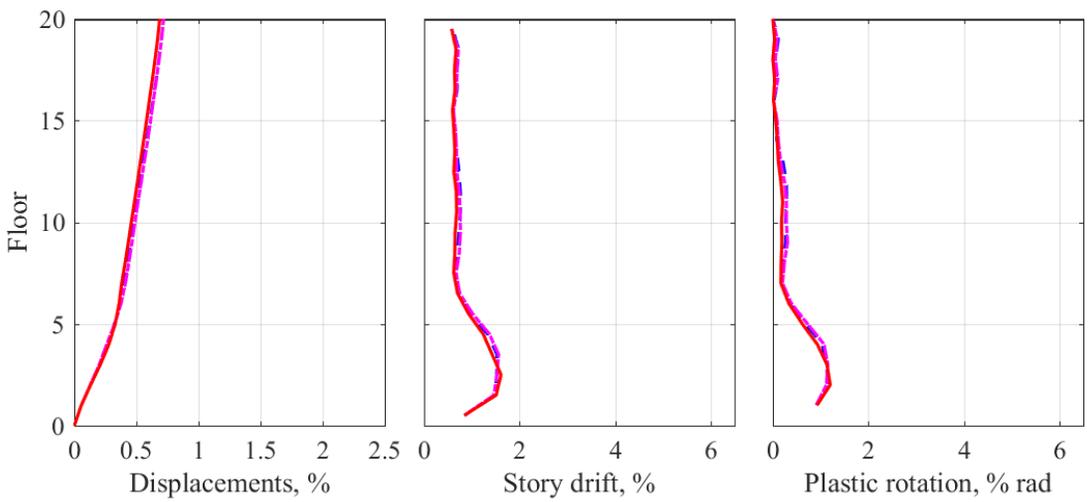
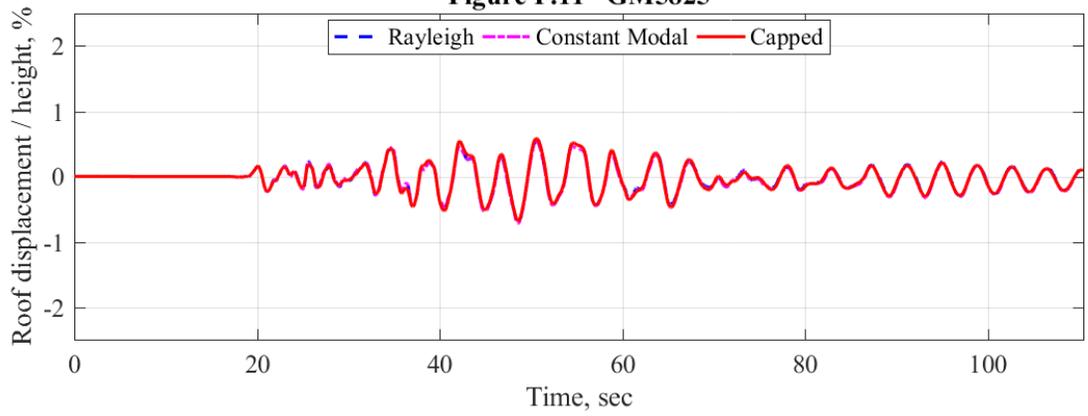
Figure F.9 GM1545



**Figure F.10 GM3747**



**Figure F.11 GM5825**



**Table F.2 Response of a simple model of the 20-story frame to 11 GMs corresponding to 2% PE in 50 years; 5% damping.**

GM#	Story drift, %			Plastic rotations, % radian			V <sub>D</sub> /V <sub>S</sub> at base, %		
	Rayleigh	Constant modal	Capped	Rayleigh	Constant modal	Capped	Rayleigh	Constant modal	Capped
1183	1.91	1.83	2.14	1.53	1.45	1.75	17.1	17.1	11.9
1188	3.88	4.22	4.44	3.50	3.85	4.02	16.0	16.3	12.0
1213	1.54	1.51	1.38	1.15	1.13	0.99	15.5	16.4	11.9
1237	2.34	2.42	2.27	1.90	2.00	1.81	18.0	19.4	11.2
1261	1.76	1.78	1.72	1.35	1.38	1.31	14.2	15.8	10.6
1436	1.45	1.37	1.34	1.02	0.94	0.91	16.6	16.8	11.9
1471	1.39	1.42	1.36	0.99	1.03	0.96	11.9	12.7	10.1
1490	2.40	2.42	2.61	2.00	2.01	2.23	15.6	17.5	10.7
1545	2.33	2.42	2.69	1.94	2.03	2.30	18.5	19.1	10.8
3747	2.12	2.10	2.39	1.73	1.72	2.02	16.1	17.3	10.5
5825	1.35	1.32	1.24	0.94	0.91	0.84	14.3	15.3	11.2

**Response of a simple model of the 20-story frame to 11 GMs  
corresponding to 2% PE in 50 years; 5% damping**

**Figures F.12–F.22**

Results for 11 GMs listed in Table F.2 are presented in Figures F.12–F.22. Each figure is organized as follows:

<b>Top row</b>	Roof displacement history
<b>Middle row</b>	Peak values of floor displacement, story drifts, and plastic rotations
<b>Bottom row</b>	Peak story shears, $V_s$ , peak story damping forces, $V_D$ , and ratio $V_D / V_s$

**Figure F.12 GM1183**

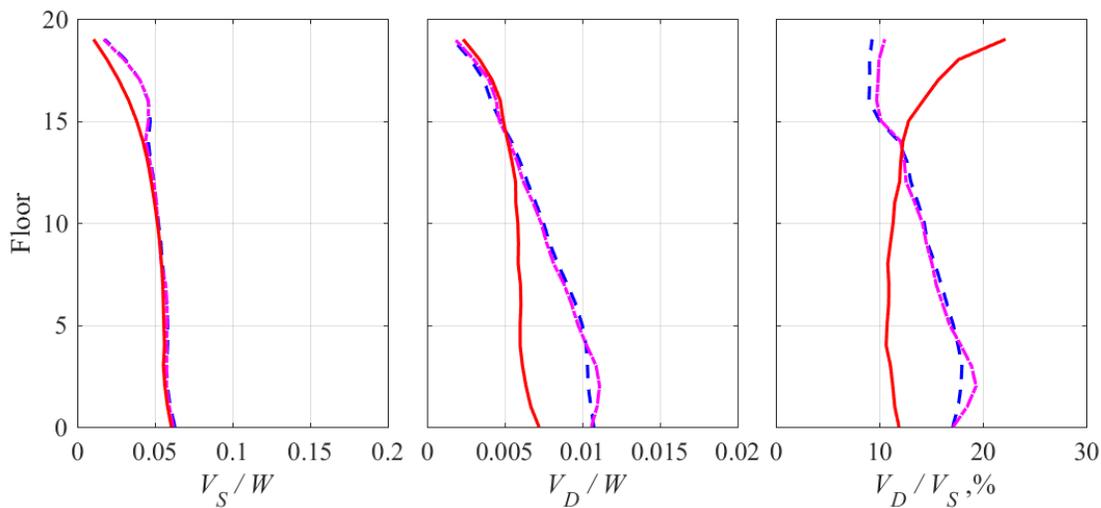
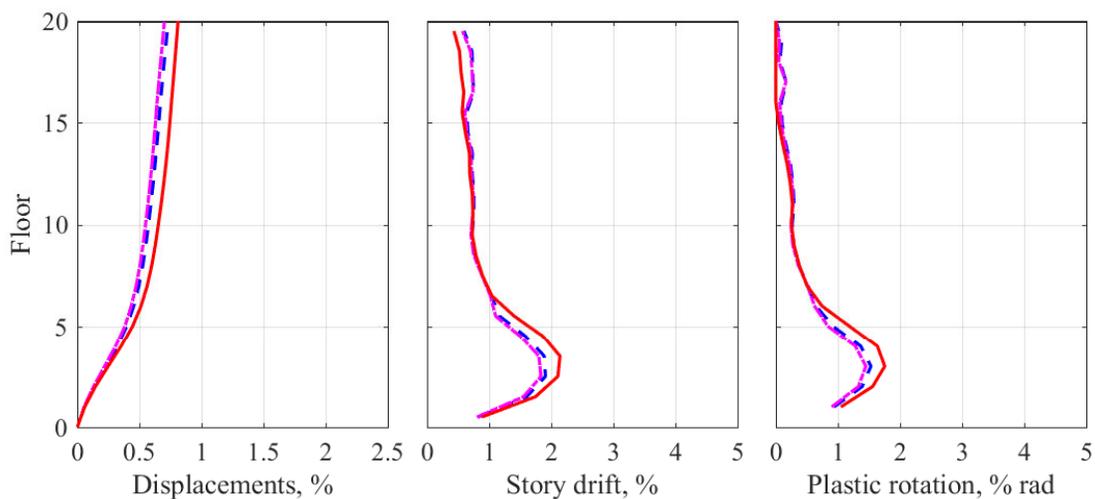
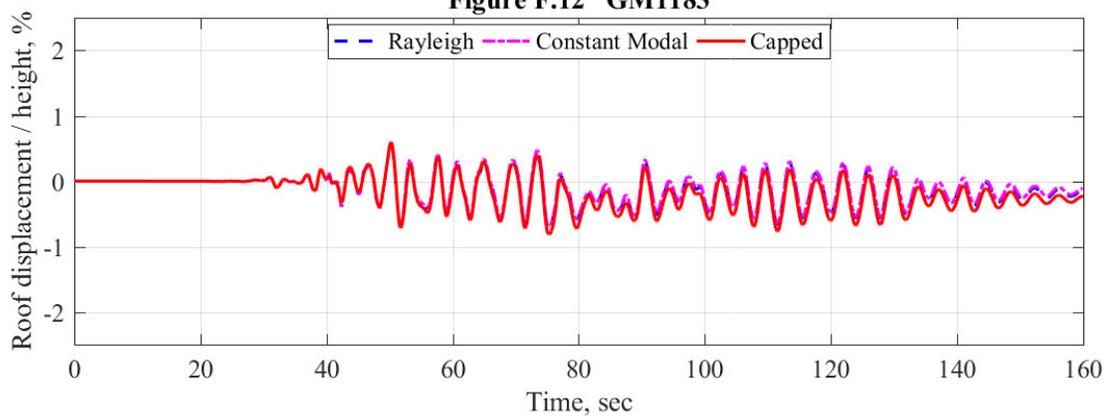
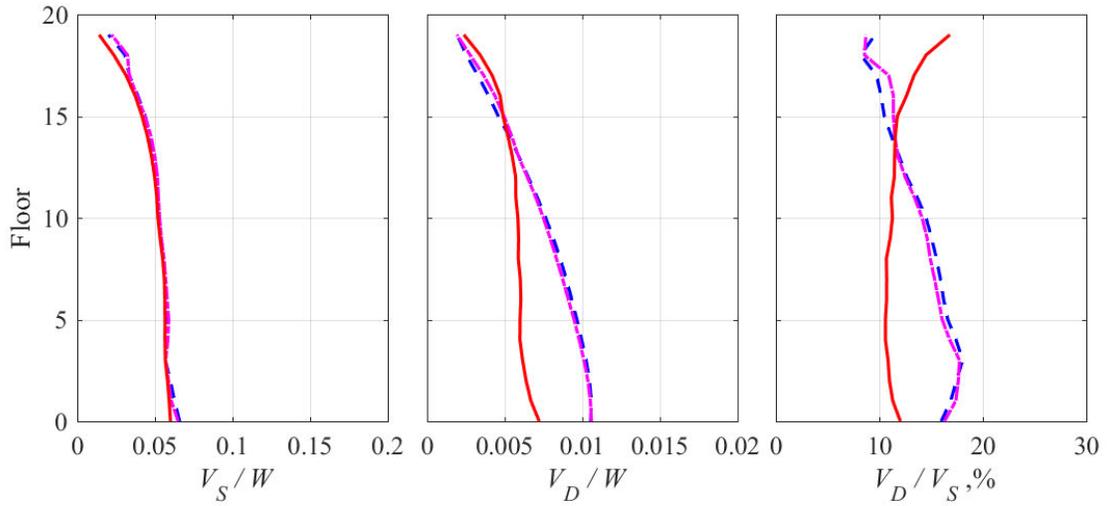
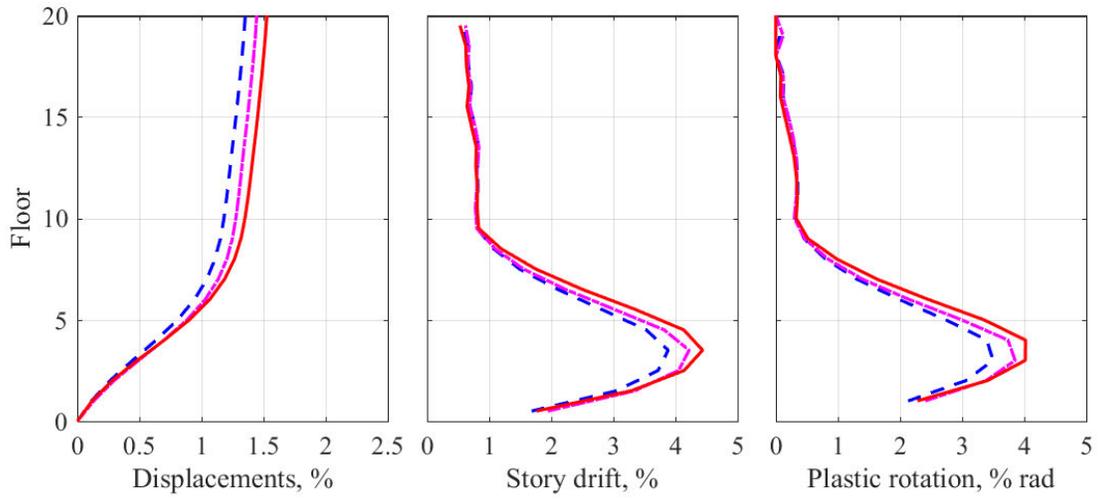
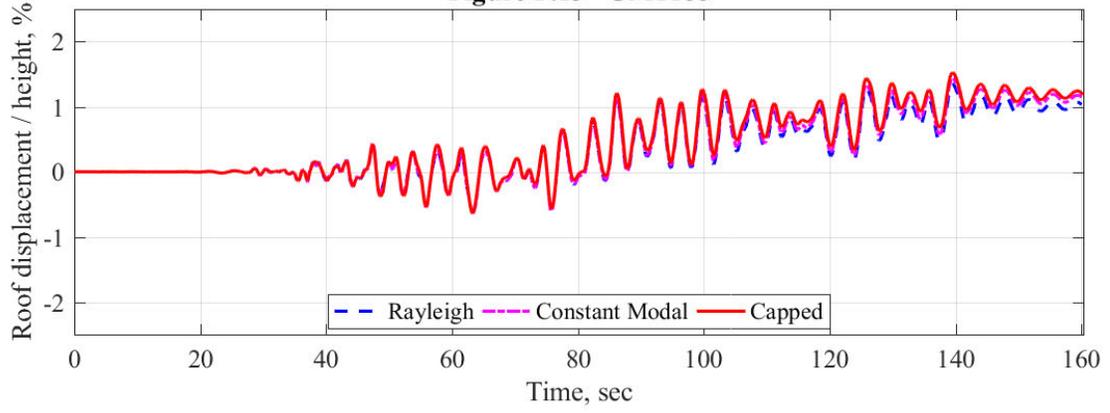


Figure F.13 GM1188



**Figure F.14 GM1213**

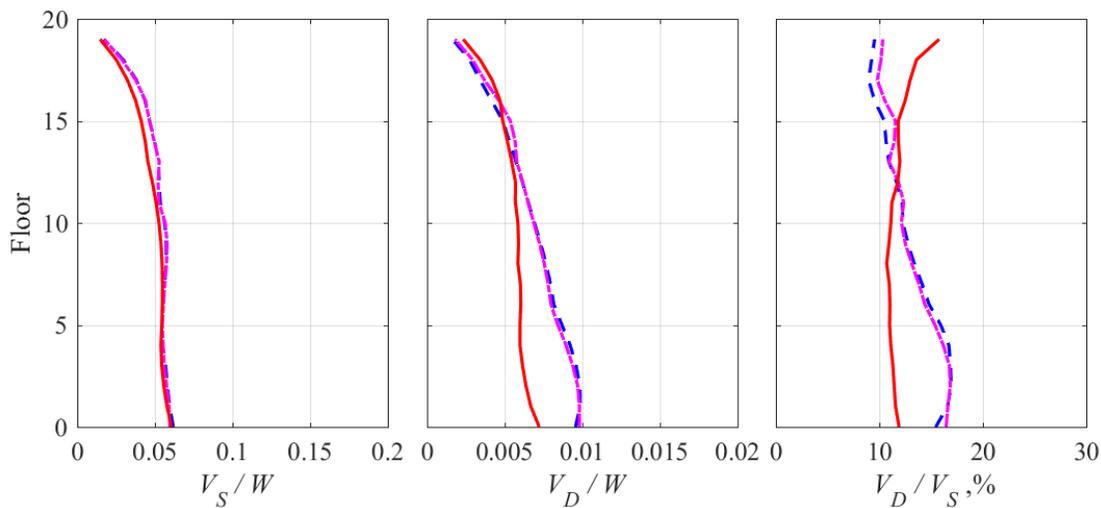
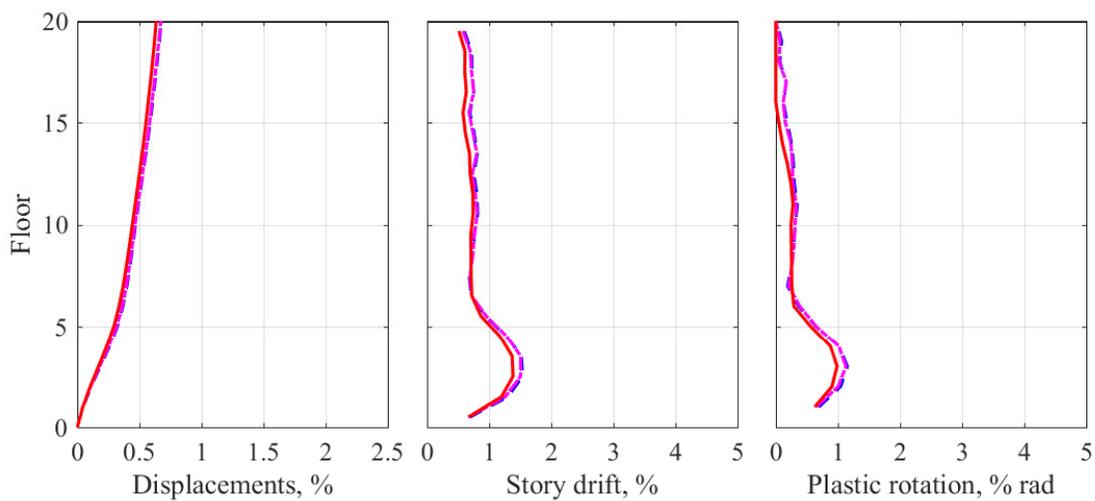
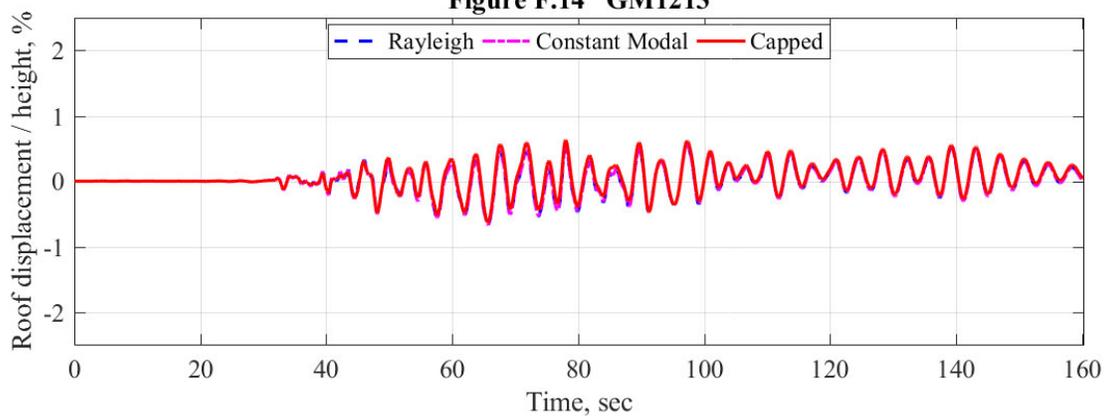
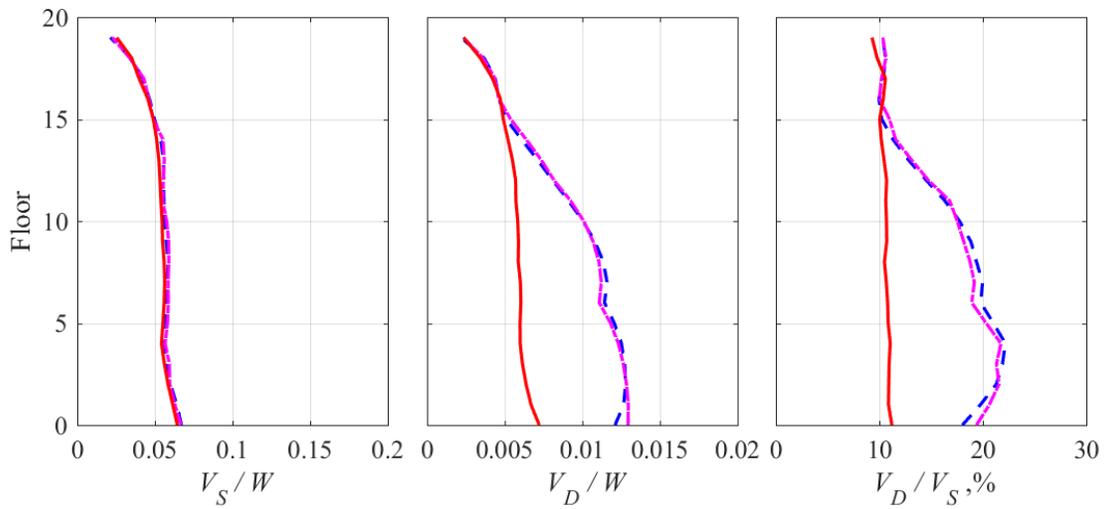
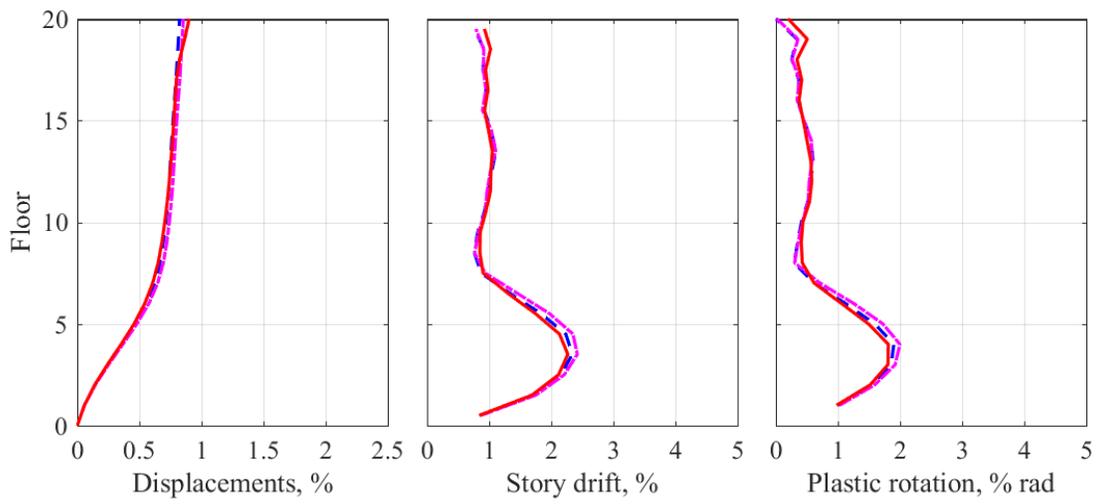
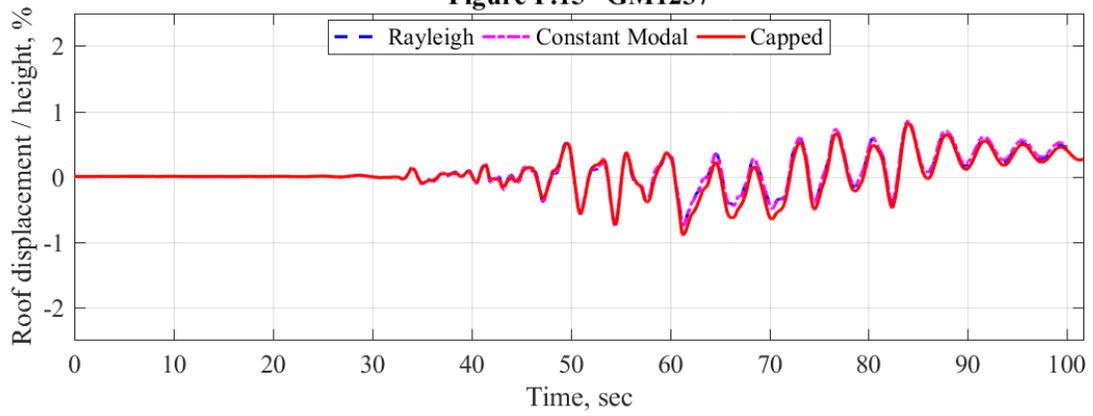


Figure F.15 GM1237



**Figure F.16 GM1261**

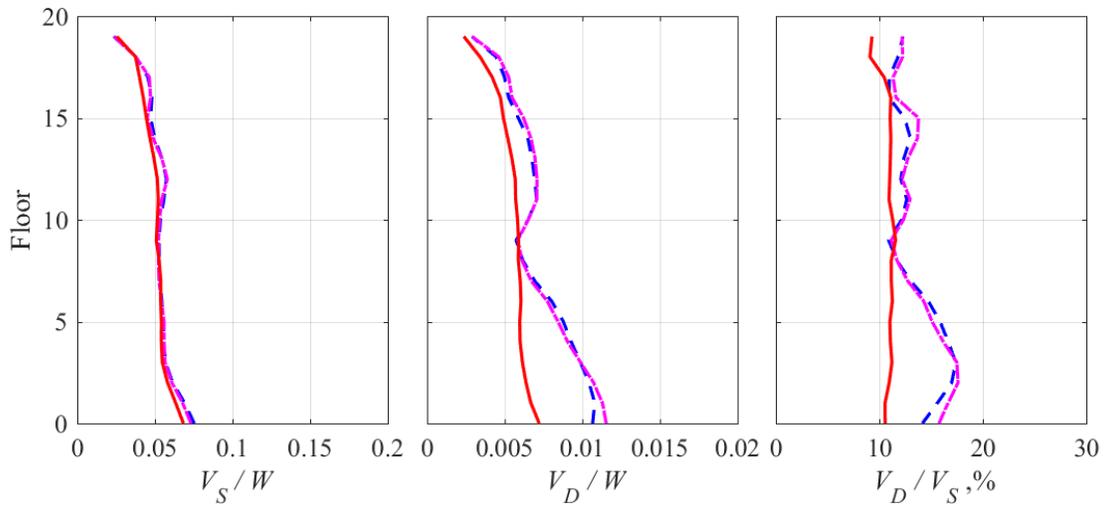
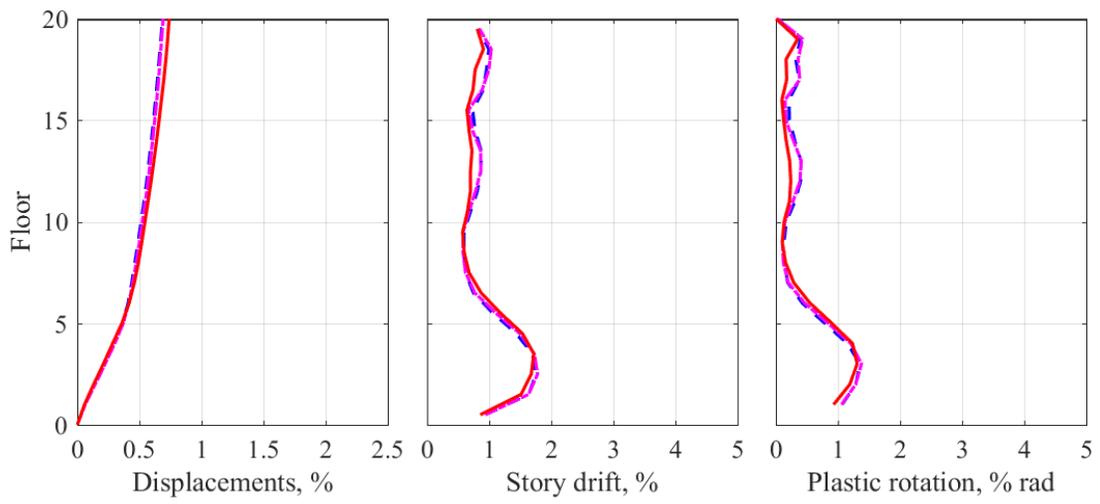
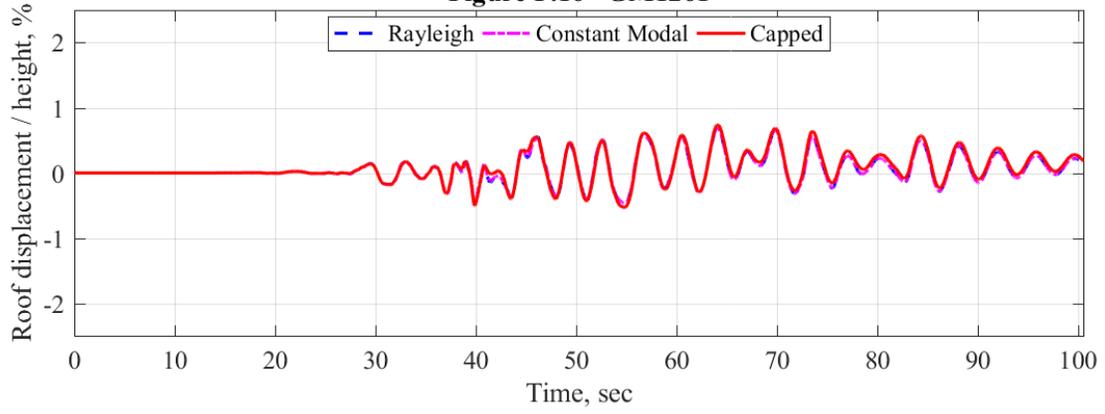
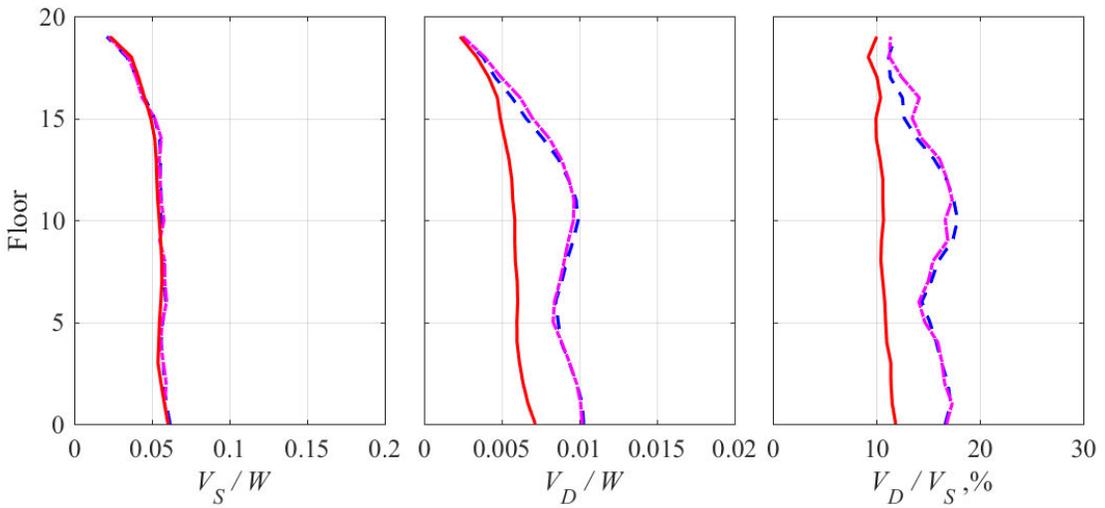
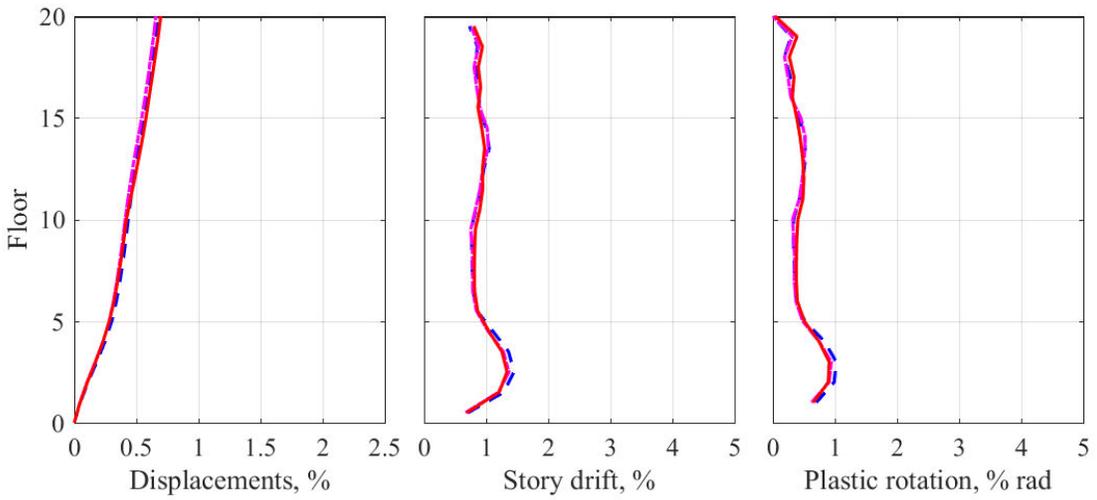
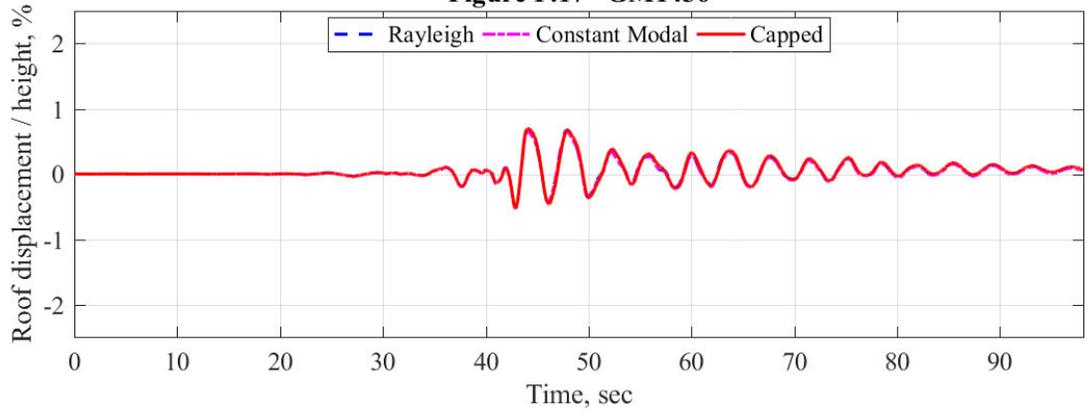
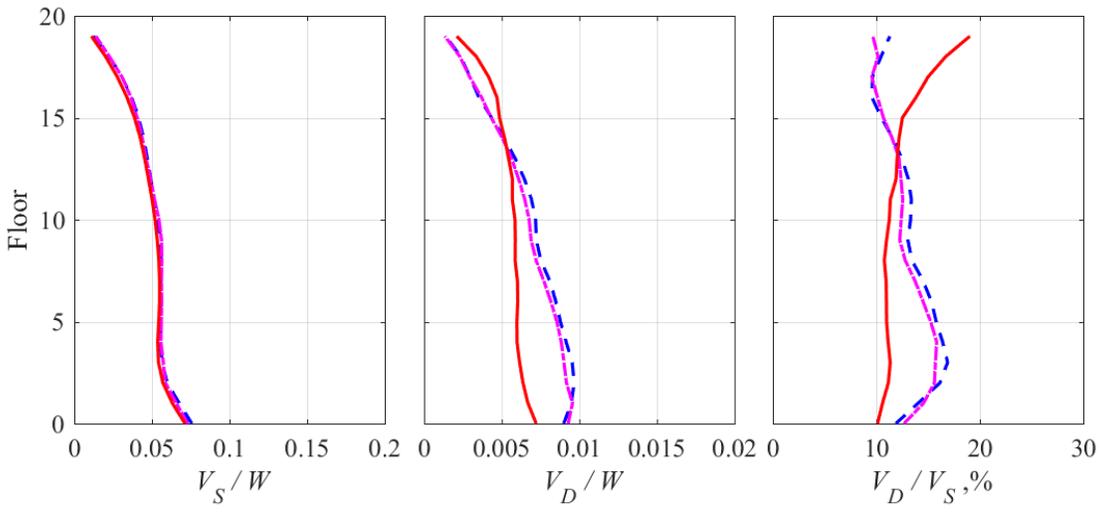
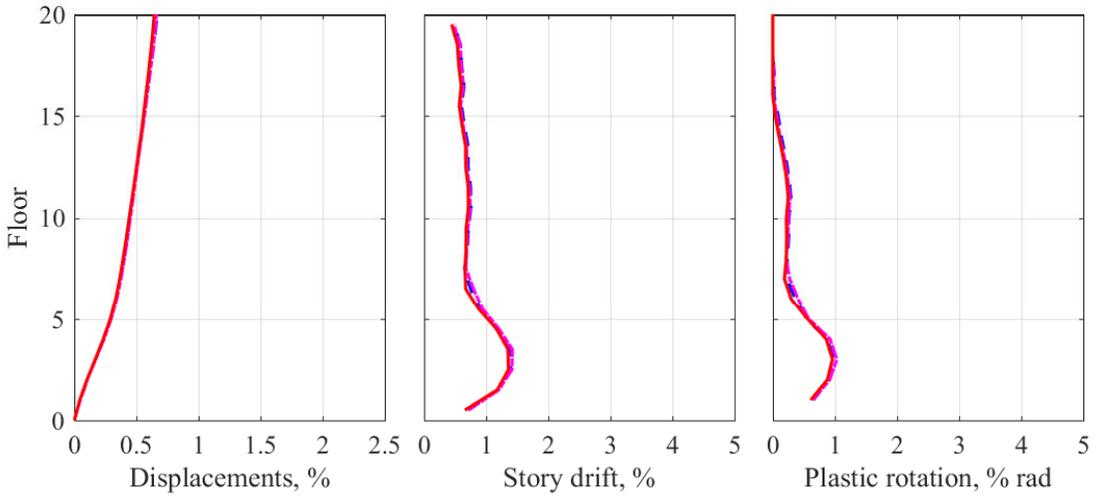
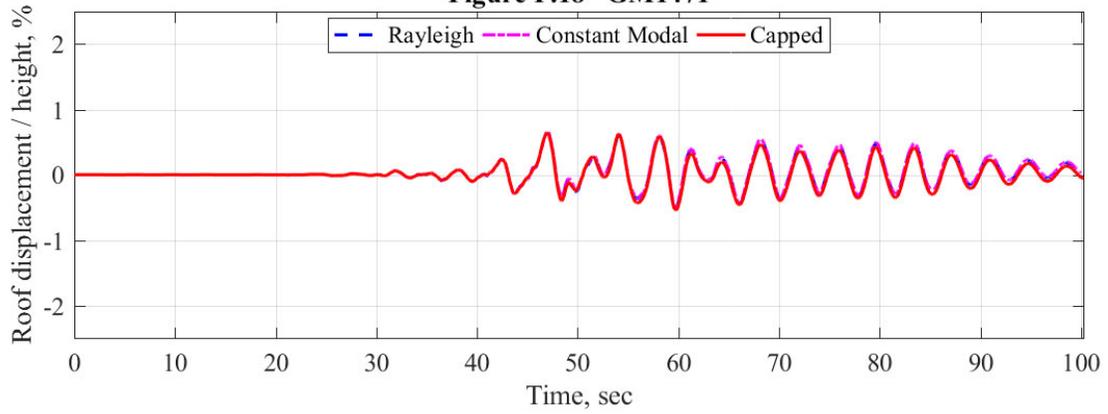


Figure F.17 GM1436



**Figure F.18 GM1471**



Note: the  $V_D/V_S$  ratio is approximately 10%, which is equal to  $2\zeta$  according to the definition of capped damping, in the lower ten stories. This ratio is significantly larger in stories 15–20 because these stories did not yield (as evidenced by zero plastic hinge rotation), and the story shears were lower than story strengths.

**Figure F.19 GM1490**

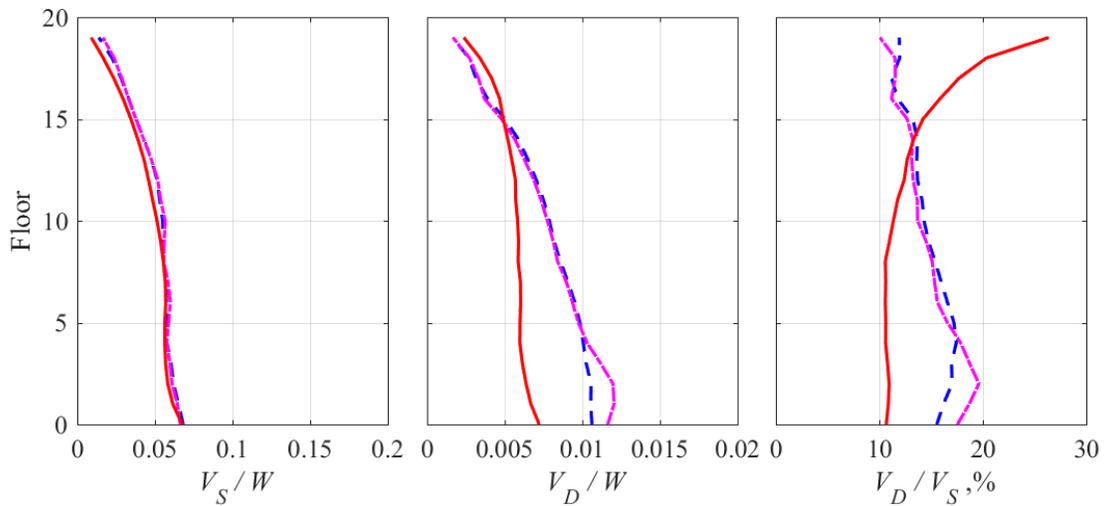
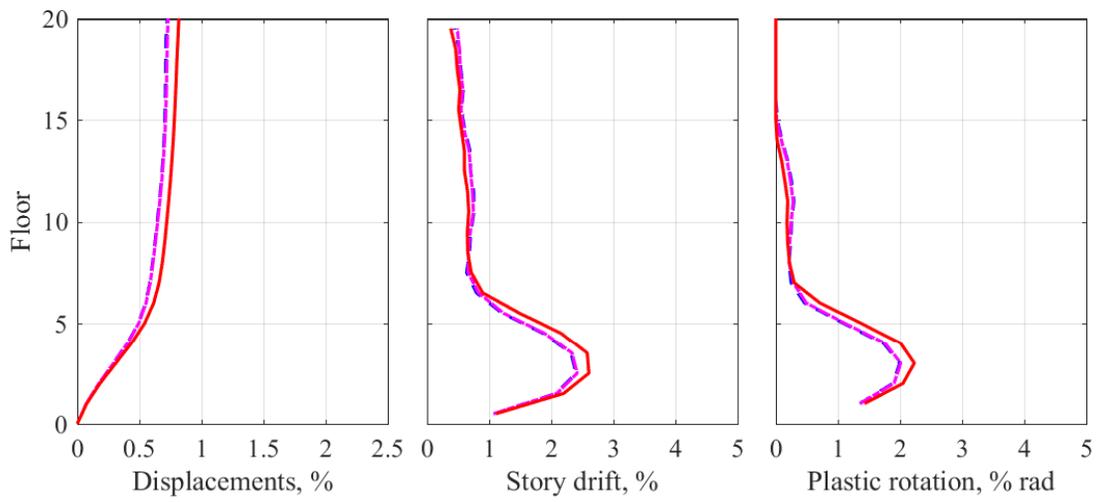
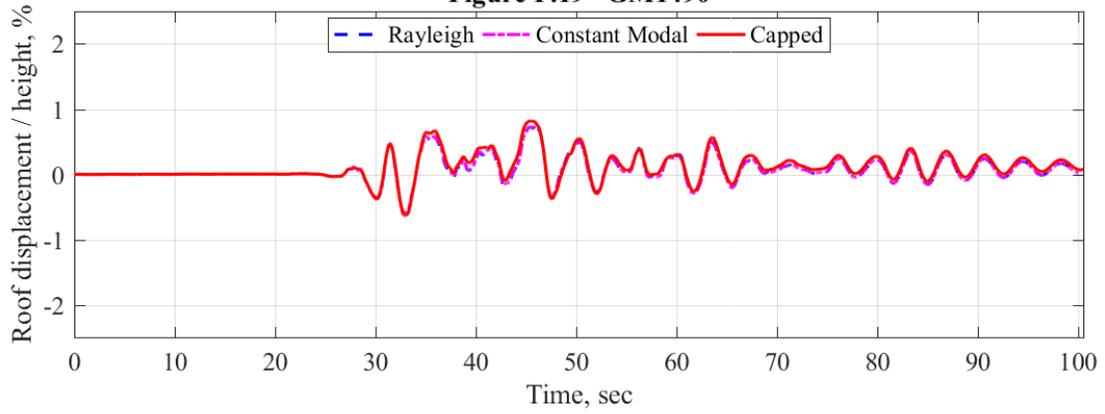
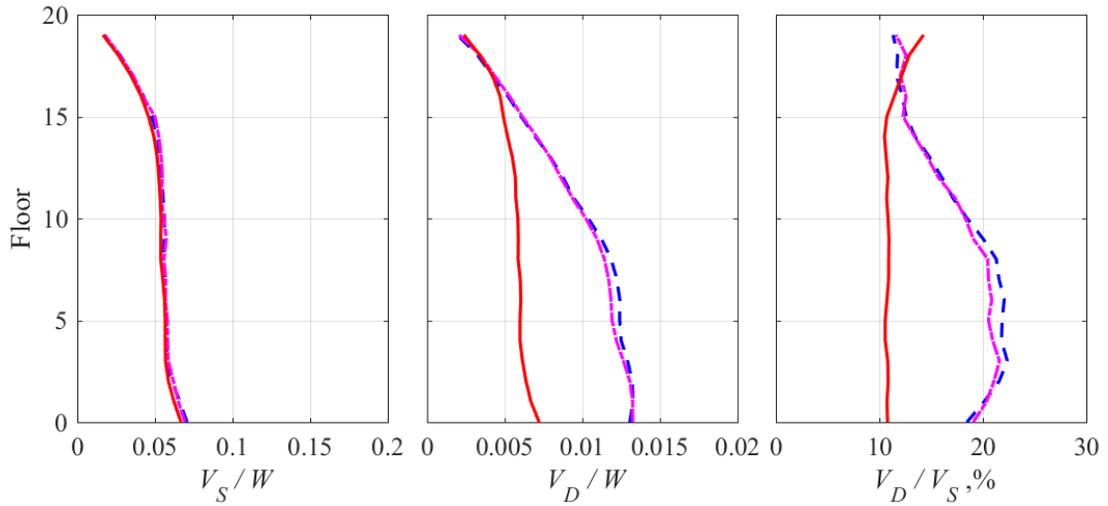
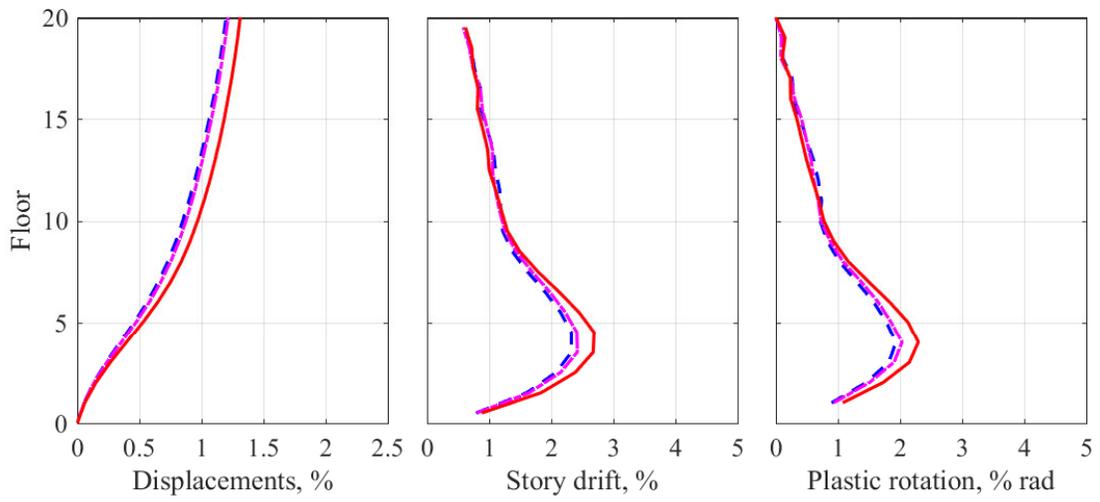
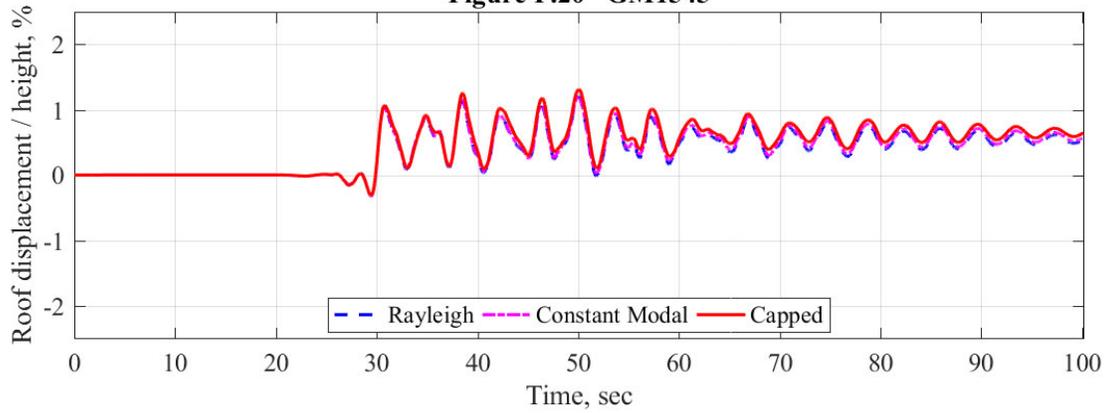
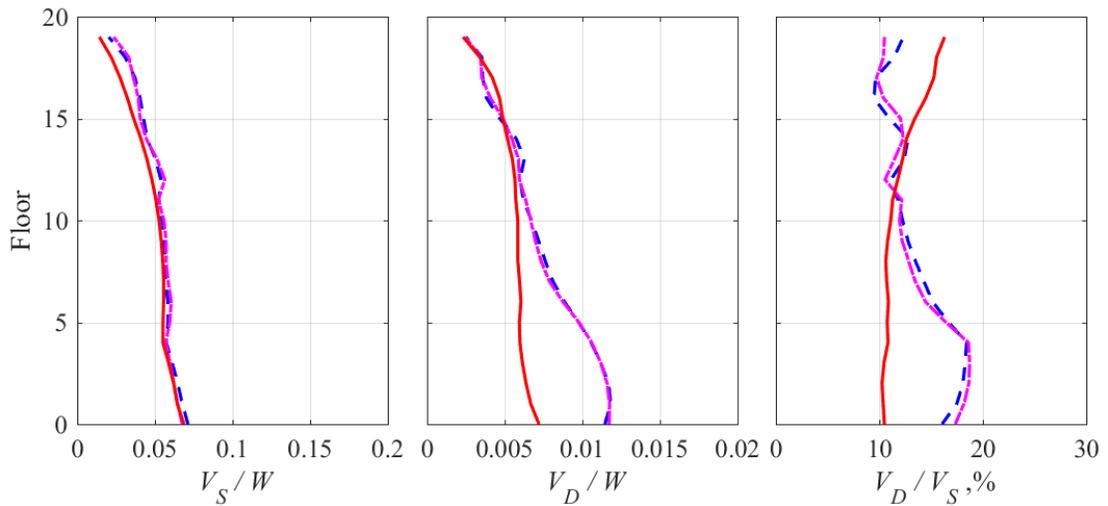
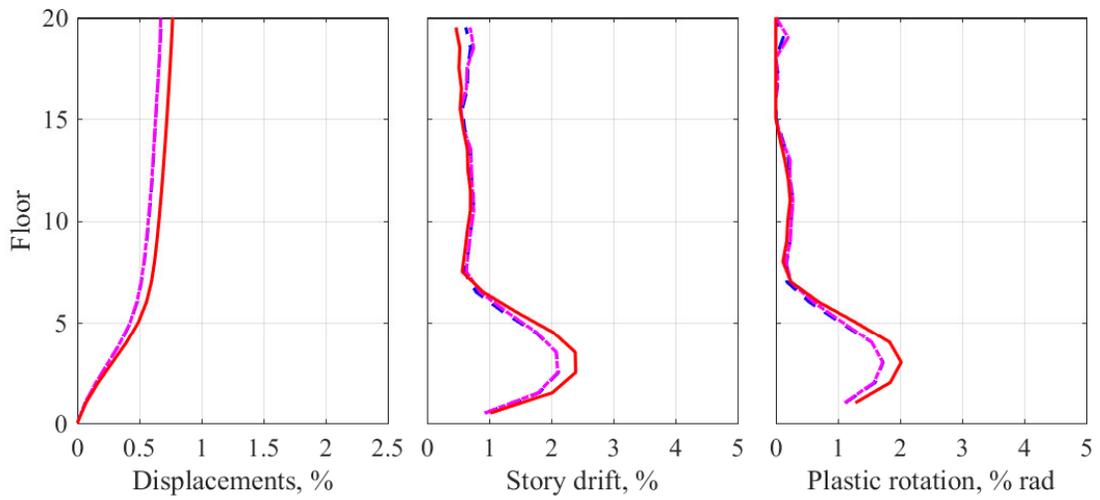
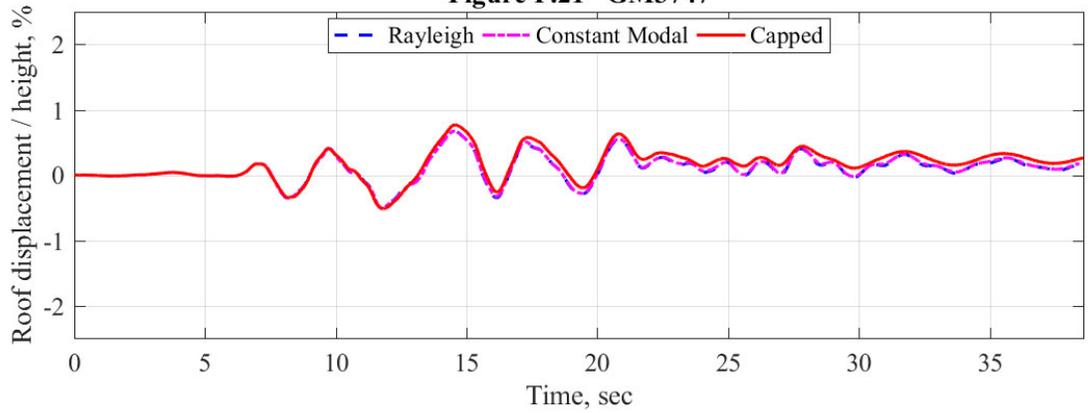


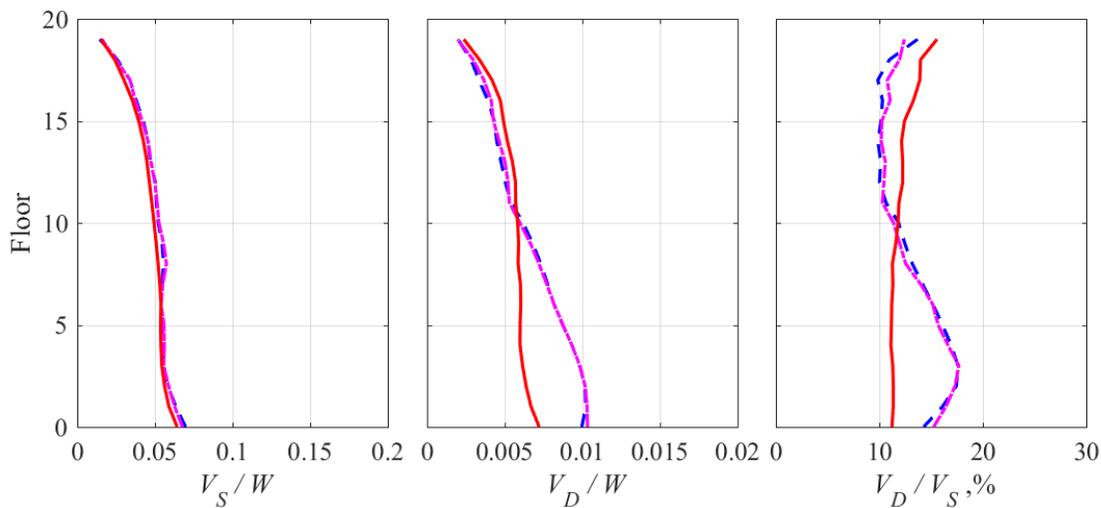
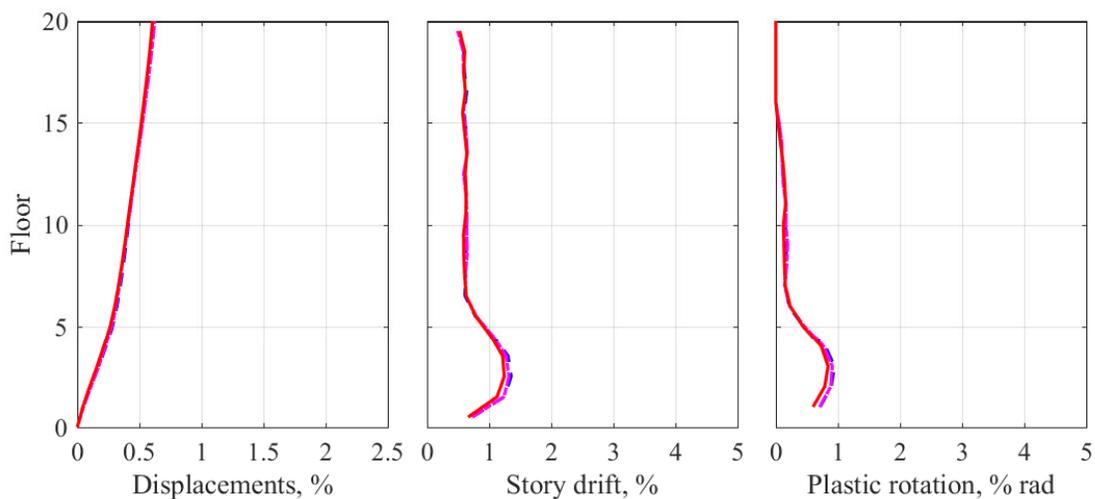
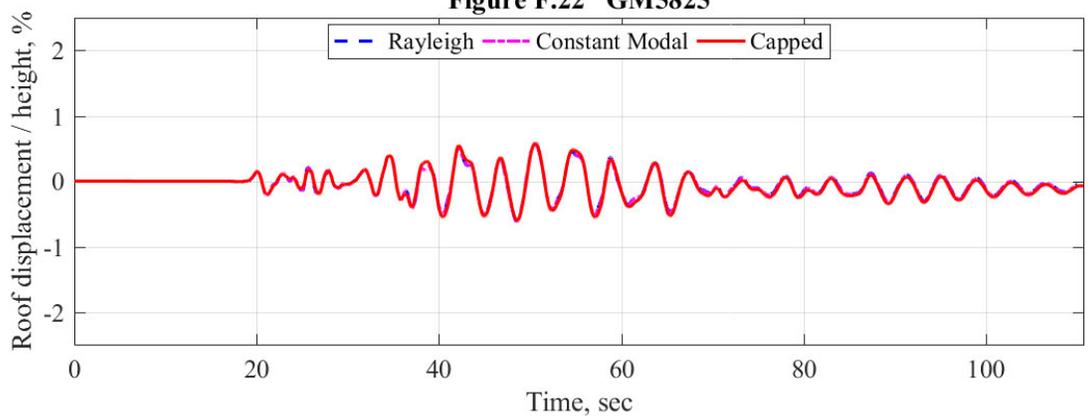
Figure F.20 GM1545



**Figure F.21 GM3747**



**Figure F.22 GM5825**



**Table F.3 Response of an enhanced model of the 20-story building to 11 GMs corresponding to 2% PE in 50 years.**

GM#	Story drift, %			Plastic rotations, % radian			V <sub>D</sub> /V <sub>S</sub> at base, %		
	Rayleigh	Constant modal	Capped	Rayleigh	Constant modal	Capped	Rayleigh	Constant modal	Capped
185	2.05	2.13	2.26	1.81	1.91	2.10	9.5	9.7	5.9
838	1.39	1.41	1.37	1.02	1.05	0.99	7.4	7.3	6.1
1193	2.10	2.10	2.18	1.92	1.92	2.04	8.3	8.6	5.8
1213	1.68	1.68	1.73	1.36	1.37	1.36	8.4	8.4	5.8
1223	1.61	1.60	1.61	1.32	1.31	1.32	10.3	10.2	6.2
1430	1.47	1.50	1.57	1.10	1.13	1.25	8.9	9.0	6.2
1436	1.28	1.28	1.28	0.90	0.90	0.88	7.4	7.2	6.1
1541	1.64	1.64	1.75	1.30	1.30	1.44	8.4	8.6	6.0
1545	1.77	1.79	1.74	1.25	1.26	1.30	10.5	10.7	5.9
1551	1.61	1.66	1.65	1.30	1.35	1.37	6.9	6.9	6.0
6953	2.48	2.55	2.56	2.49	2.61	2.64	9.2	9.2	5.5

**Response of an enhanced model of the 20-story building to 11 GMs  
corresponding to 2% PE in 50 years.**

**Figures F.23–F.33**

Results for 11 GMs listed in Table F.3 are presented in Figures F.23–F.33. Each figure is organized as follows:

<b>Top row</b>	Roof displacement history
<b>Middle row</b>	Peak values of floor displacement, story drifts, and plastic rotations
<b>Bottom row</b>	Peak story shears, $V_s$ , peak story damping forces, $V_D$ , and ratio $V_D / V_s$

Figure F.23 GM185

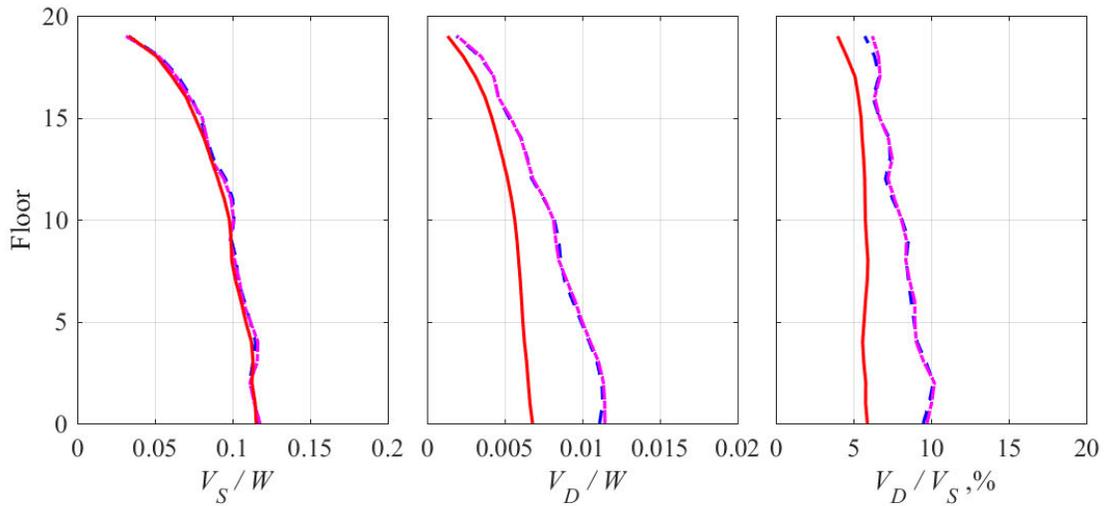
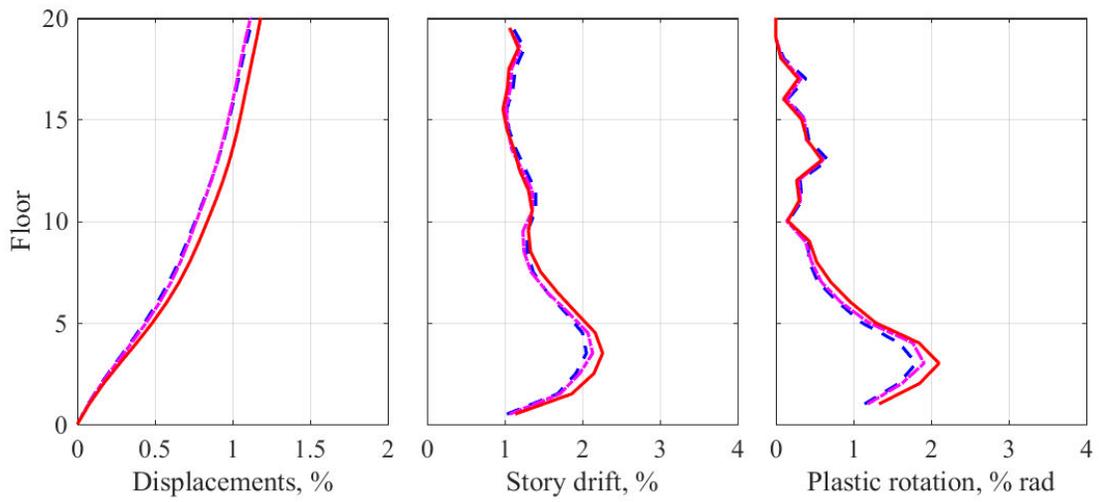
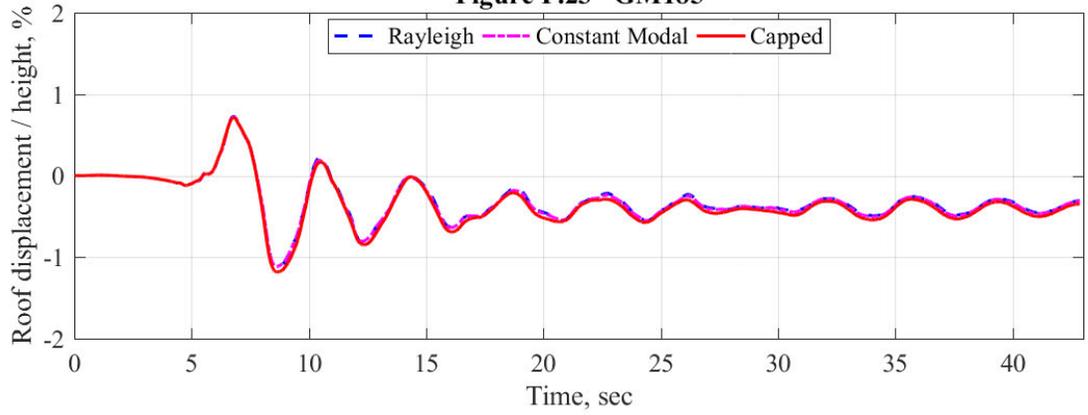
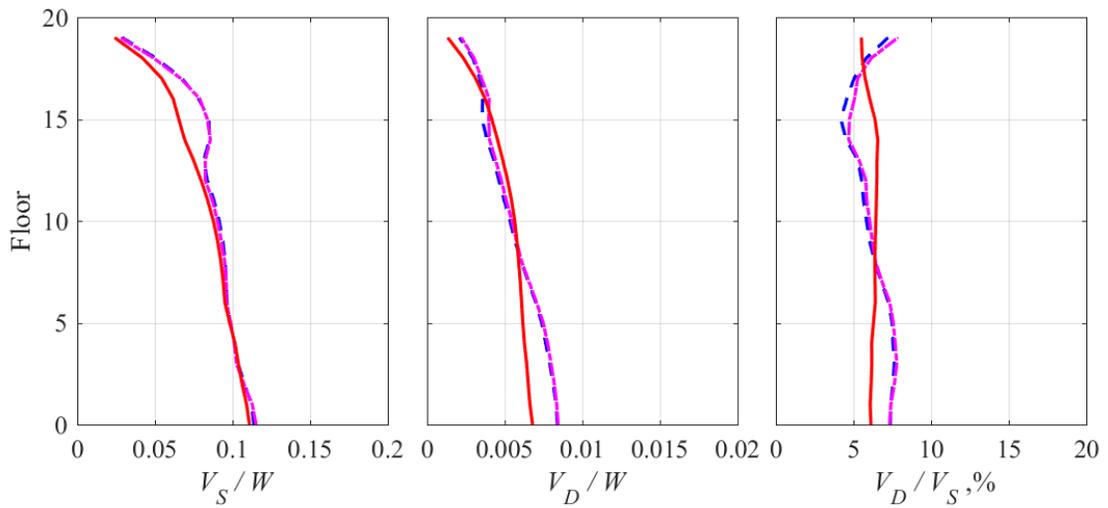
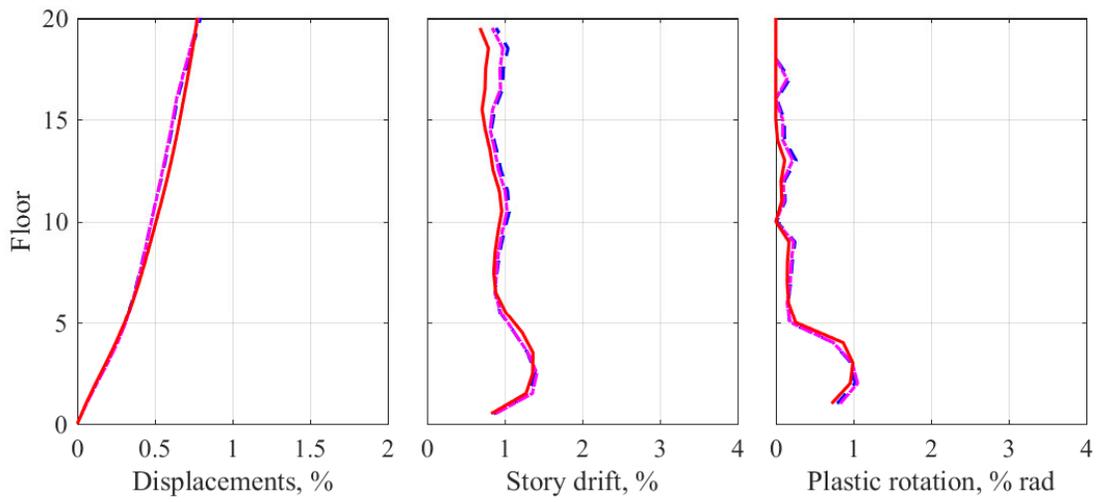
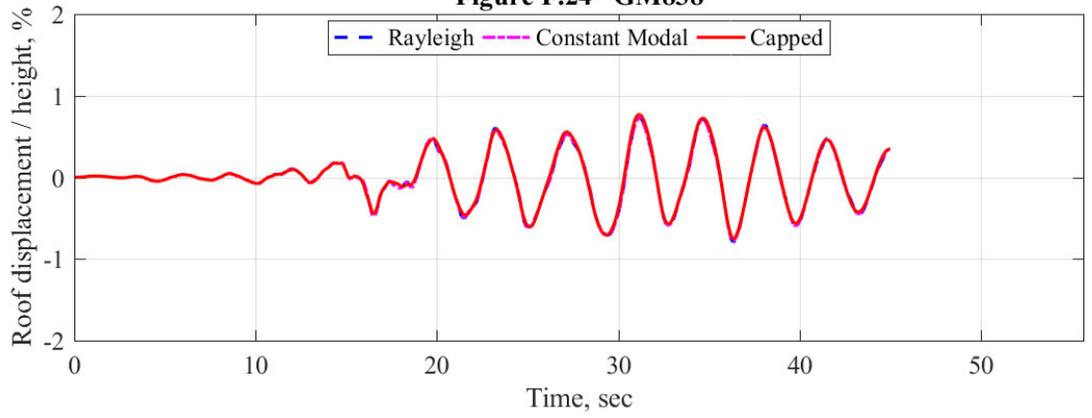
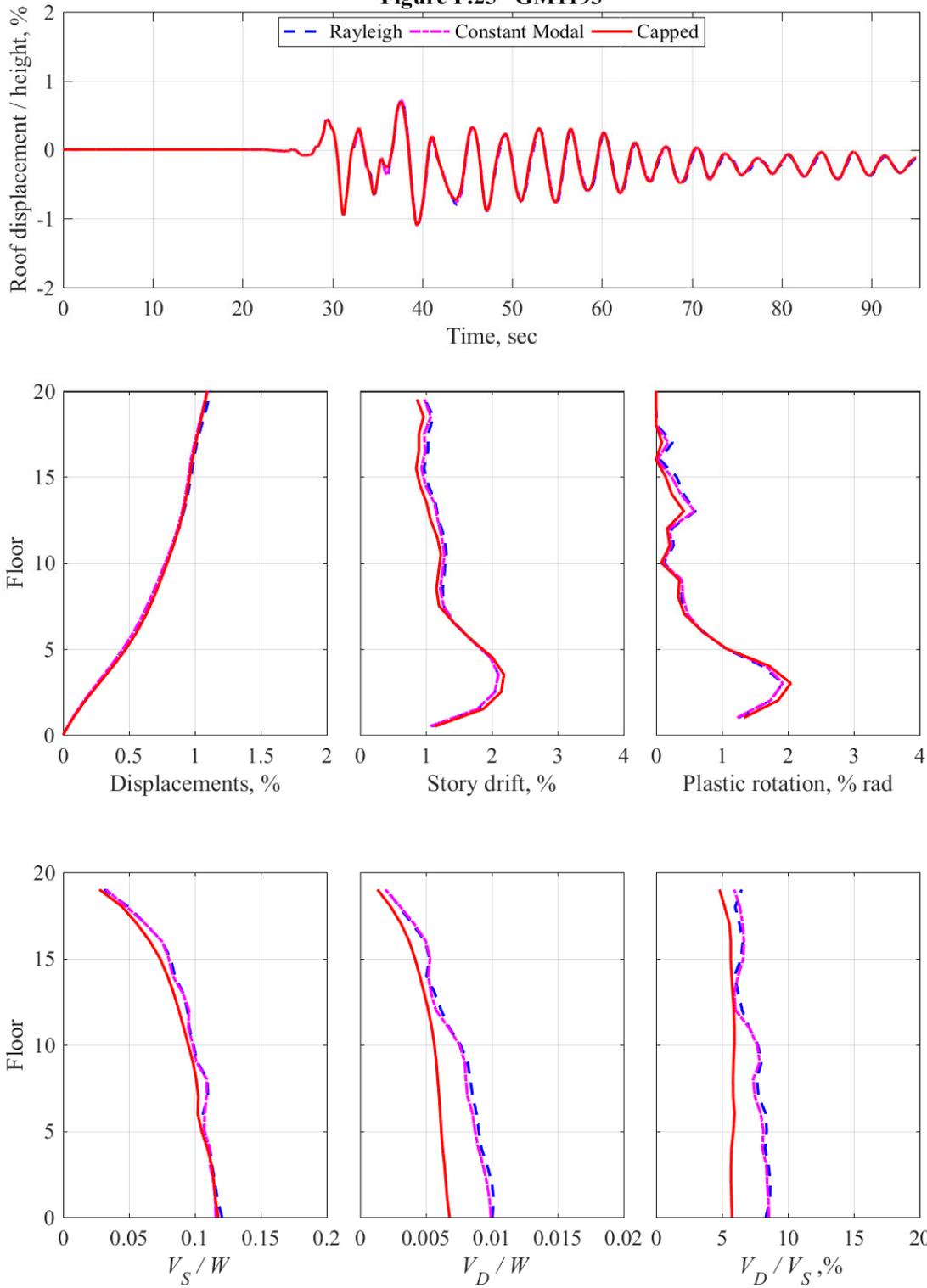


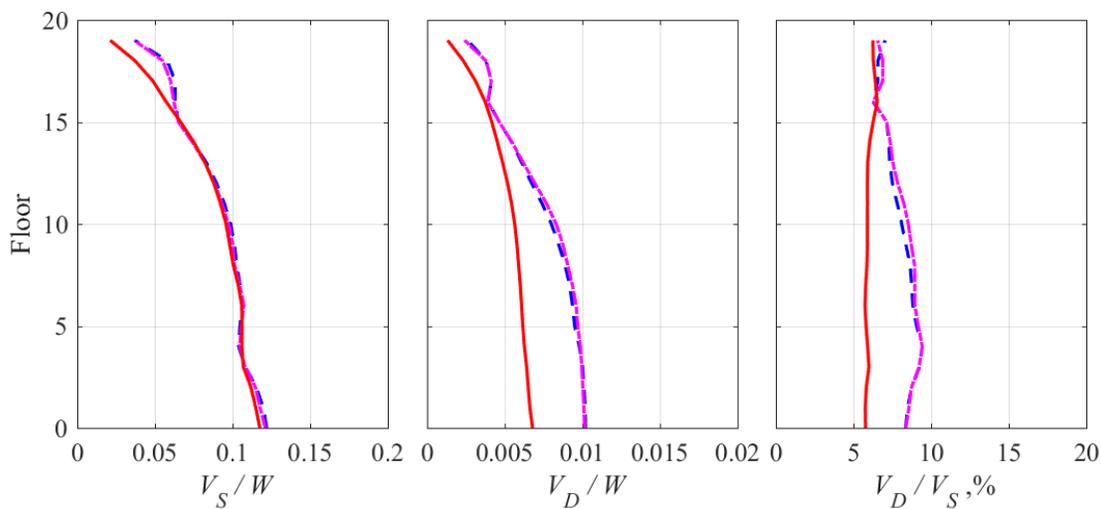
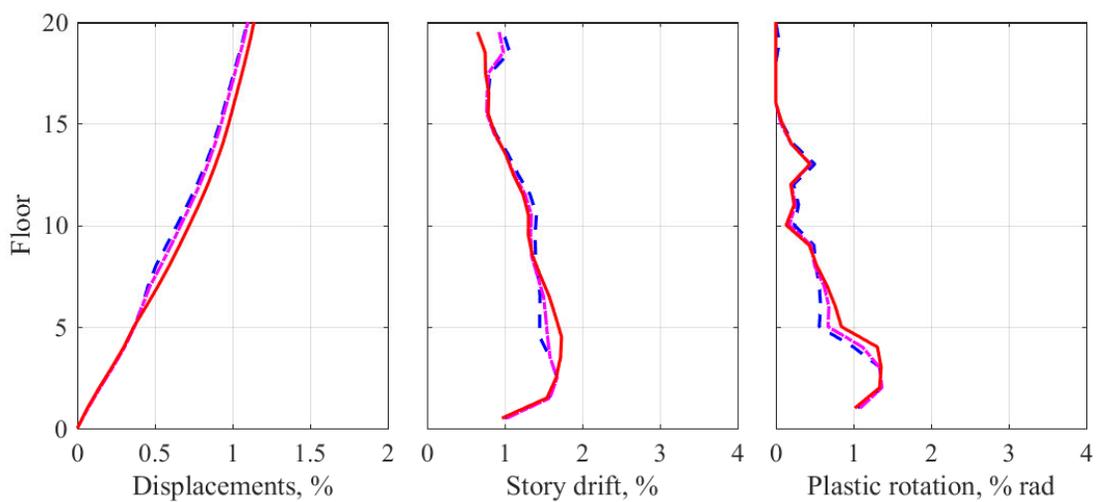
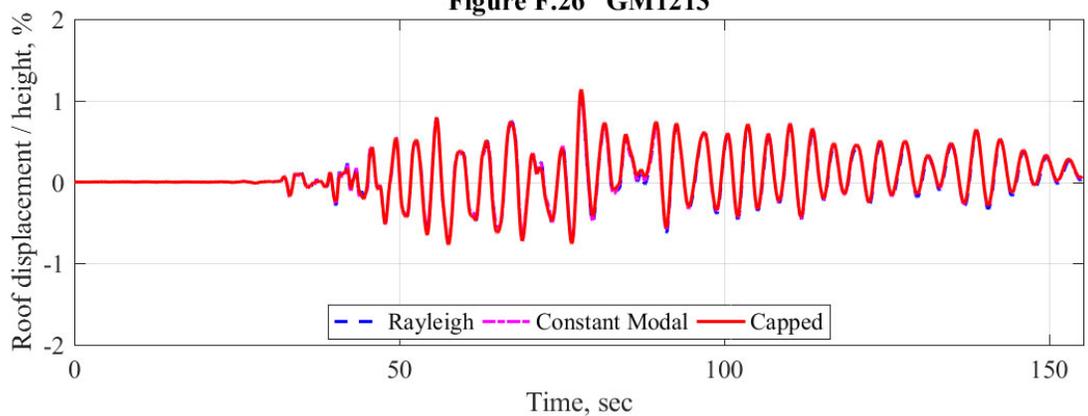
Figure F.24 GM838



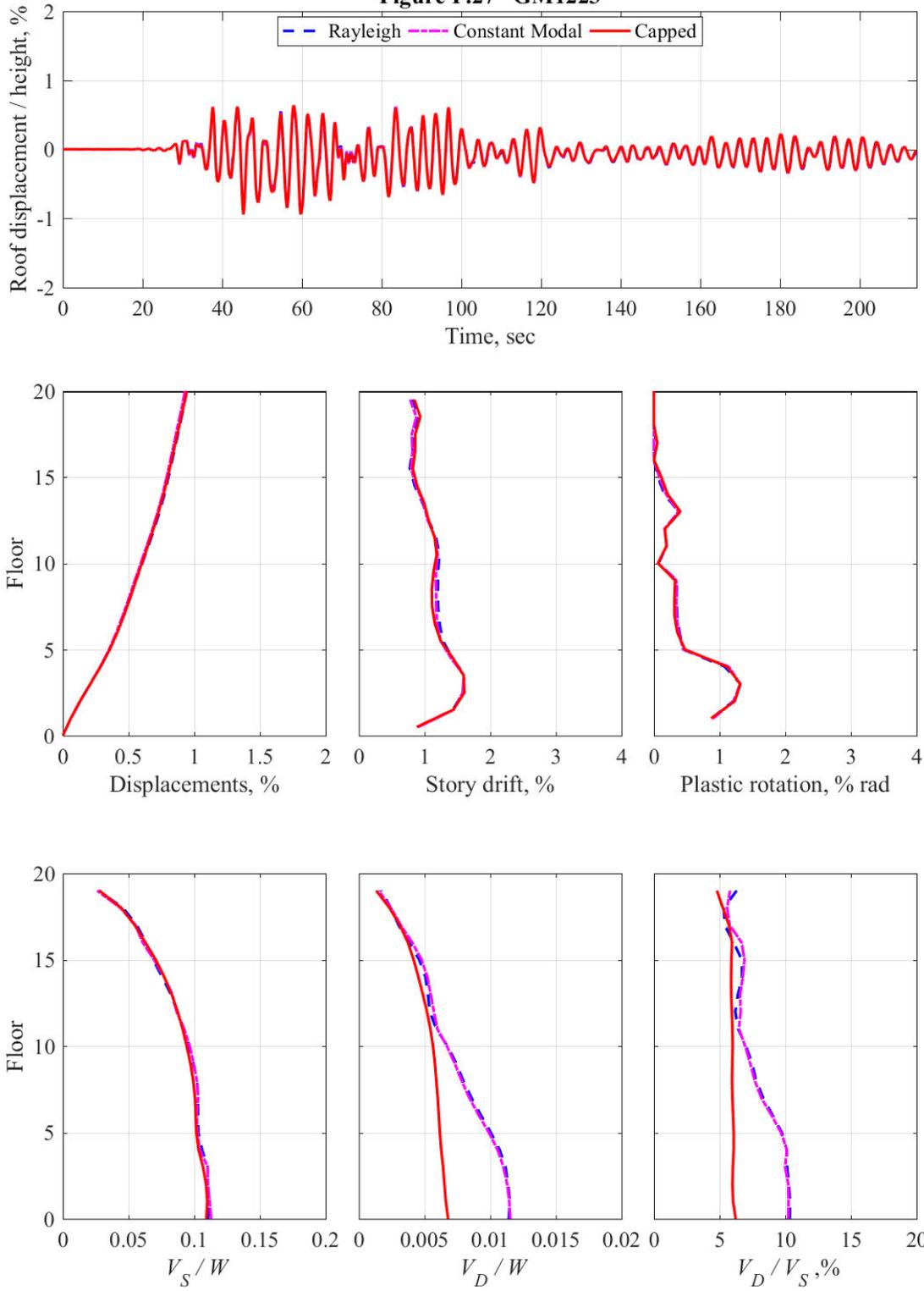
**Figure F.25 GM1193**



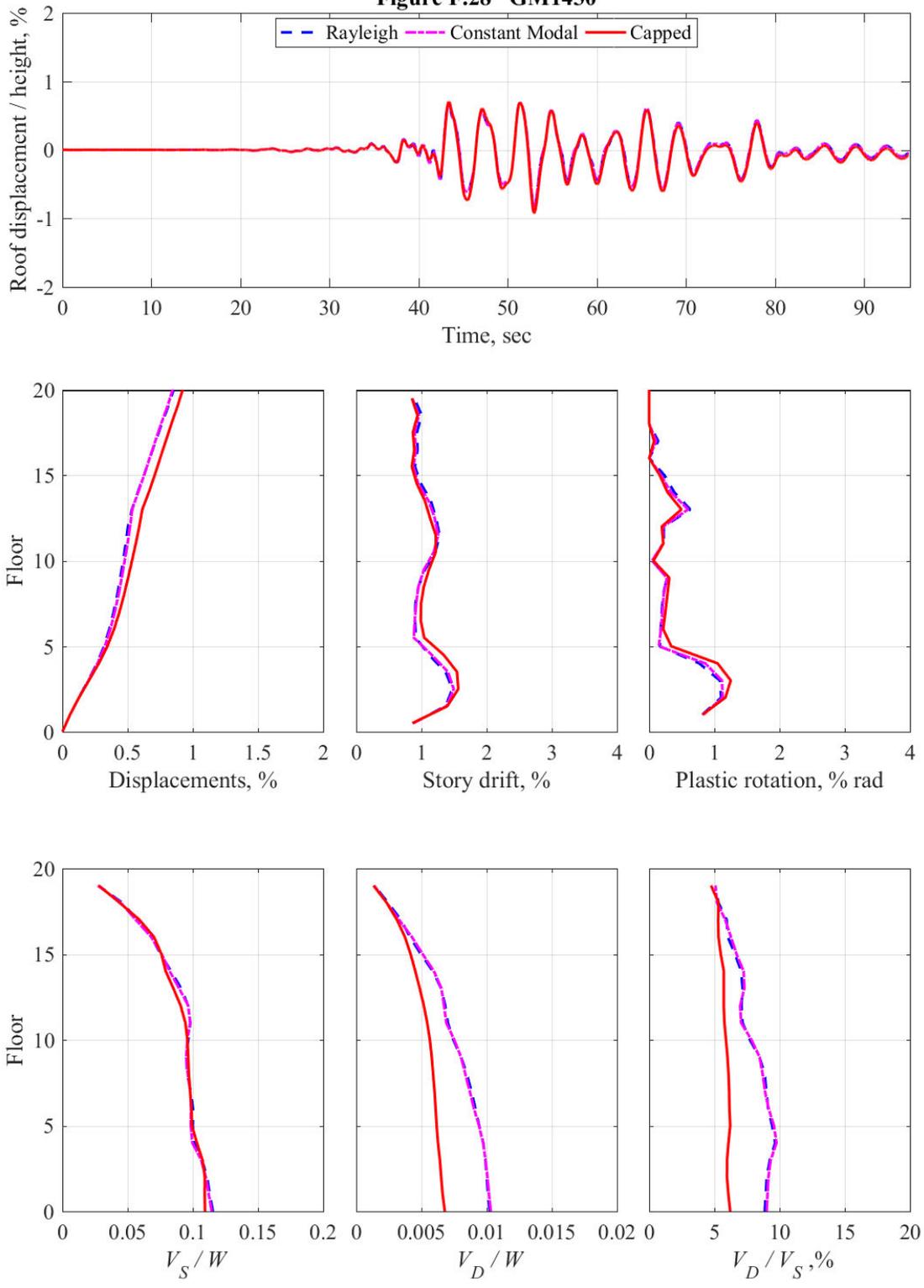
**Figure F.26 GM1213**



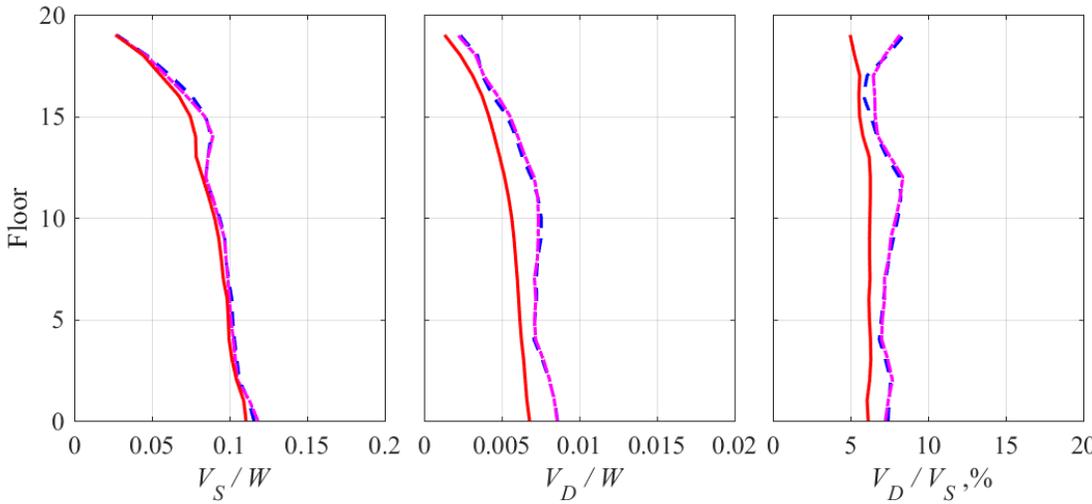
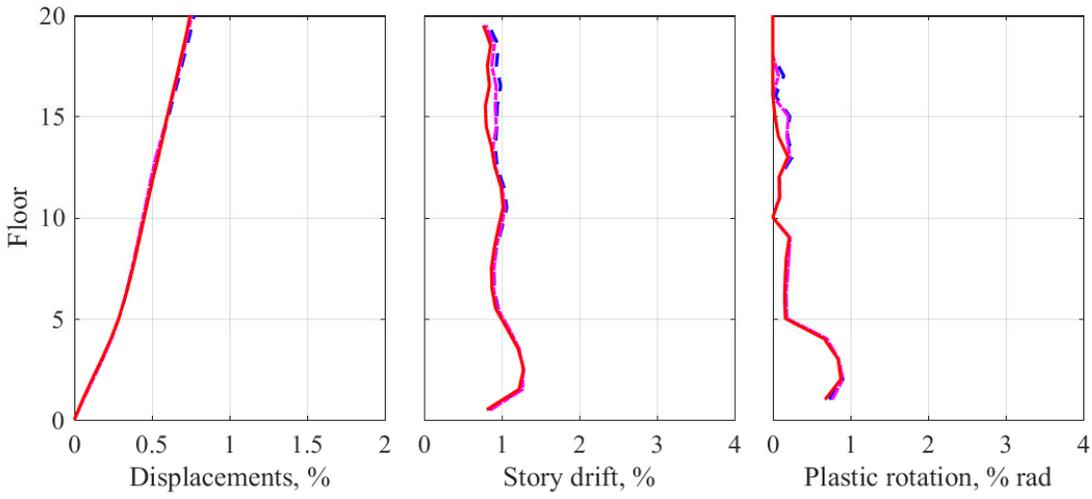
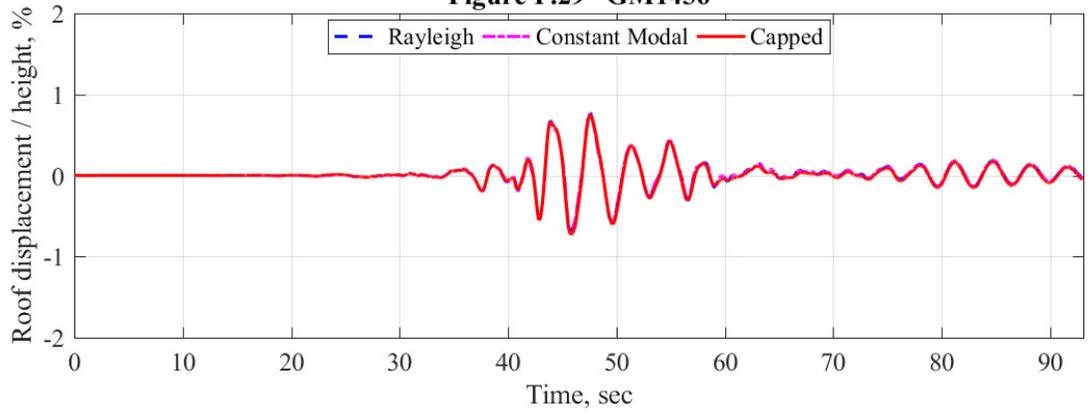
**Figure F.27 GM1223**



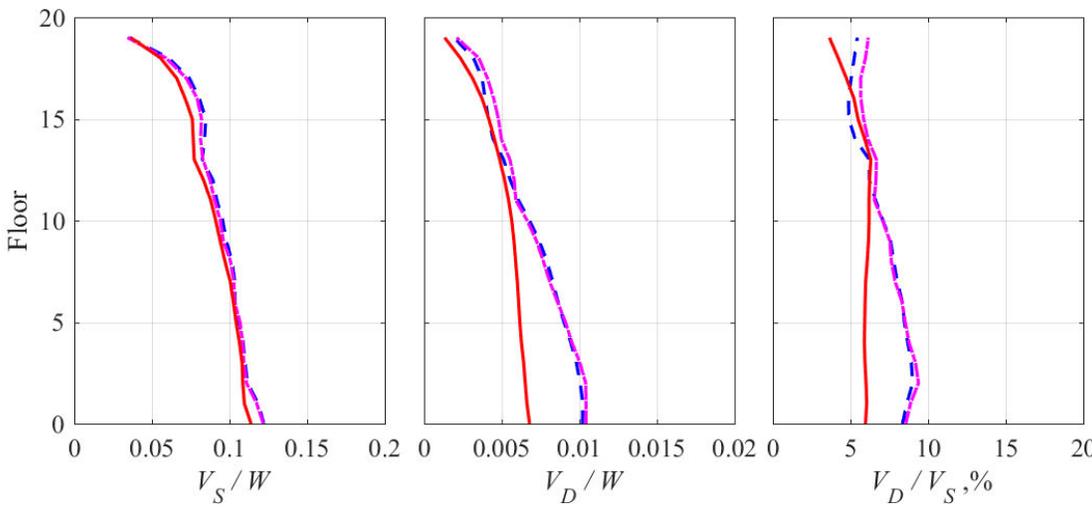
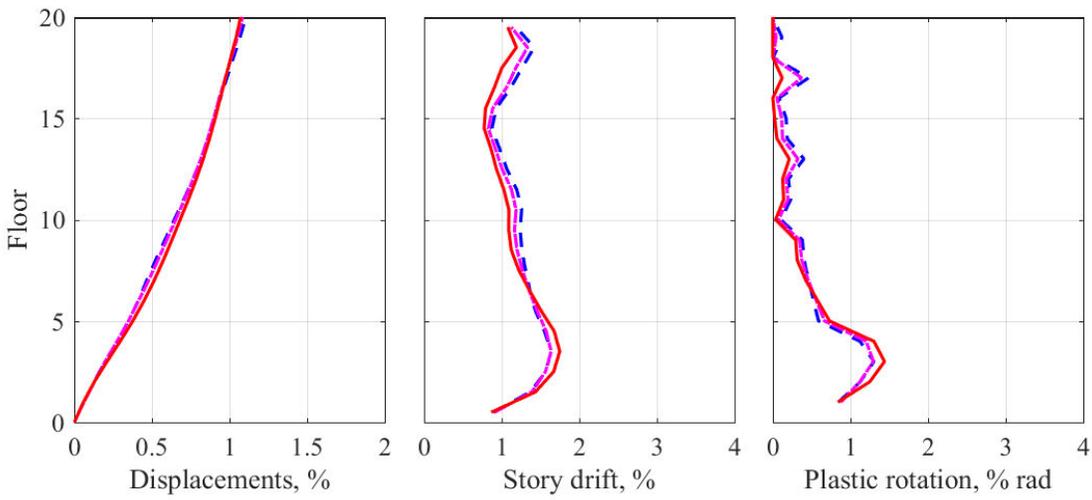
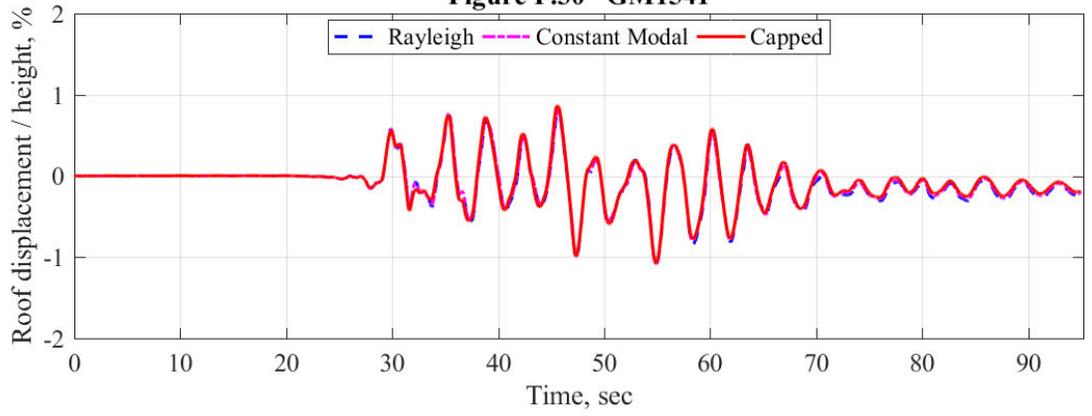
**Figure F.28 GM1430**



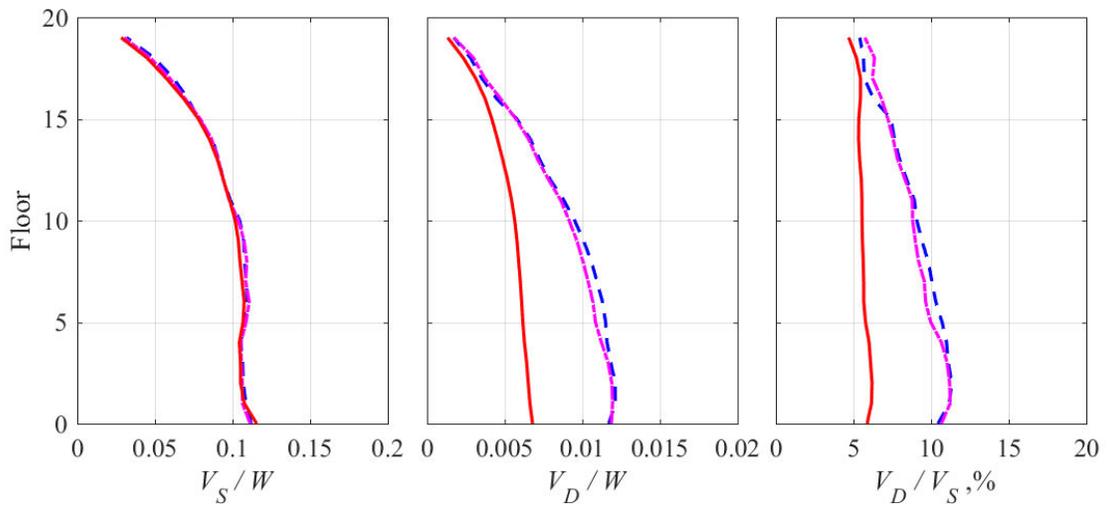
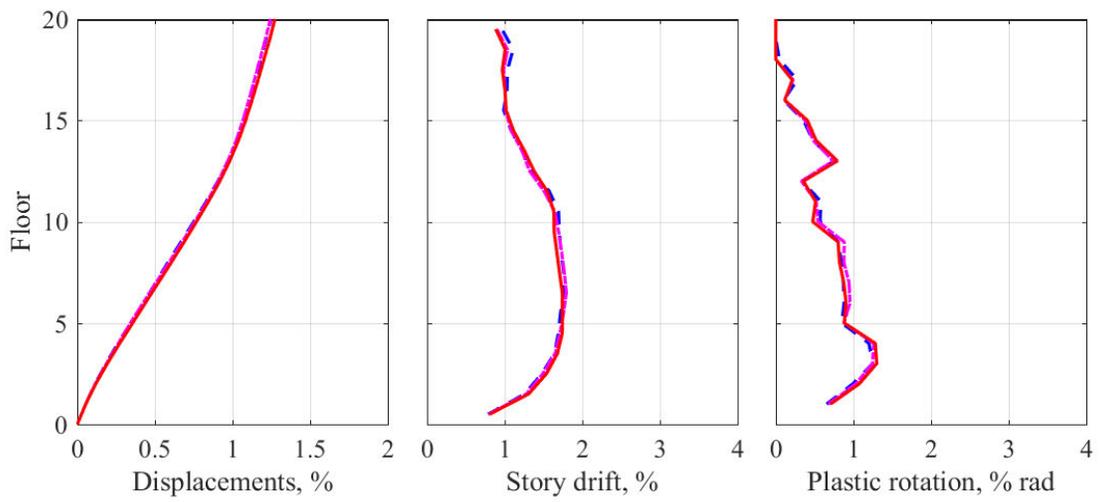
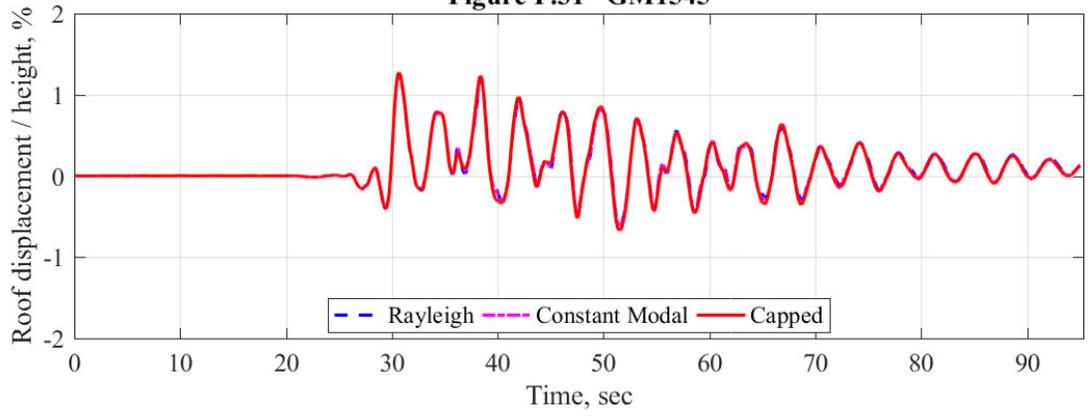
**Figure F.29 GM1436**



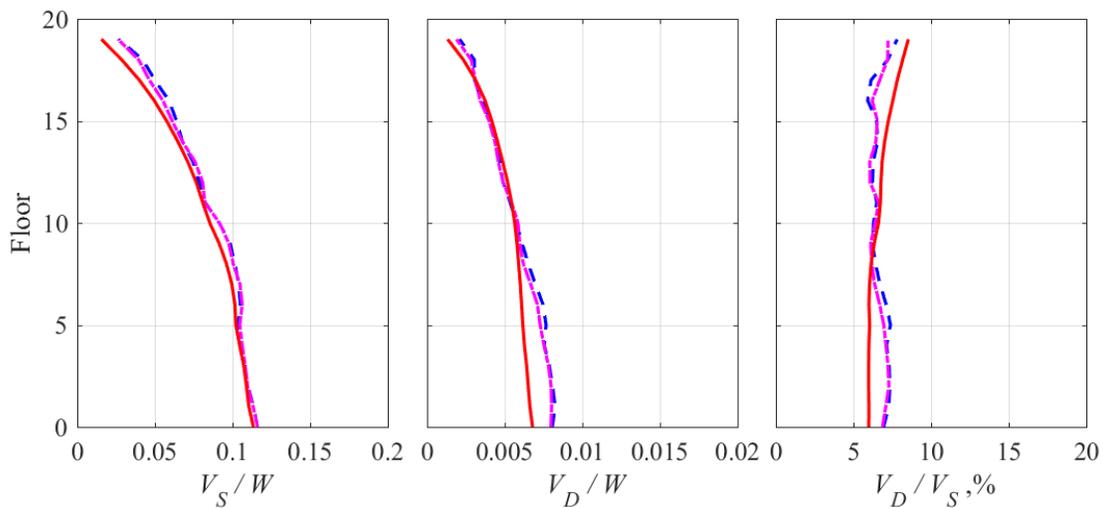
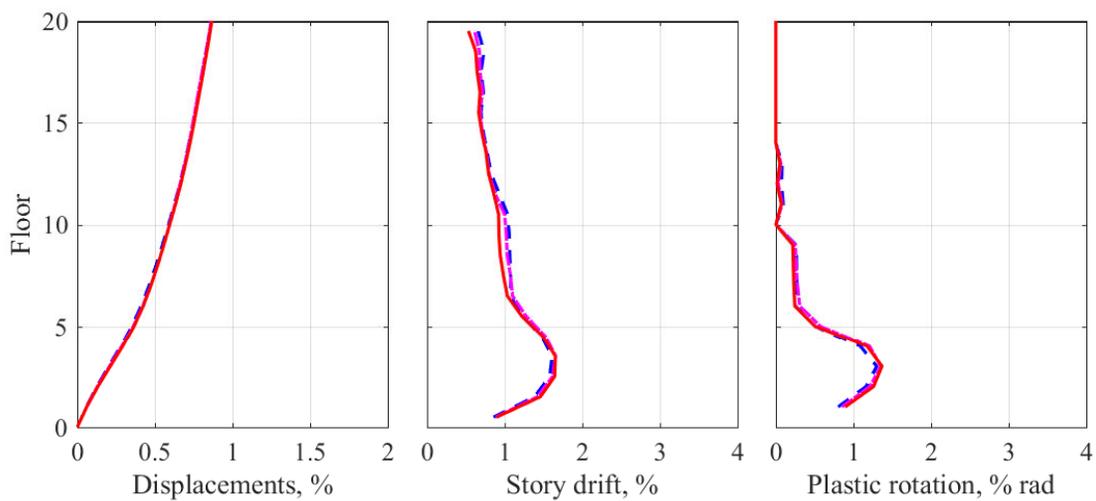
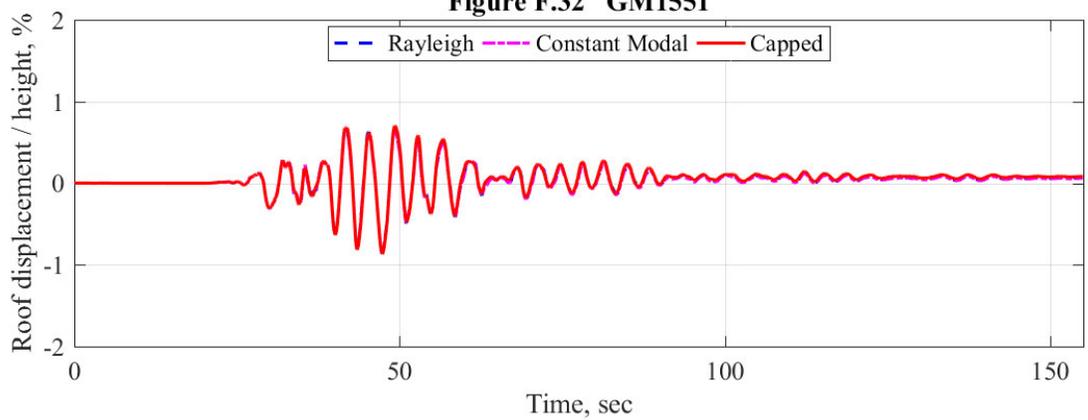
**Figure F.30 GM1541**



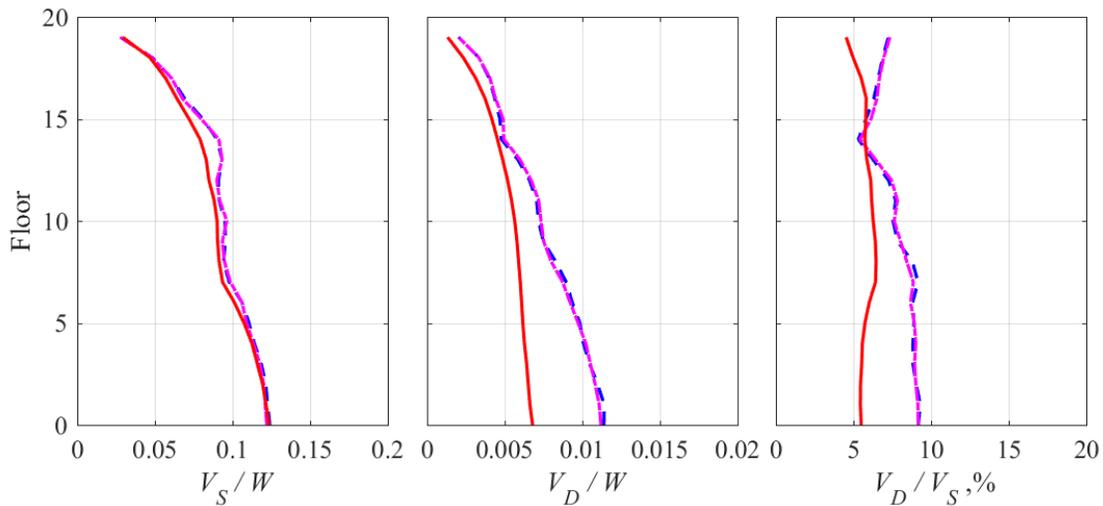
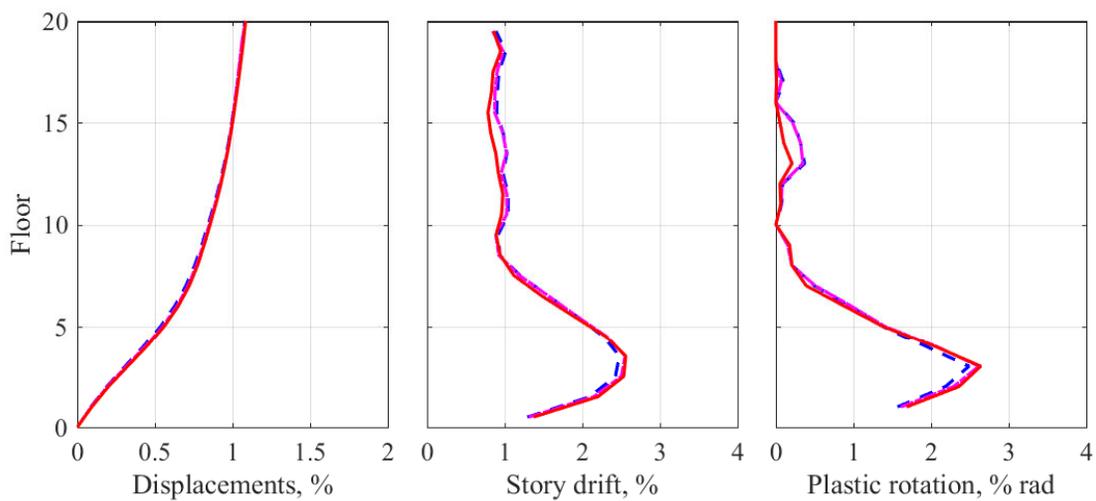
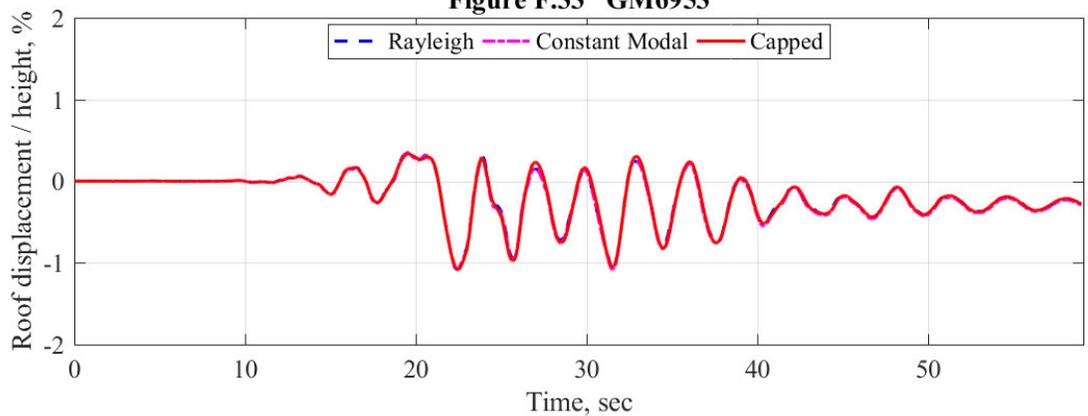
**Figure F.31 GM1545**



**Figure F.32 GM1551**



**Figure F.33 GM6953**



**Table F.4 Response of an enhanced model of the 20-story building to 11 GMs corresponding to 1% PE in 50 years.**

GM#	Story drift, %			Plastic rotations, % radian			V <sub>D</sub> /V <sub>S</sub> at base, %		
	Rayleigh	Constant modal	Capped	Rayleigh	Constant modal	Capped	Rayleigh	Constant modal	Capped
185	2.32	2.41	2.66	2.22	2.32	2.77	10.2	10.6	5.8
736	1.46	1.46	1.49	1.11	1.11	1.16	11.0	11.2	5.9
838	1.76	1.80	1.86	1.47	1.53	1.62	8.2	8.1	5.8
1193	2.27	2.25	2.17	2.12	2.08	2.01	9.1	9.3	5.6
1277	1.70	1.71	1.82	1.41	1.43	1.56	9.2	9.8	5.9
1430	1.85	1.86	1.94	1.62	1.63	1.73	8.6	8.9	5.4
1436	1.45	1.46	1.57	1.10	1.12	1.23	7.4	7.2	6.0
1541	1.81	1.96	2.12	1.50	1.62	1.87	8.9	9.5	5.5
1545	2.70	2.75	2.76	2.05	2.11	2.20	11.7	12.1	5.5
2655	1.73	1.77	1.83	1.44	1.52	1.59	10.5	11.0	5.7
6953	4.03	4.35	4.35	4.96	5.42	5.39	9.2	9.3	5.2

**Response of an enhanced model of the 20-story building to 11 GMs  
corresponding to 1% PE in 50 years.**

**Figures F.34–F.44**

Results for 11 GMs listed in Table F.4 are presented in Figures F.34–F.44. Each figure is organized as follows:

<b>Top row</b>	Roof displacement history
<b>Middle row</b>	Peak values of floor displacement, story drifts, and plastic rotations
<b>Bottom row</b>	Peak story shears, $V_s$ , peak story damping forces, $V_D$ , and ratio $V_D / V_s$

**Figure F.34 GM185**

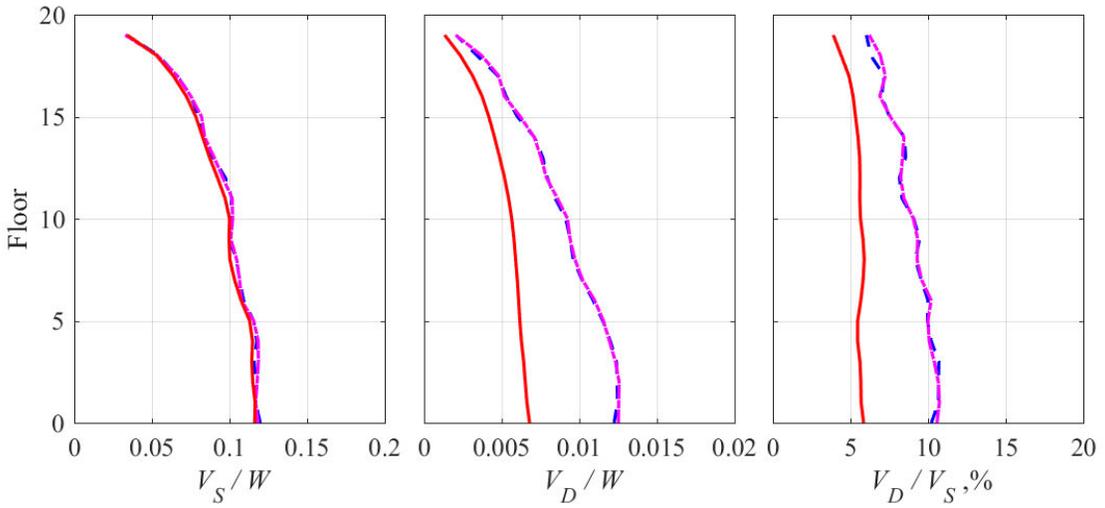
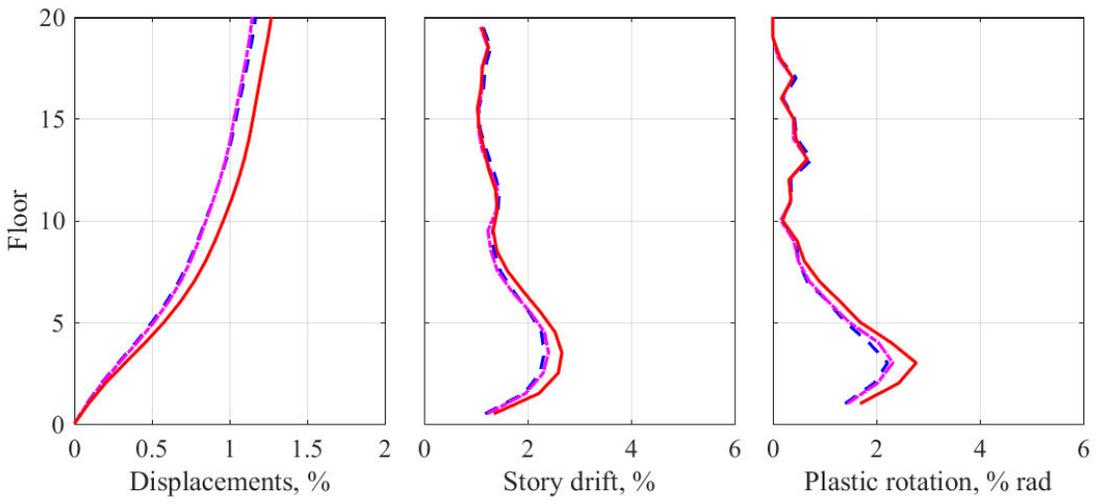
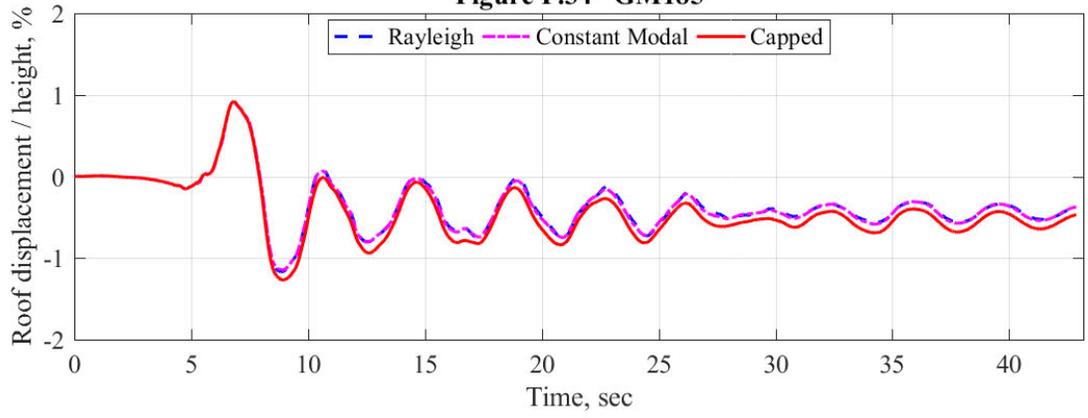


Figure F.35 GM736

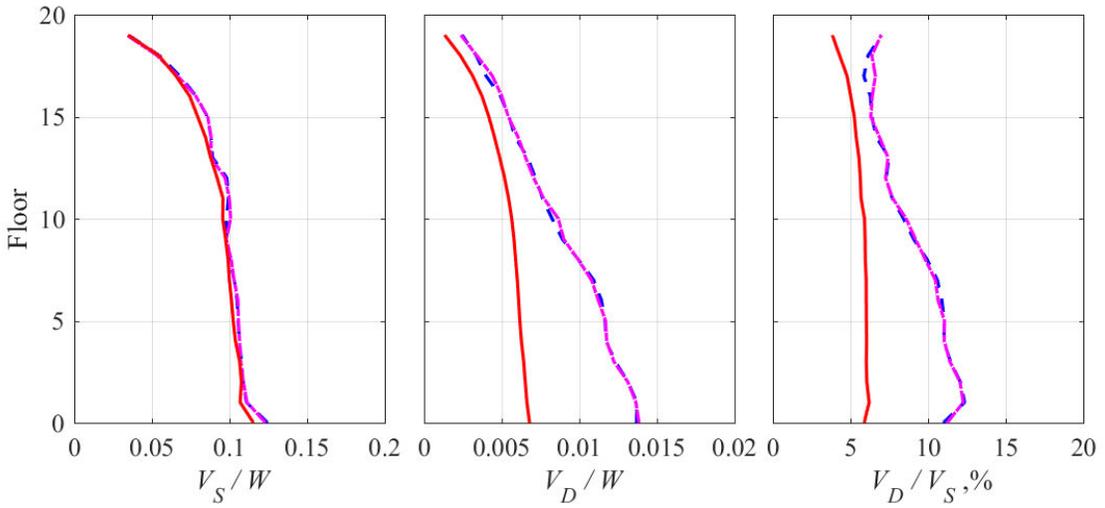
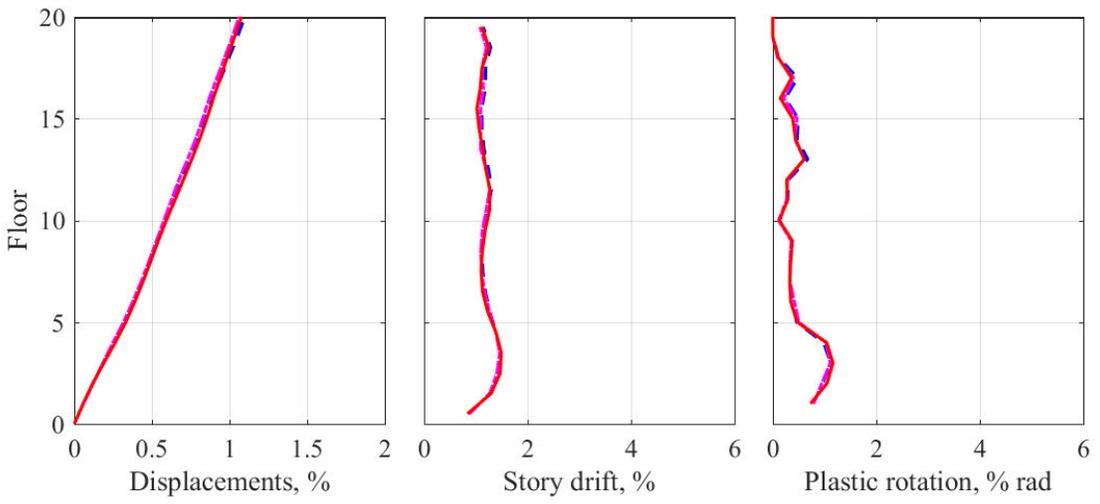
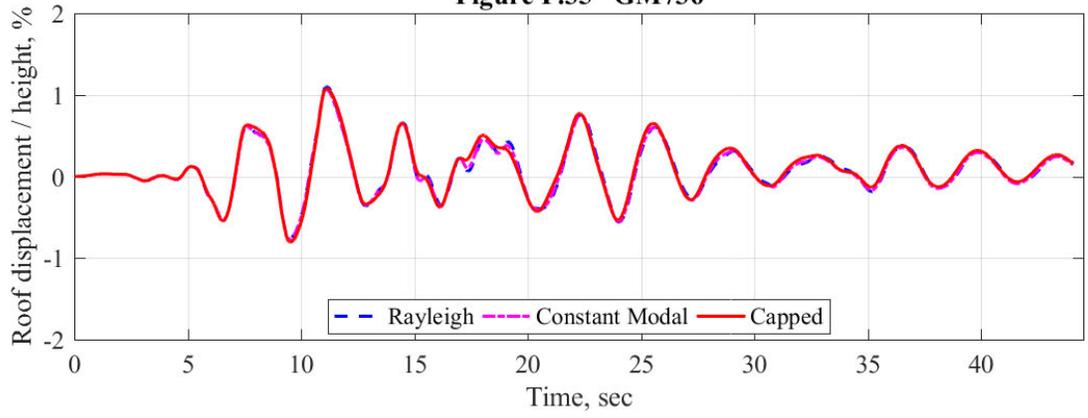
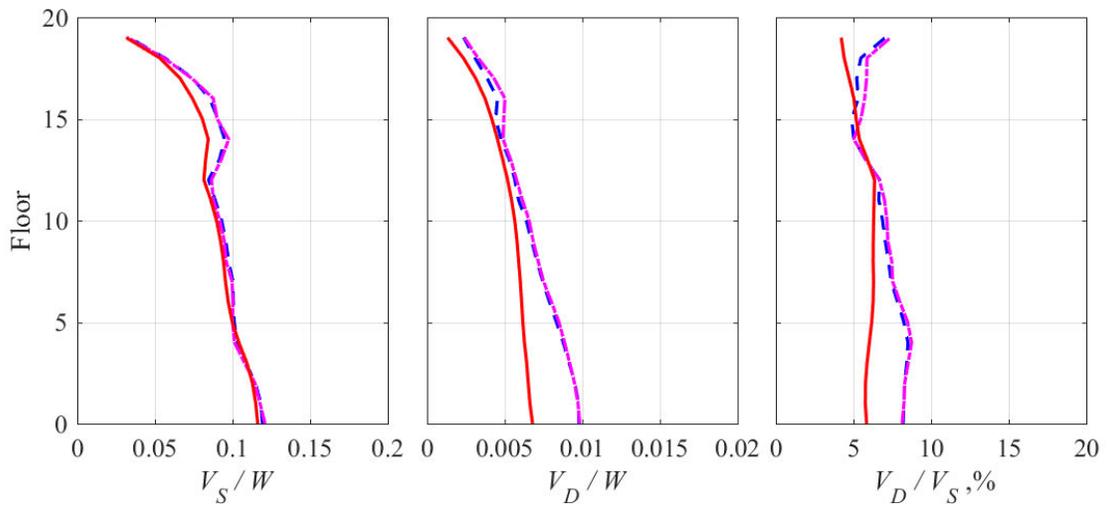
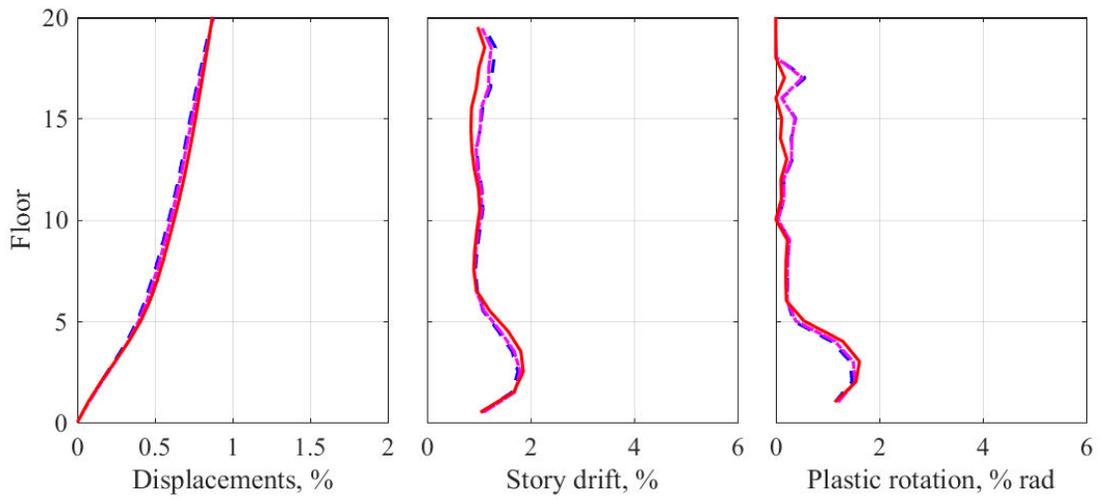
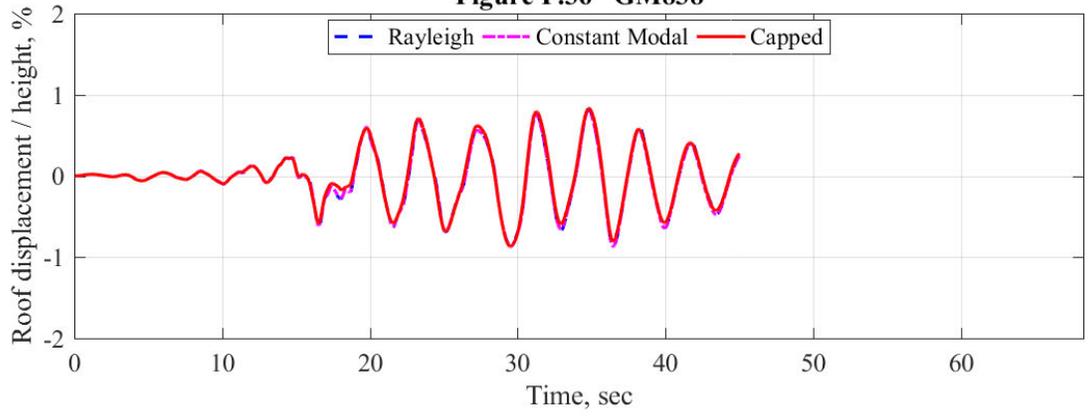
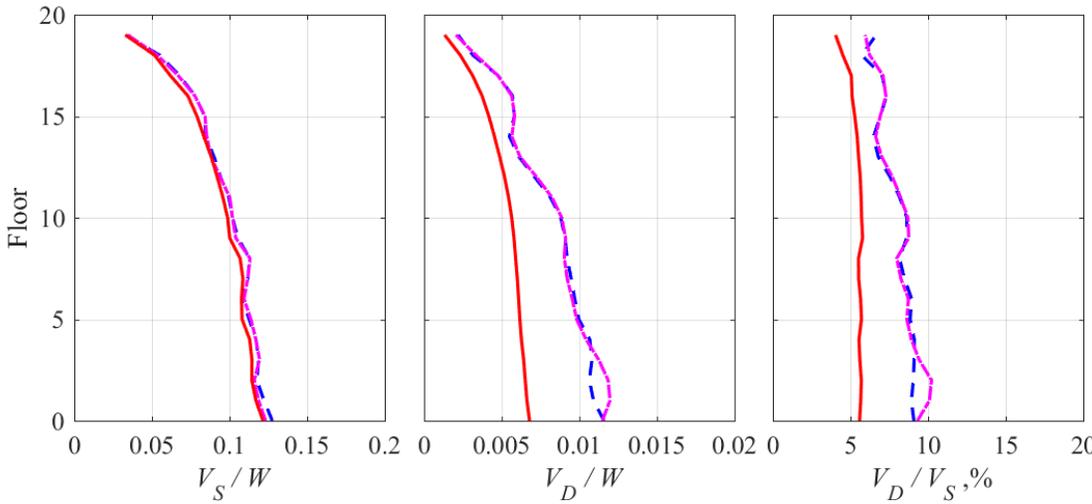
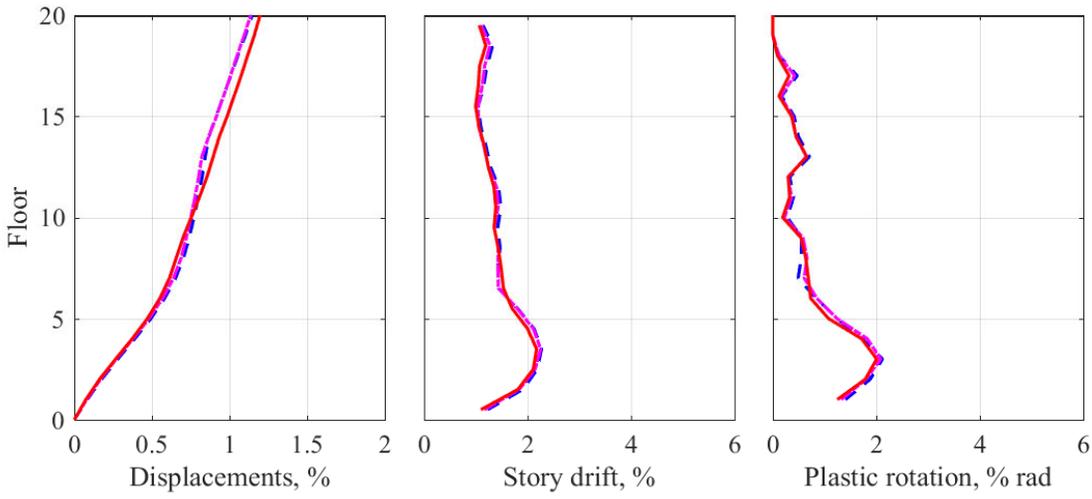
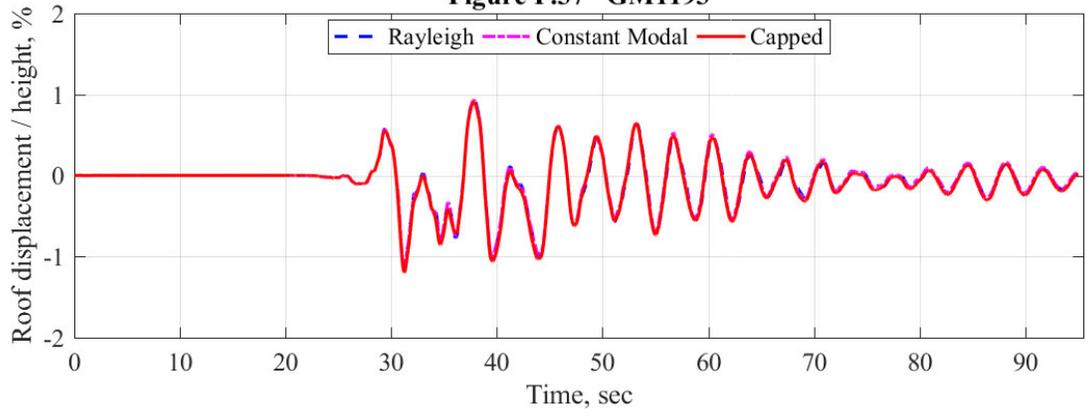


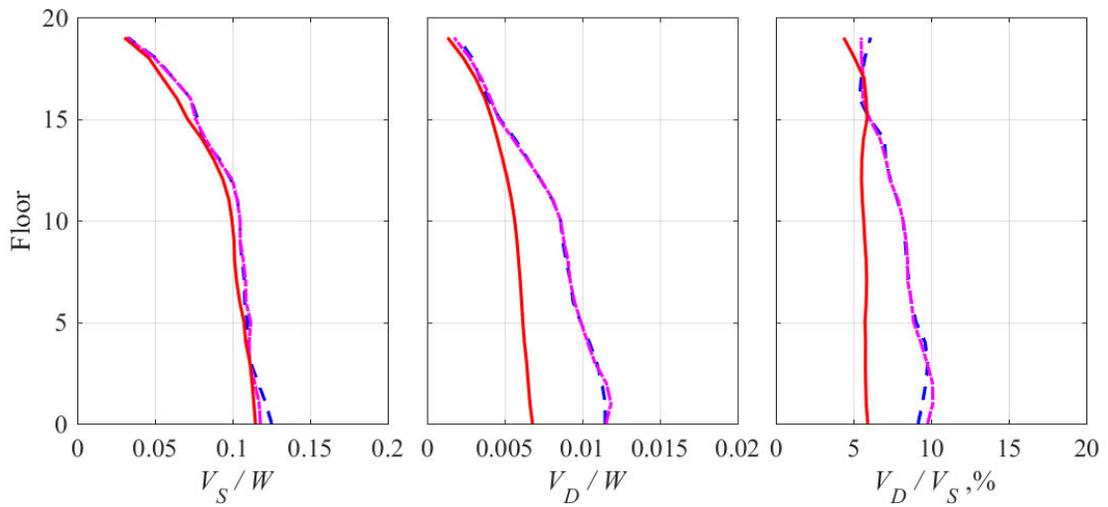
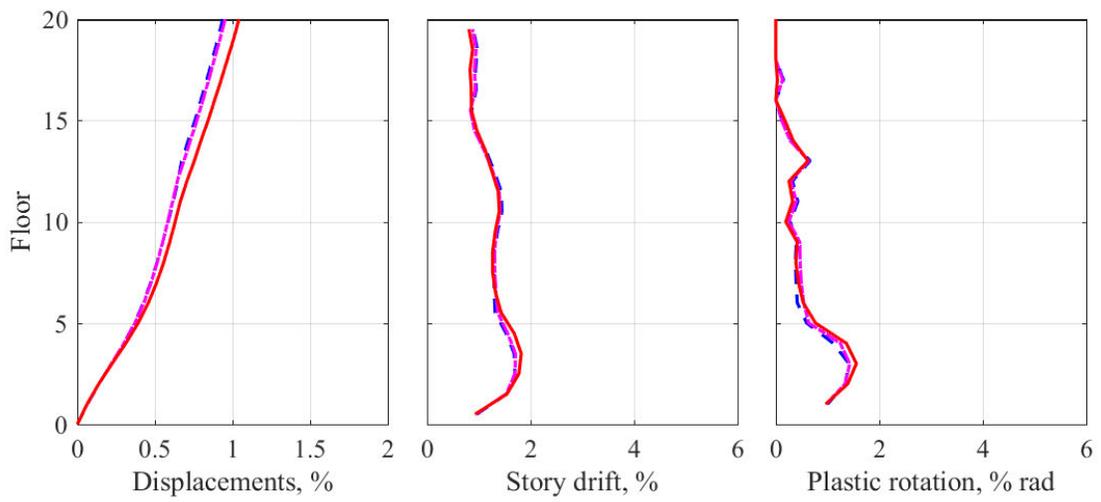
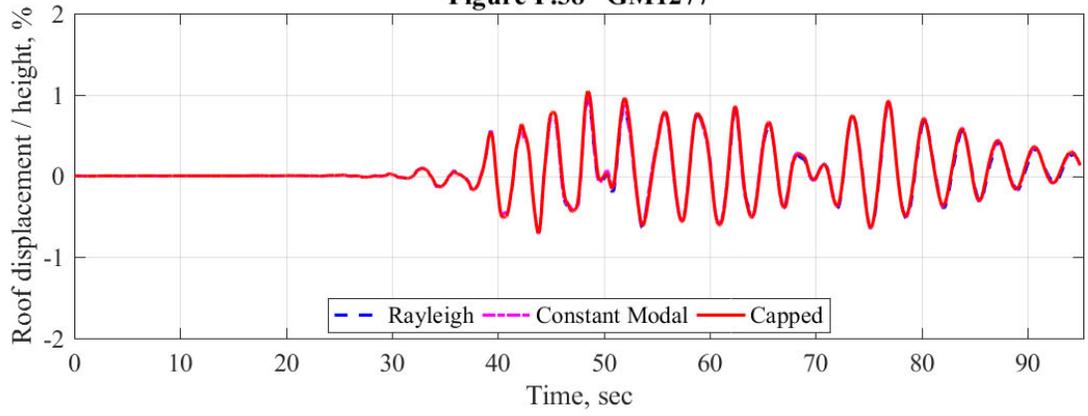
Figure F.36 GM838



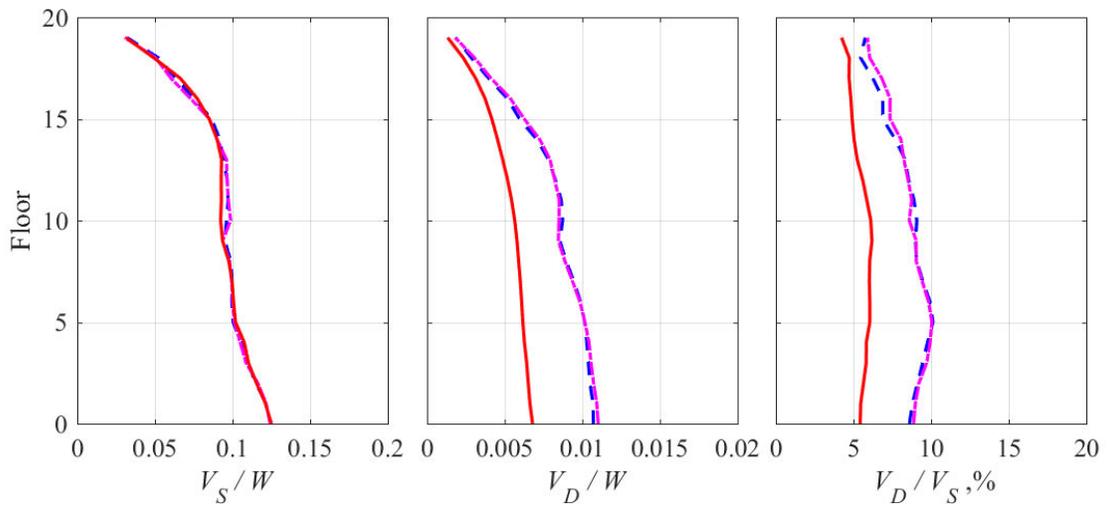
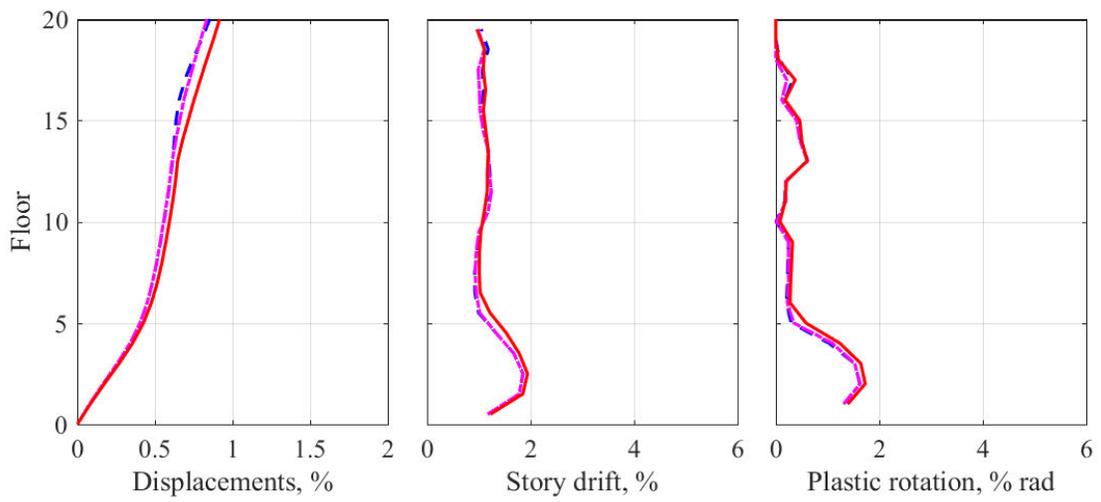
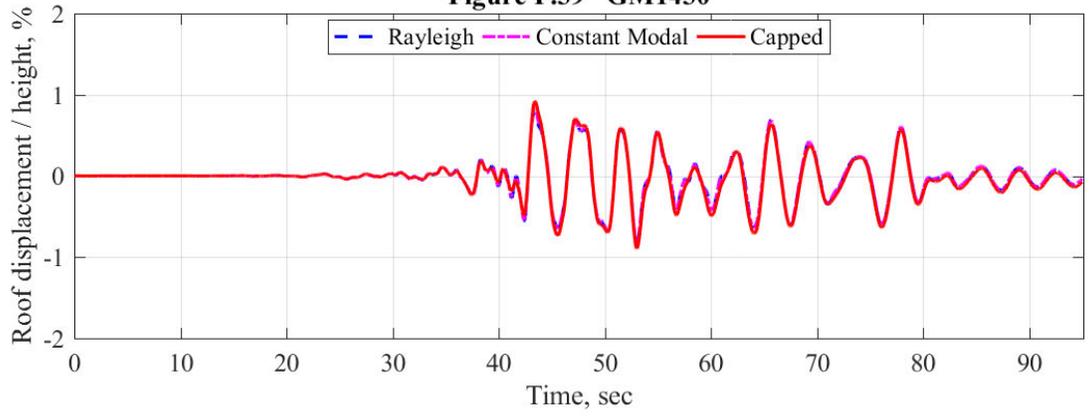
**Figure F.37 GM1193**



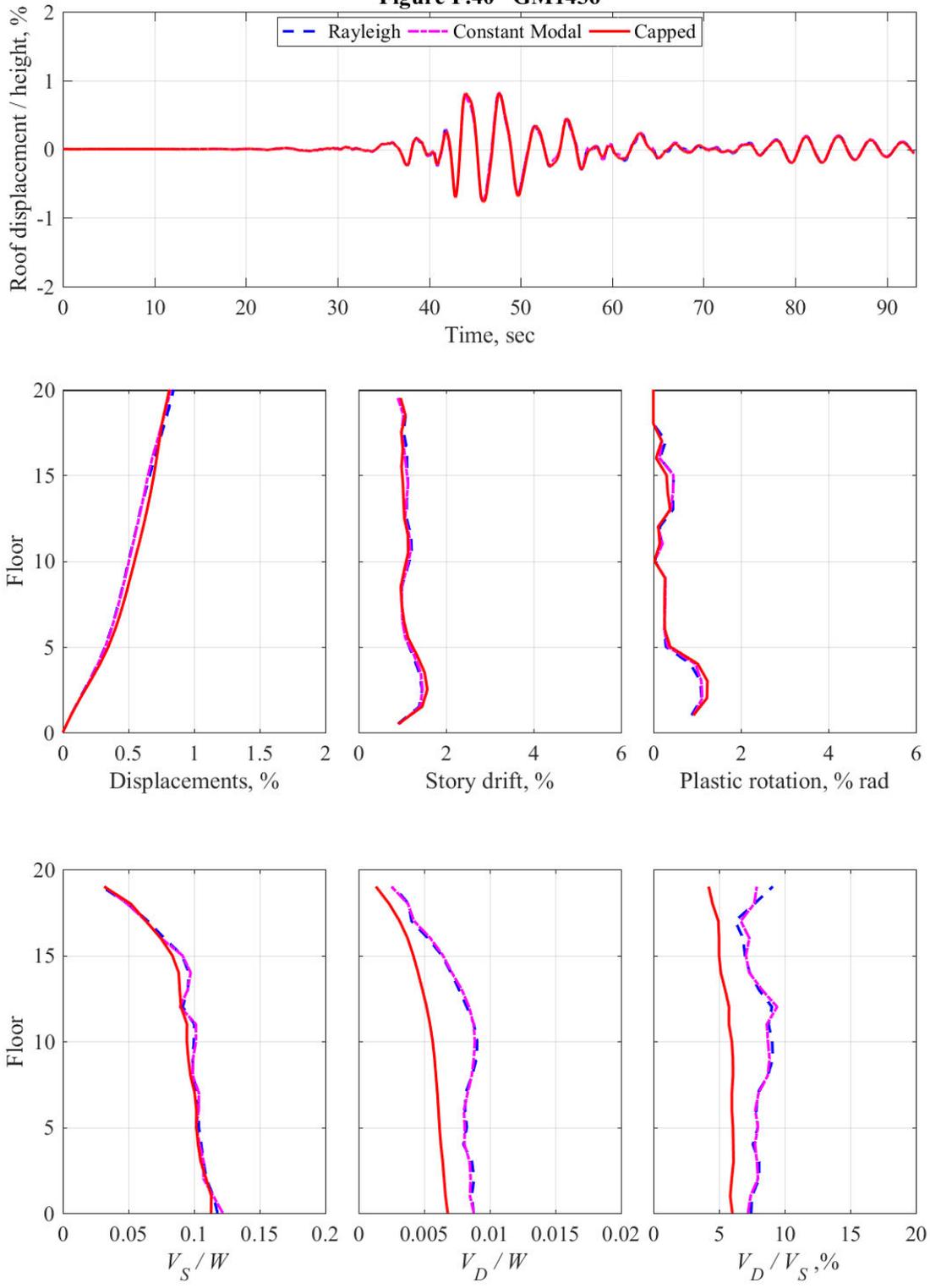
**Figure F.38 GM1277**



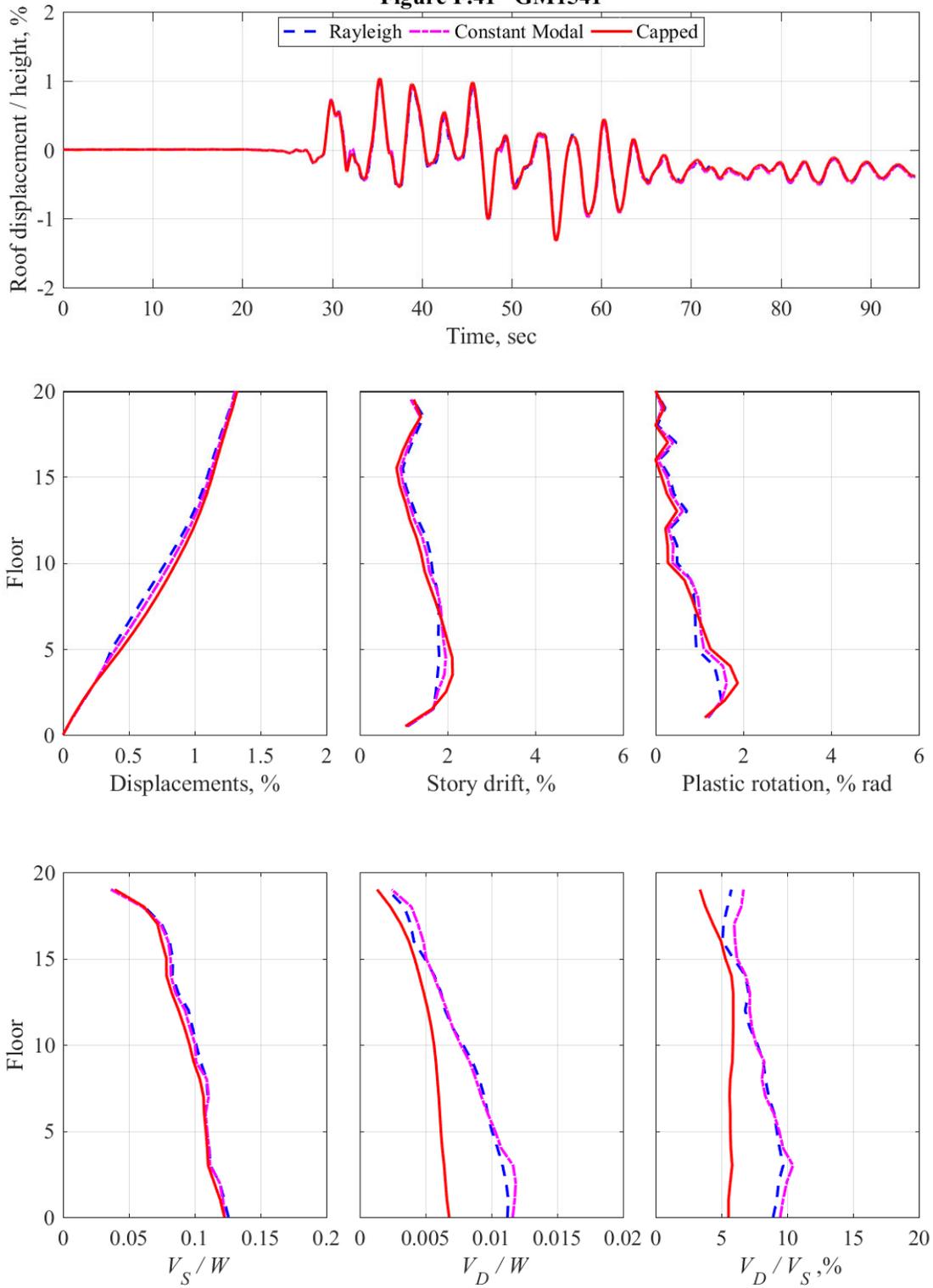
**Figure F.39 GM1430**



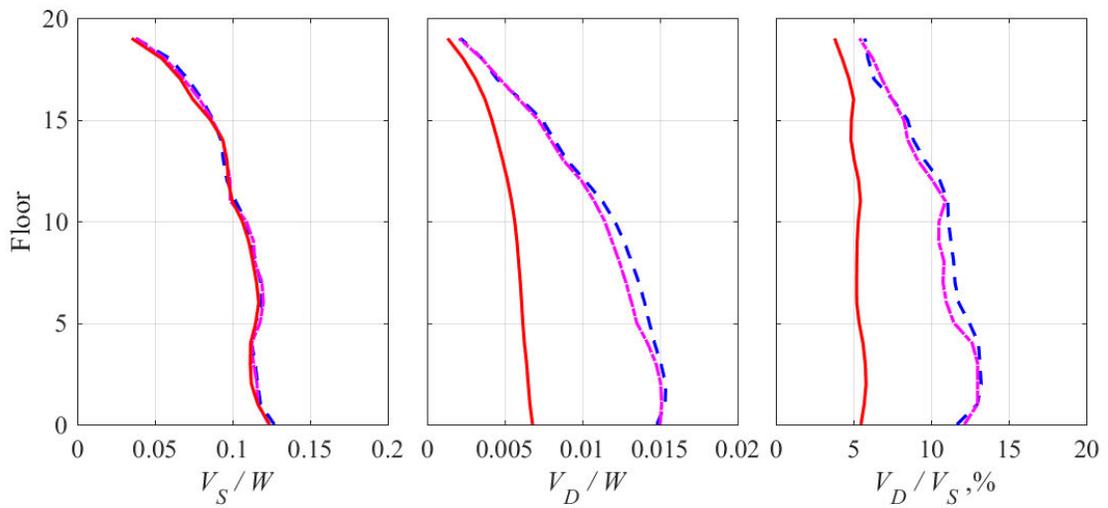
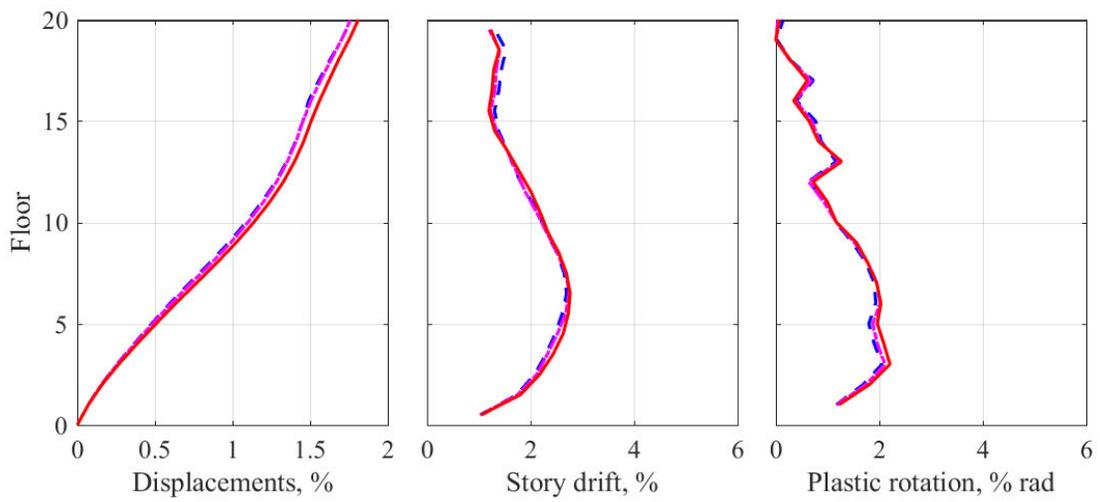
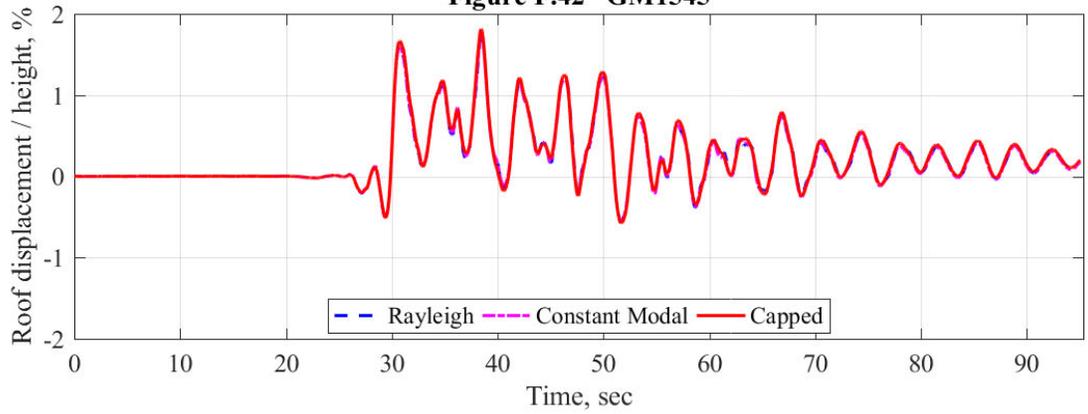
**Figure F.40 GM1436**



**Figure F.41 GM1541**



**Figure F.42 GM1545**



**Figure F.43 GM2655**

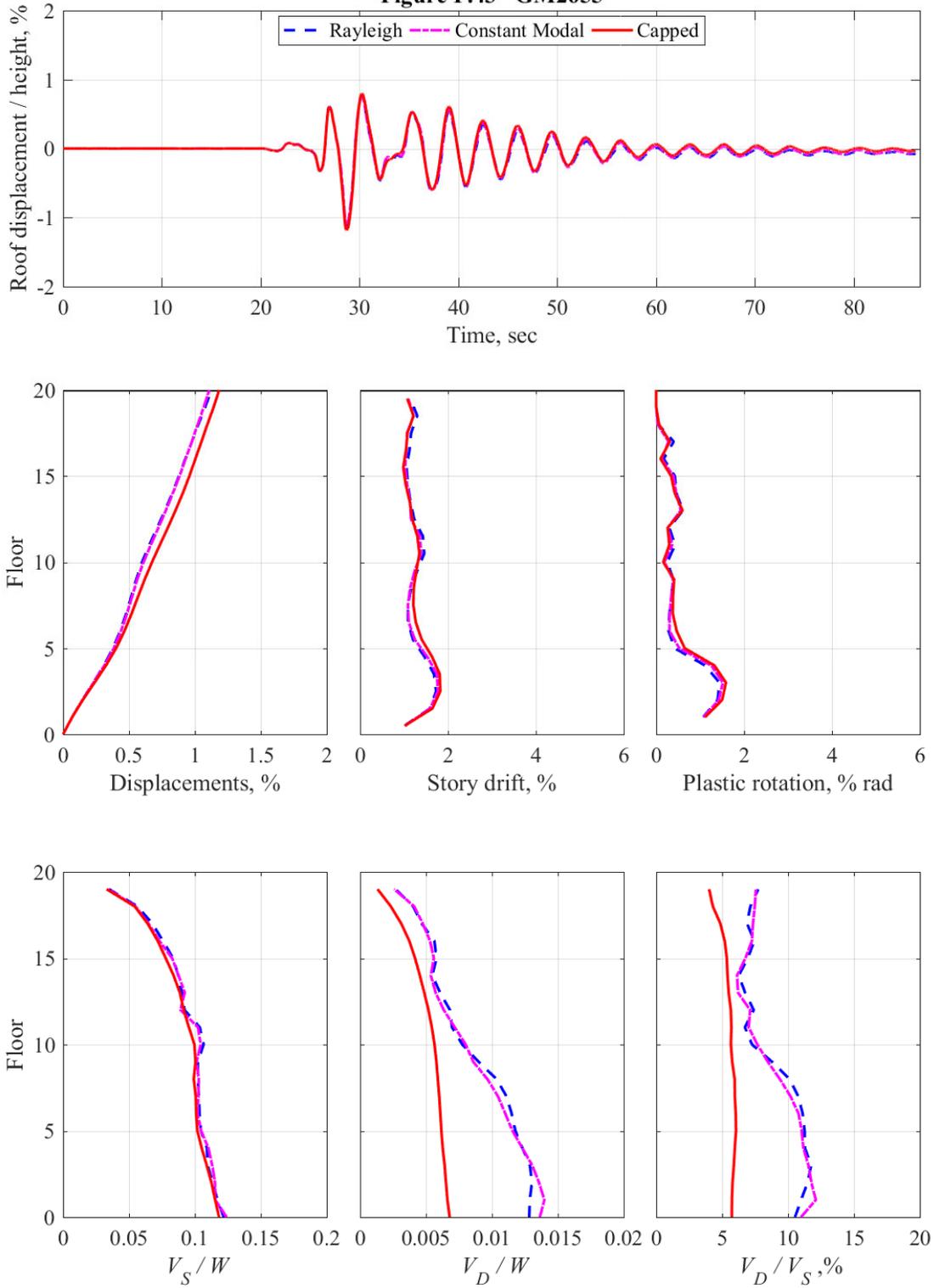
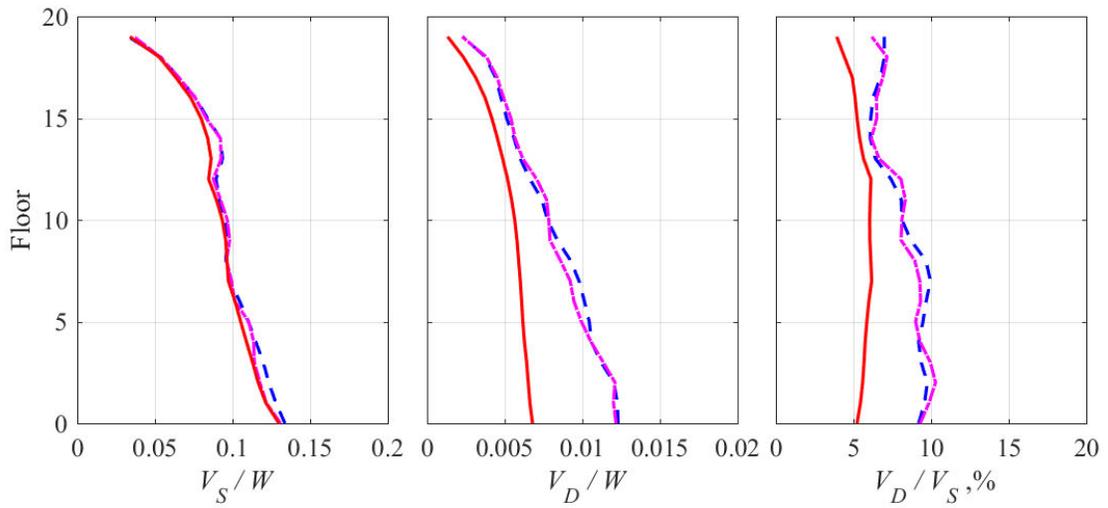
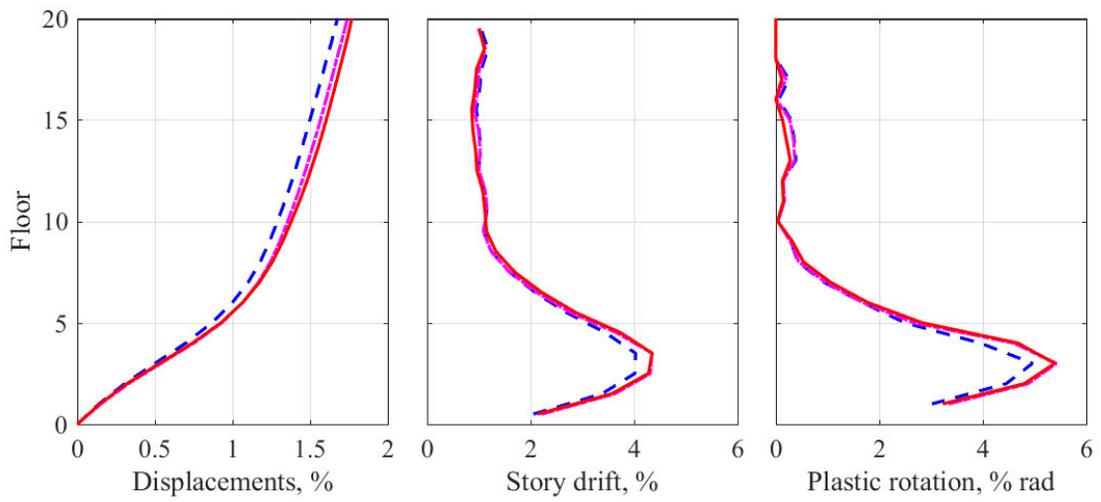
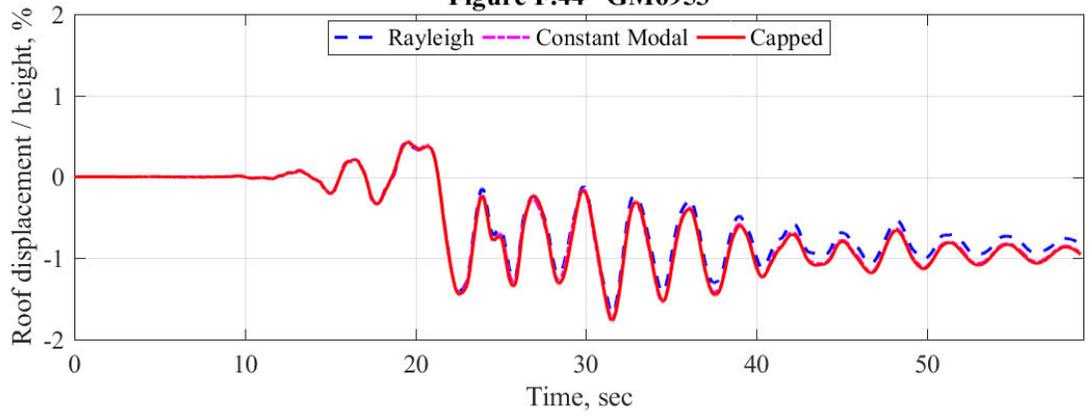


Figure F.44 GM6953



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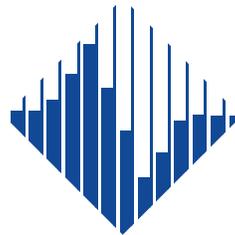
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