

NGA-West2 Site Database

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NGA-West2 Public Workshop
CITRIS Auditorium, UC Berkeley

November 15, 2012



NGA WEST 2

Pacific Earthquake Engineering Research Center



Outline

- Role and principal contents of site database
- V_{s30} terms
- Data overview

Role and Contents

- Repository of information for recording stations contributing data to flatfile

Role and Contents

- Repository of information for recording stations contributing data to flatfile
- Basic station information

Identifying numbers

Name (descriptive)

Geodetic coordinates

Station housing (GMX first letter)

Role and Contents

- Repository of information for recording stations contributing data to flatfile
- Basic station information
- V_{s30} from measurements

Profile depth, z

V_{sz} (when $z < 30$ m)

V_{s30} (extrap. when necessary)

Data source

Role and Contents

- Repository of information for recording stations contributing data to flatfile
- Basic station information
- V_{s30} from measurements
- Site proxies

Geotechnical categories (GMX 3rd letter A-E)

Surface geology & 10 arc-sec slope (mostly CA)

30 arc-sec slope

Terrain-type proxy

Elevation (Taiwan)

Role and Contents

- Repository of information for recording stations contributing data to flatfile
- Basic station information
- V_{s30} from measurements
- Site proxies
- Depth parameters

***SFBA model, USGS/Aagaard:
 $z_{1.0}$, $z_{1.5}$, $z_{2.5}$***

***Two So. Cal. Models (CVM-S4
& CVM-H11.1.0): $z_{1.0}$, $z_{2.5}$***

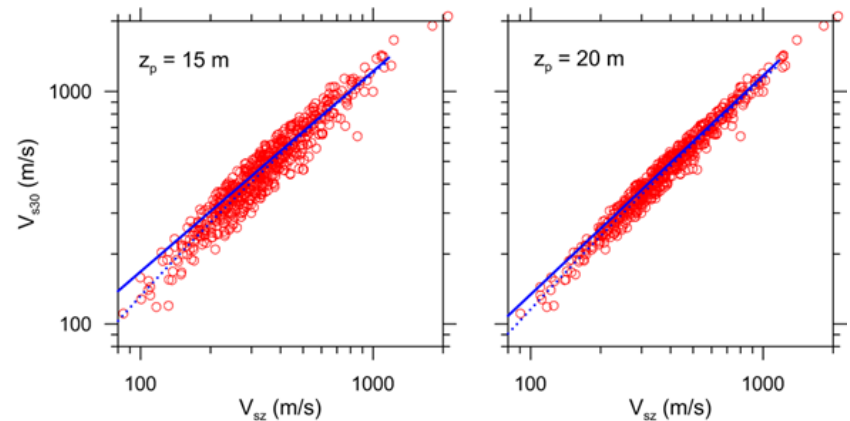
***Japan model, NIED: $z_{1.0}$, $z_{1.5}$,
 $z_{2.5}$***

V_{s30} Terms

- V_{s30} from data

Alternate extrapolation models when $z < 30$ m (CA, Japan – Kiknet, China)

Assigned uncertainty of $\sigma_{\ln V} = 0.1$ when $z \geq 30$ m, increases for lower z



V_{s30} Terms

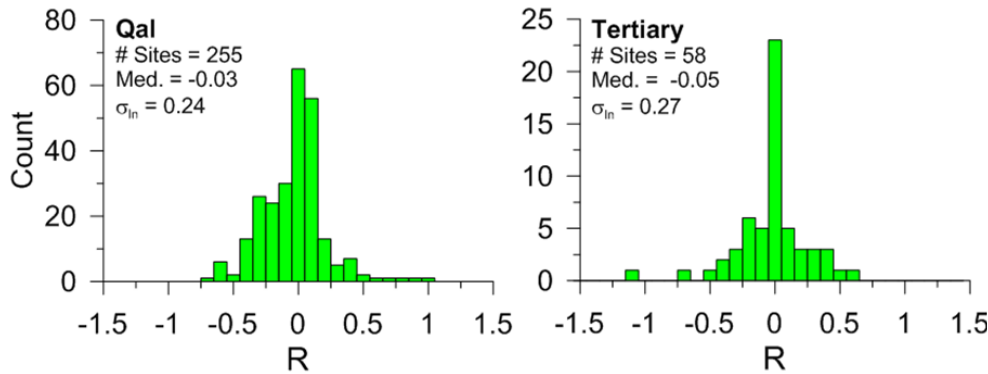
- V_{s30} from data
- V_{s30} from proxy

Used only when no measurements available

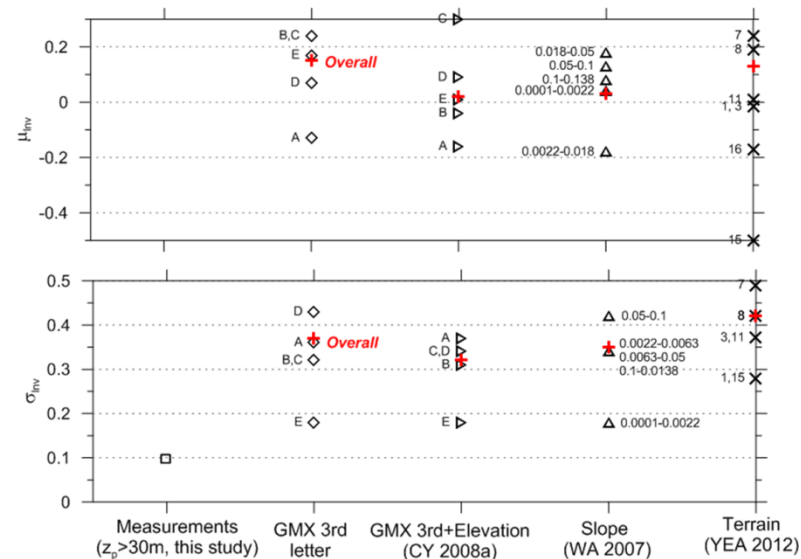
Proxies analyzed by region for bias and dispersion

Weighted estimates and σ_{INV} provided. Applicable proxies indicated for each site in database.

California example



$$R = \ln(V_{s30})_{meas} - \ln(V_{s30})_{proxy}$$



Data Overview

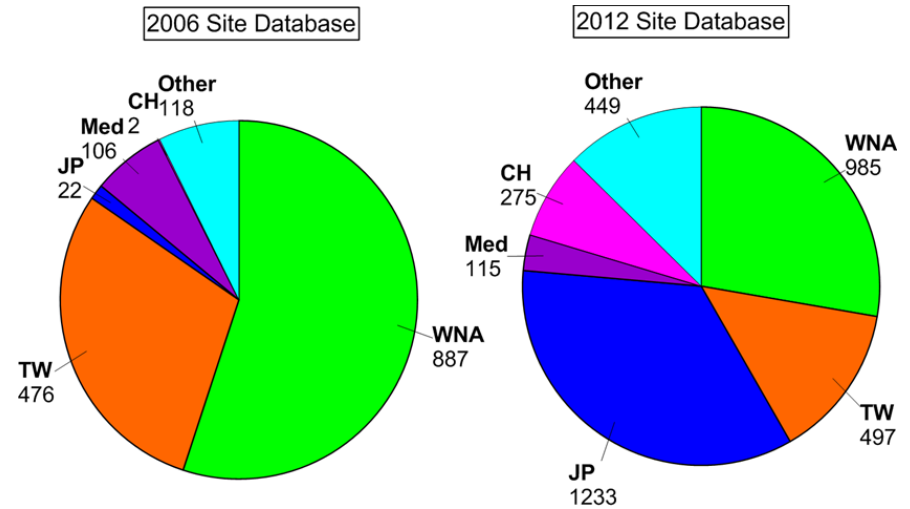
NGA-West2

- 4160 sites (1611 in NGA)

Data Overview

NGA-West2

- 4160 sites (1611 in NGA)
- Geographic distribution



Data Overview

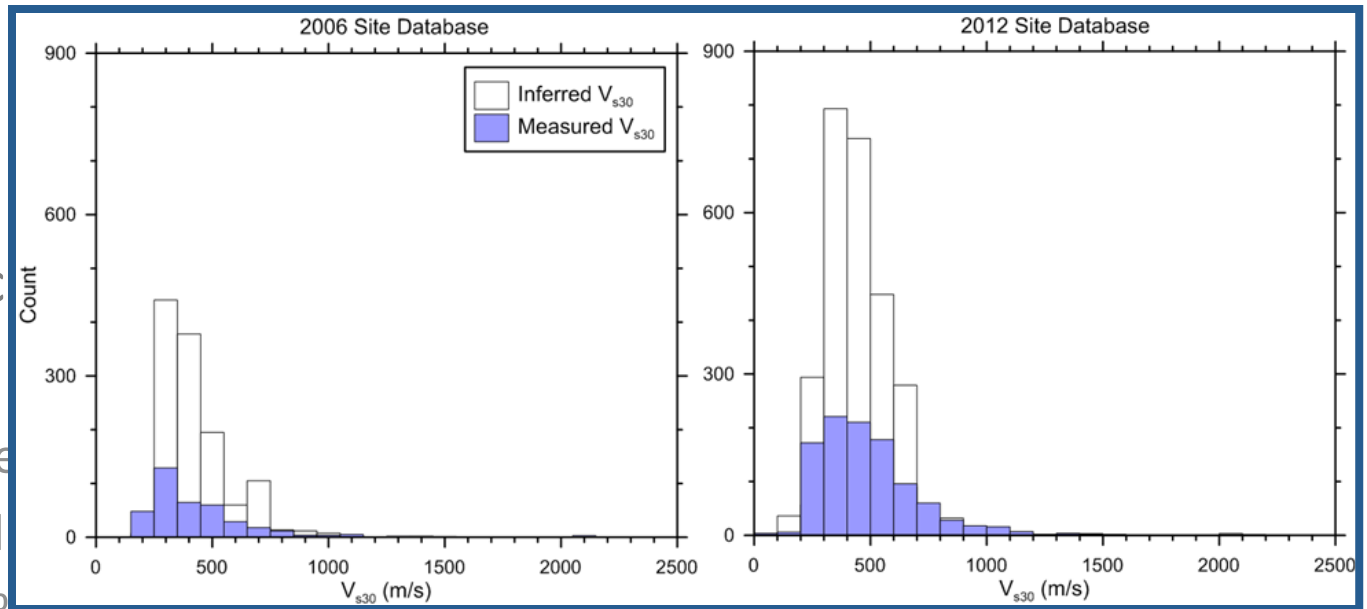
NGA-West2

- 4160 sites (1611 in NGA)
- Geographic distribution
- Availability of proxies:
 - GMX 3rd letter: 80%
 - Surf. Geol.: 35% (CA)
 - Slope: 99%

Data Overview

NGA-West2

- 4160 sites (
- Geographic
- Availability
 - GMX 3rd le
 - Surf. Geol
 - Slope: 99%



- V_{s30} from measurements vs proxy

Questions?

Nonlinear Site Response & Revisions to NEHRP/ASCE Site Factors

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NGA WEST 2

Pacific Earthquake Engineering Research Center



Contributors

NGA-West 2, Task 8

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Outline

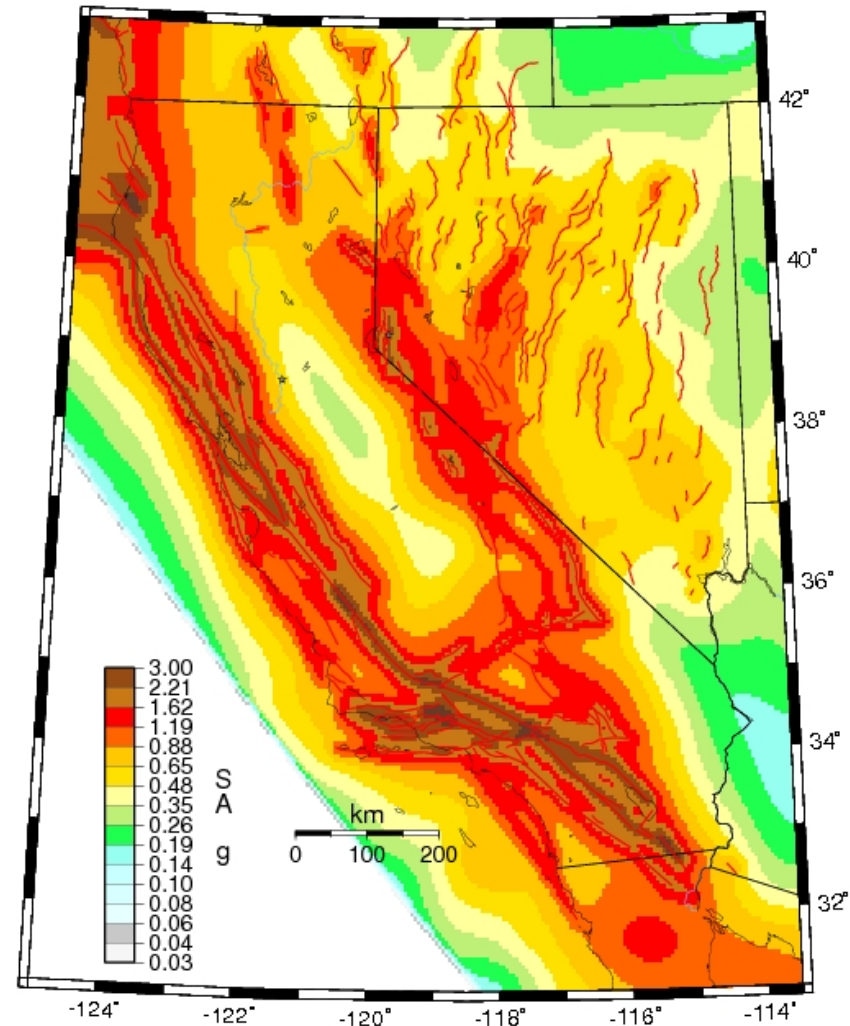
- Context
- Site factors in NEHRP provisions
- Site factors from NGA-West2 project
- Conclusions & recommendations

Context

Calif NV, 5-Hz SA w/2%PE50yr. 760 m/s Rock

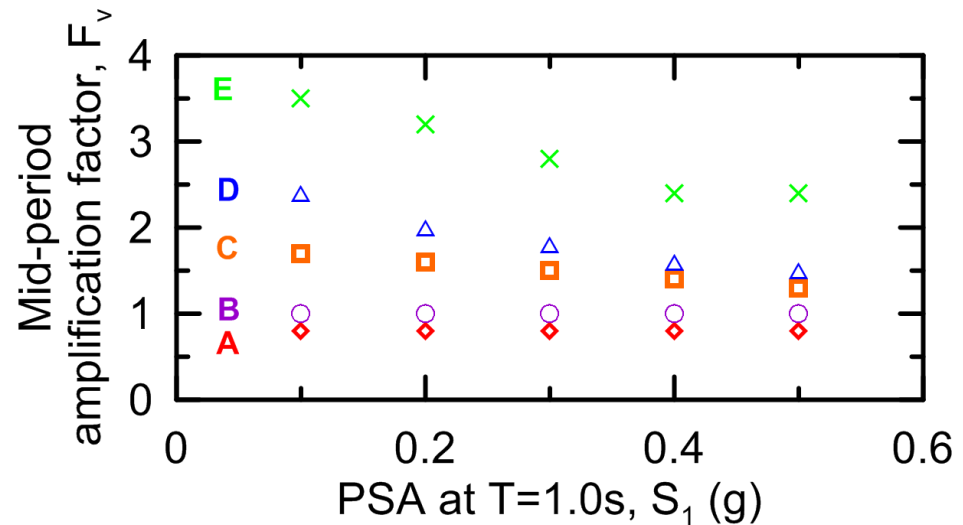
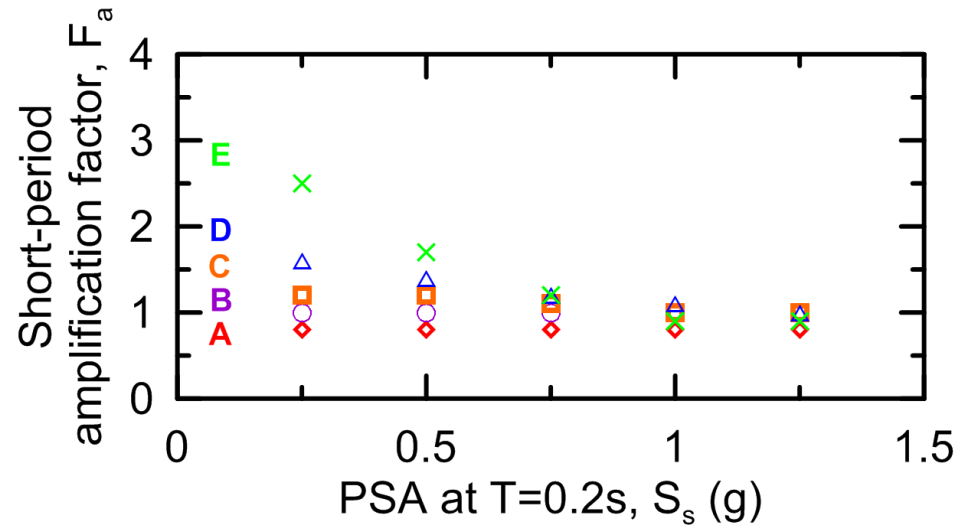
- Code-based ground motions
 - Ground motion parameters evaluated for rock conditions

S_s, S_1



Context

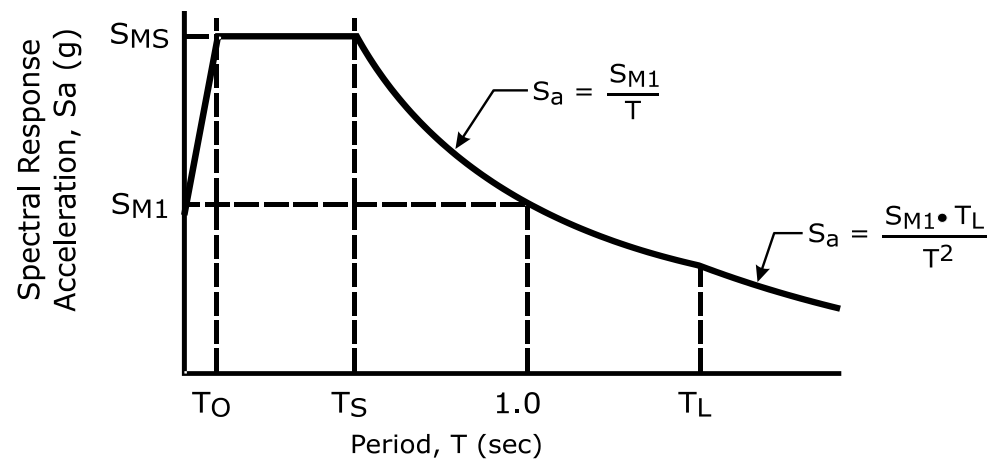
- Code-based ground motions
 - Ground motion parameters evaluated for rock conditions
 - Site factors by site class



Context

- Code-based ground motions
 - Ground motion parameters evaluated for rock conditions
 - Site factors by site class
 - Combined to form MCE response spectrum

$$S_s \times F_a = S_{MS} \quad S_1 \times F_v = S_{M1}$$



Outline

- Context
- **Site factors in NEHRP provisions**
- Site factors from NGA-West2 project
- Conclusions & recommendations

NEHRP Site Factors

- Role of NEHRP Provisions



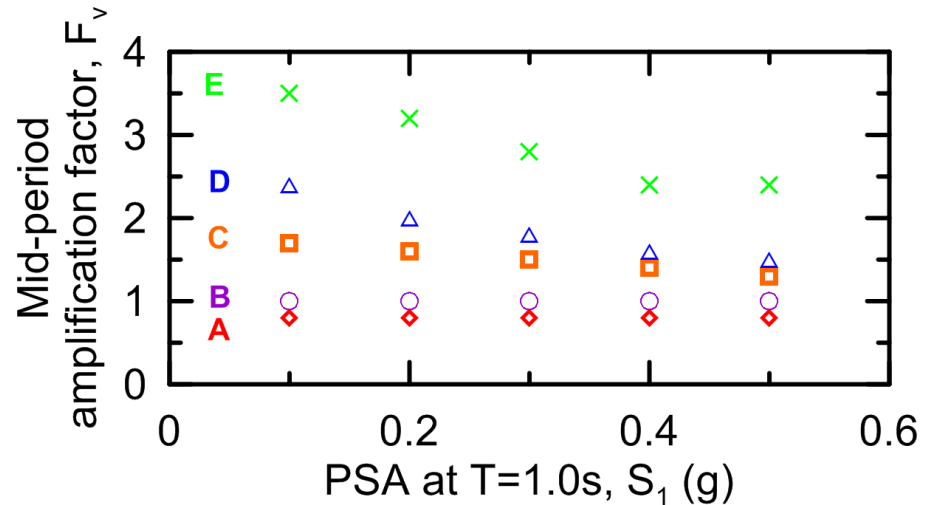
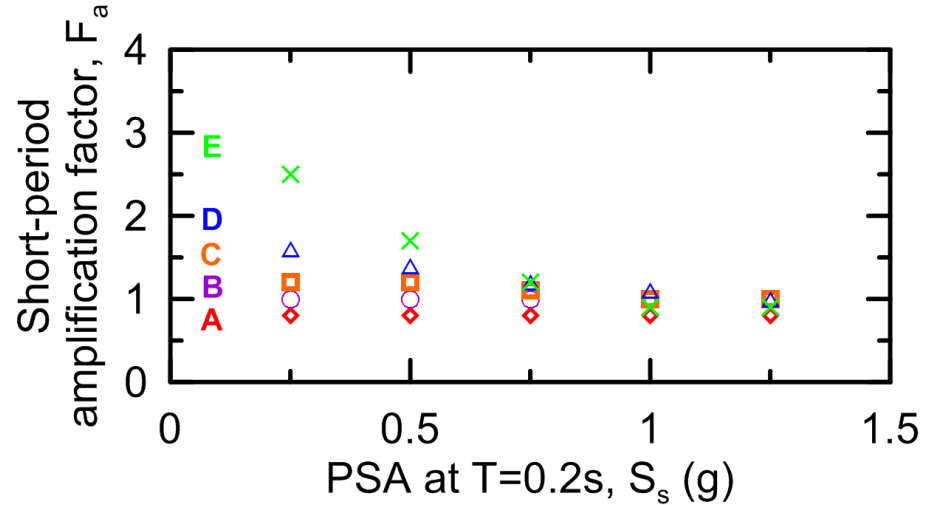
NEHRP Site Factors

- Role of NEHRP Provisions
- Factors F_a and F_v

Derived for V_{s30} -based site categories A-E

NEHRP Site Factors

- Role of NEHRP Provisions
- Factors F_a and F_v



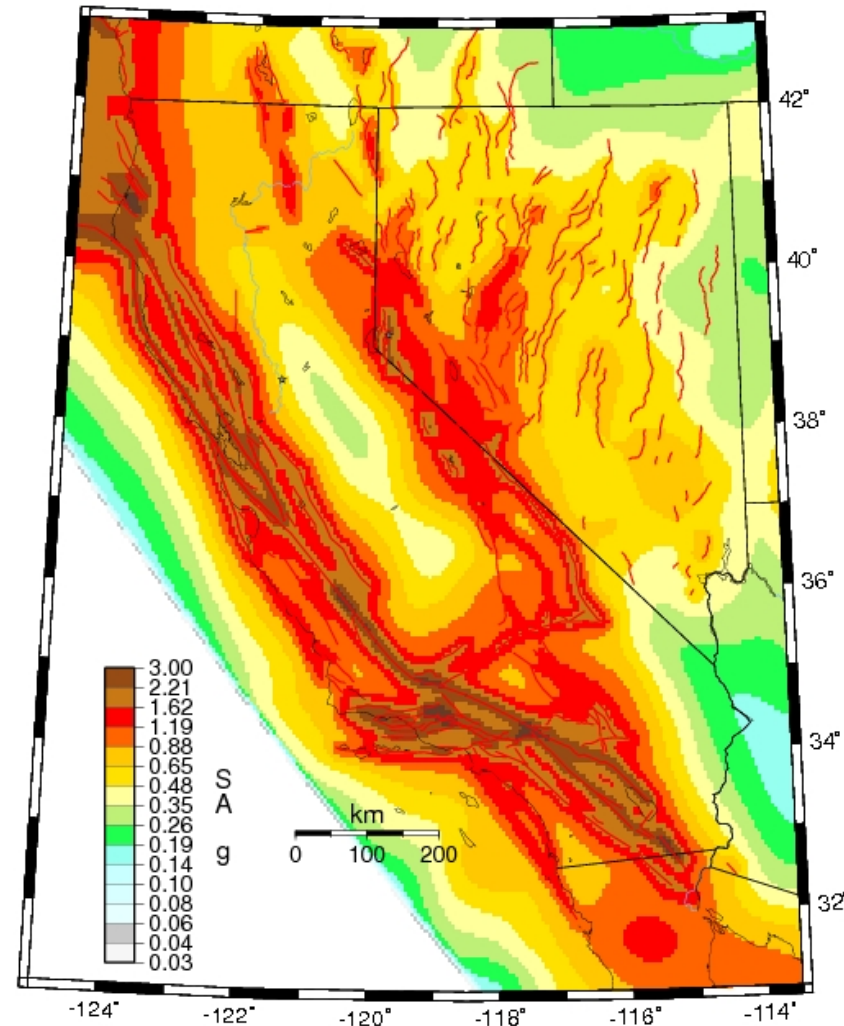
Intuitive trends

NEHRP Site Factors

Calif NV, 5-Hz SA w/2%PE50yr. 760 m/s Rock

- Role of NEHRP Provisions
- Factors F_a and F_v

Applied in combination with national maps. S_5 and S_1 @ $V_{s30} = 760$ m/s



NEHRP Site Factors

- Role of NEHRP Provisions
- Factors F_a and F_v
- Background

Developed in 1992 workshop (used in 1994 NEHRP Provisions)

Contemporaneous national maps: Algermissen et al., 1990

GMPE: Schnabel & Seed, 1973

Bulletin of the Seismological Society of America. Vol. 63, No. 2, pp. 501-516. April 1973

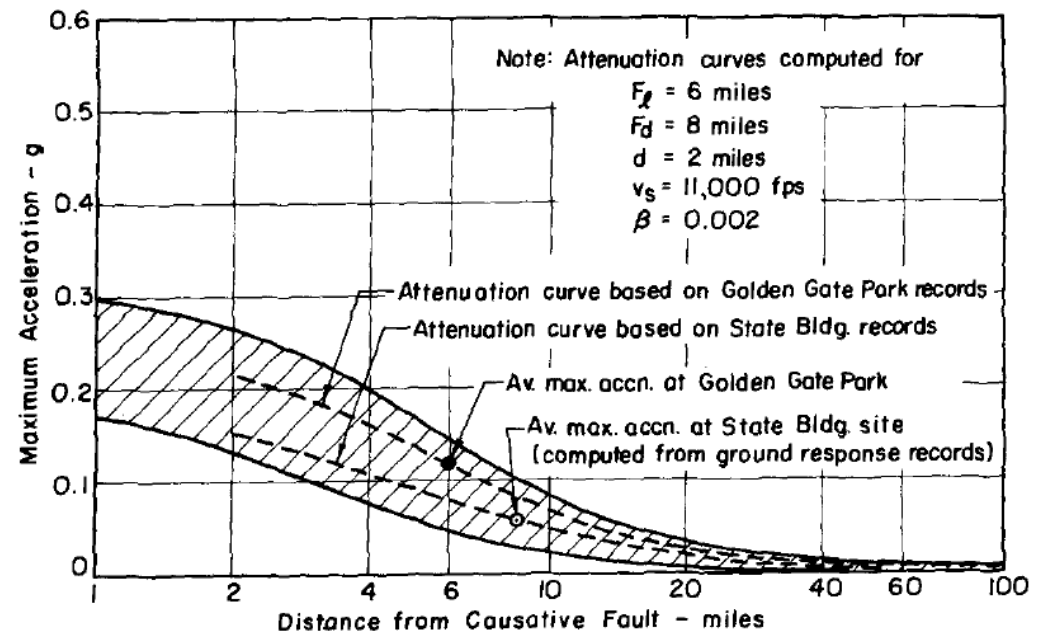
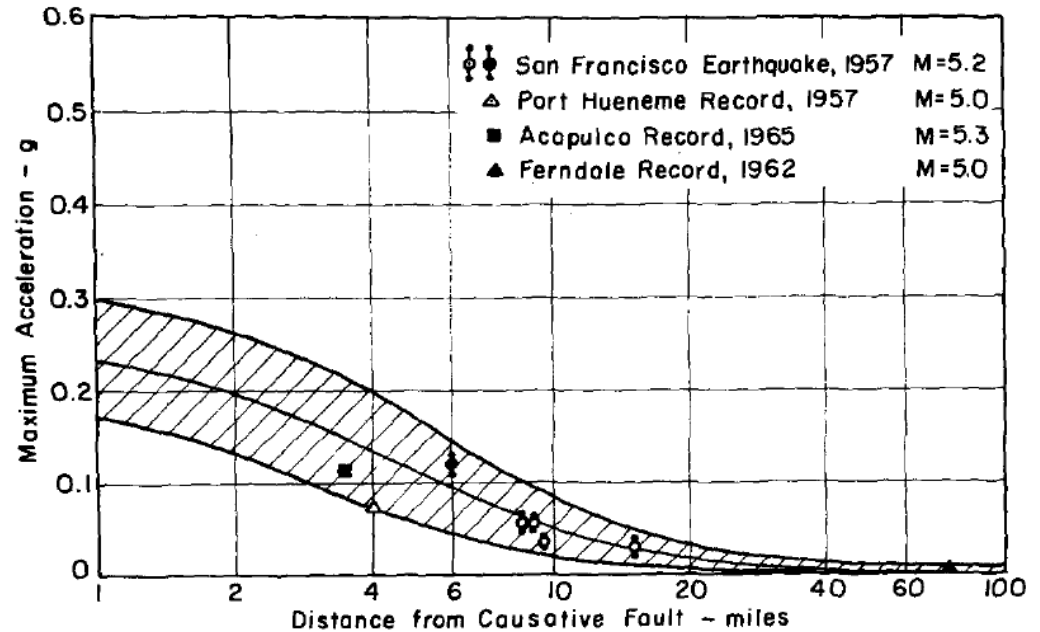
ACCELERATIONS IN ROCK FOR EARTHQUAKES IN THE WESTERN UNITED STATES

BY PER B. SCHNABEL AND H. BOLTON SEED

Schnabel & Seed, 1973

Combination of rock recordings (likely $V_{s30} \approx 600$ m/s) & deconvolved soil recordings ($V_s = 2400$ m/s)

Reported to apply for 11000 ft/s = 3400 m/s



Algermissen et al., 1990 (MF 2120)

Indicates $V_s = 750-900$ m/s

Contradicts the utilized GMPE

*Regardless, applies for hard rock
site conditions*

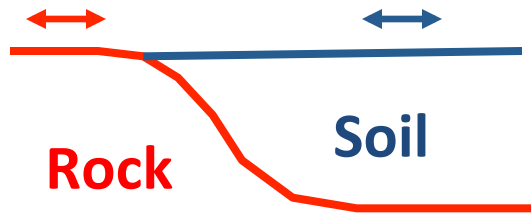


NEHRP Site Factors

- Role of NEHRP Provisions
- Factors F_a and F_v
- Background
- Development

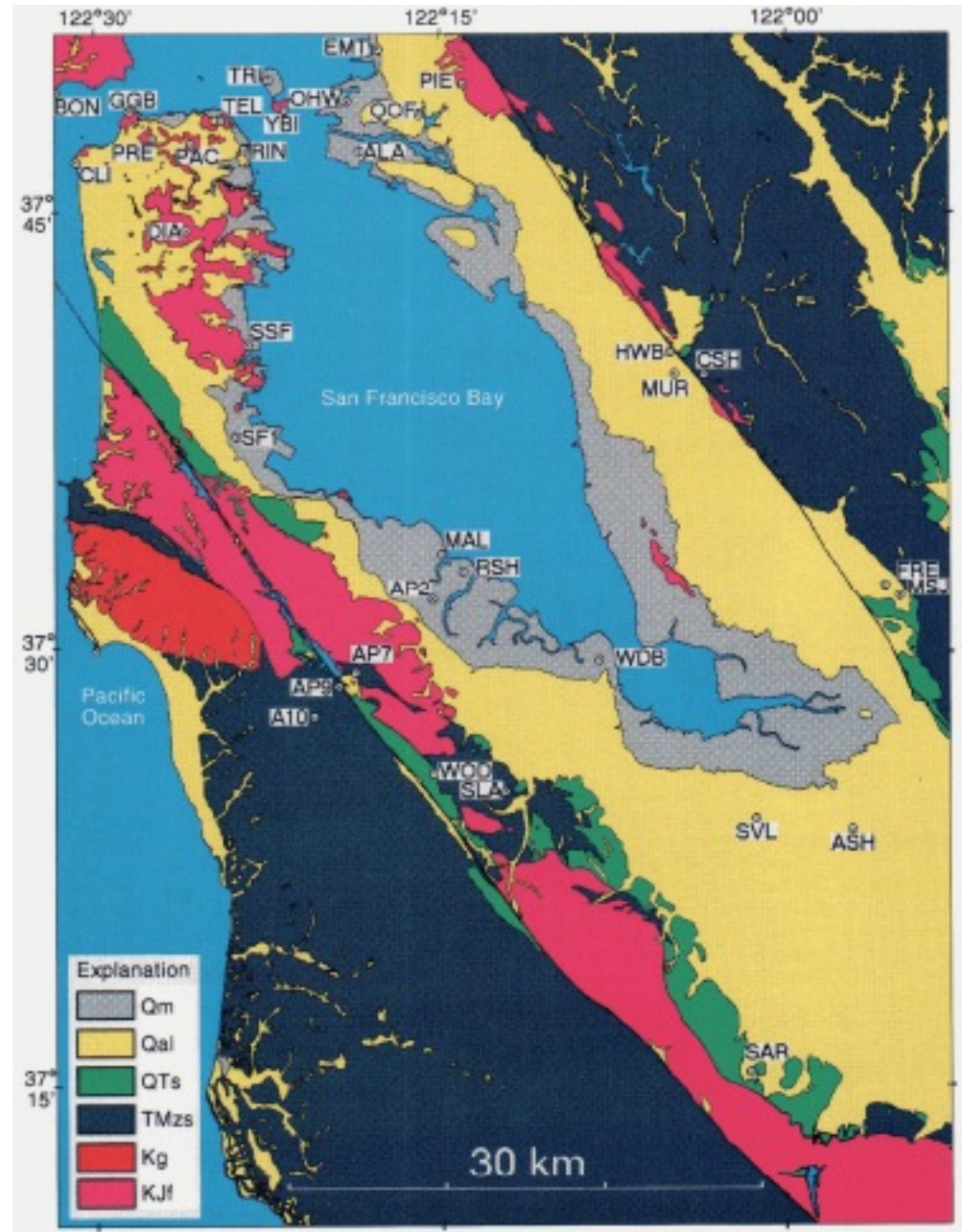
Empirical weak motion amplification ($PGA_r \approx 0.1 g$)

SFBA Station pairs, 1989 Loma Prieta Eq. (35 pairs)



$$F(T) = \frac{FA_{Vs30}(T)}{FA_{ref}(T)}$$

Ref: Borchardt and Glassmoyer, 1994



Linear site amplification:

$$F_{lin} = \left(\frac{V_{s30}}{V_{ref}} \right)^c$$

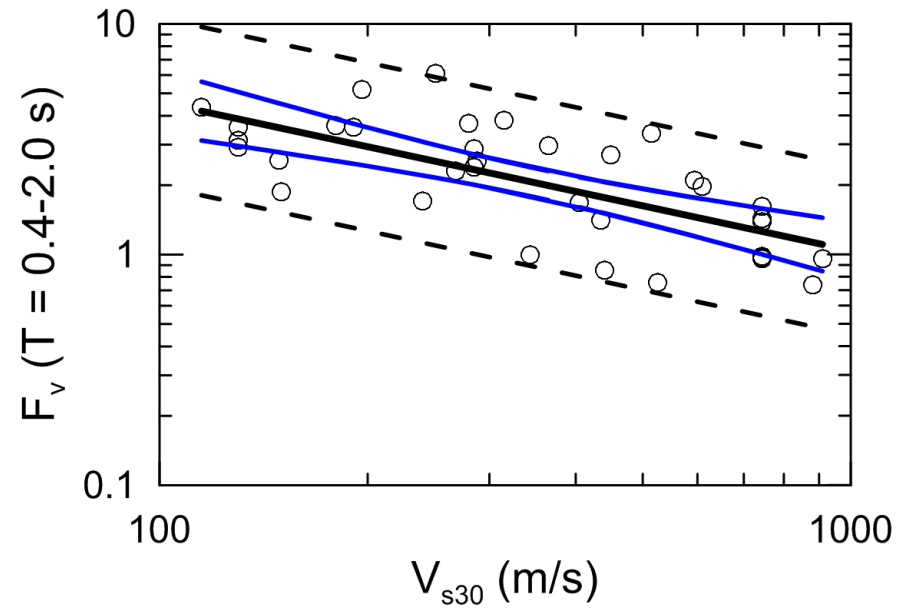
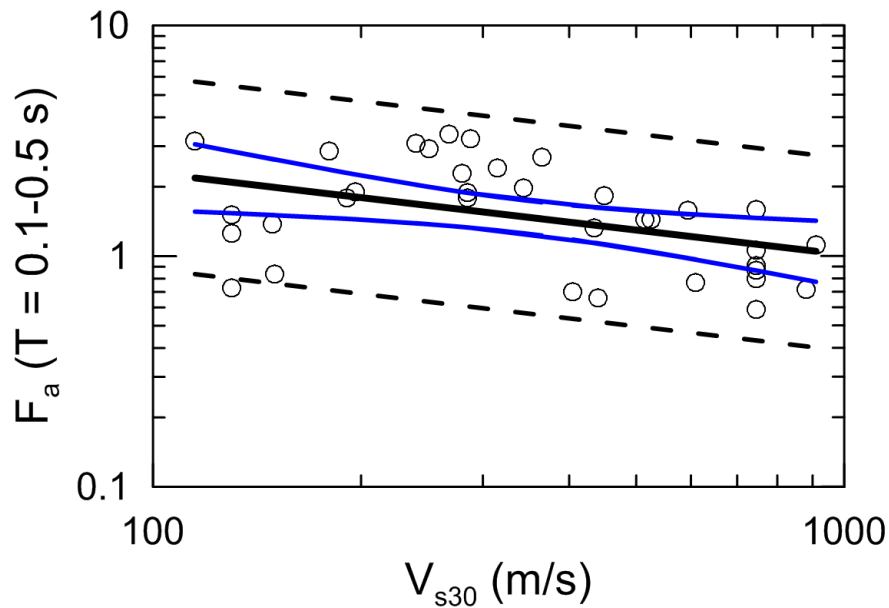
$$V_{ref} \approx 1050 \text{ m/s}$$

F_{lin} = linear site amplification

V_{ref} = reference velocity

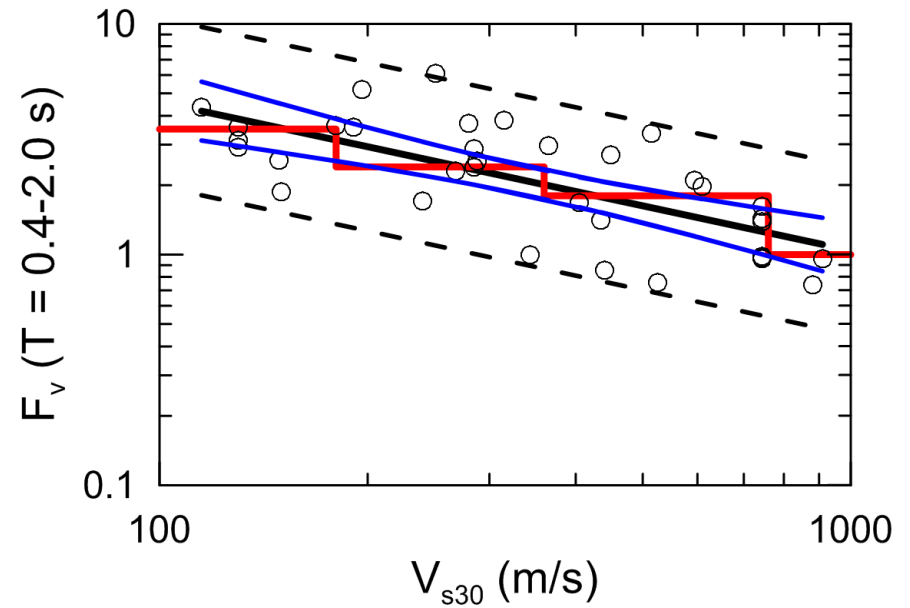
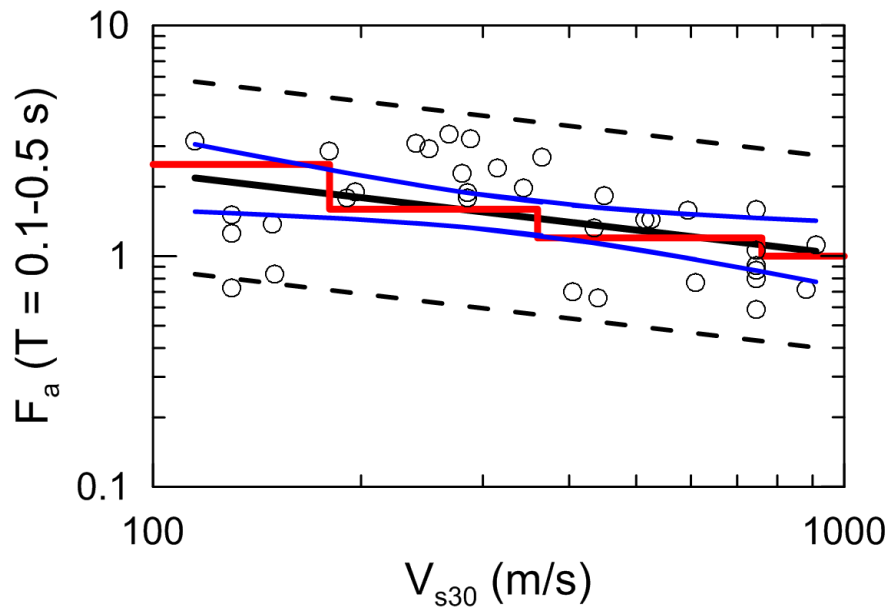
c = slope parameter

Borcherdt, 1994



- Least squares regression
- - +/- 2 sigma
- 95% confidence interval

Category boundaries and amplification levels set by committee consensus



- Least squares regression
- - +/- 2 sigma
- 95% confidence interval
- F_a, F_v (0.1g) class intervals

NEHRP Site Factors

- Role of NEHRP Provisions
- Factors F_a and F_v
- Background
- Development

Empirical weak motion amplification ($PGA_r \approx 0.1 g$)

Simulation-based nonlinearity

1-D Ground Response simulations

Representative V_s profiles

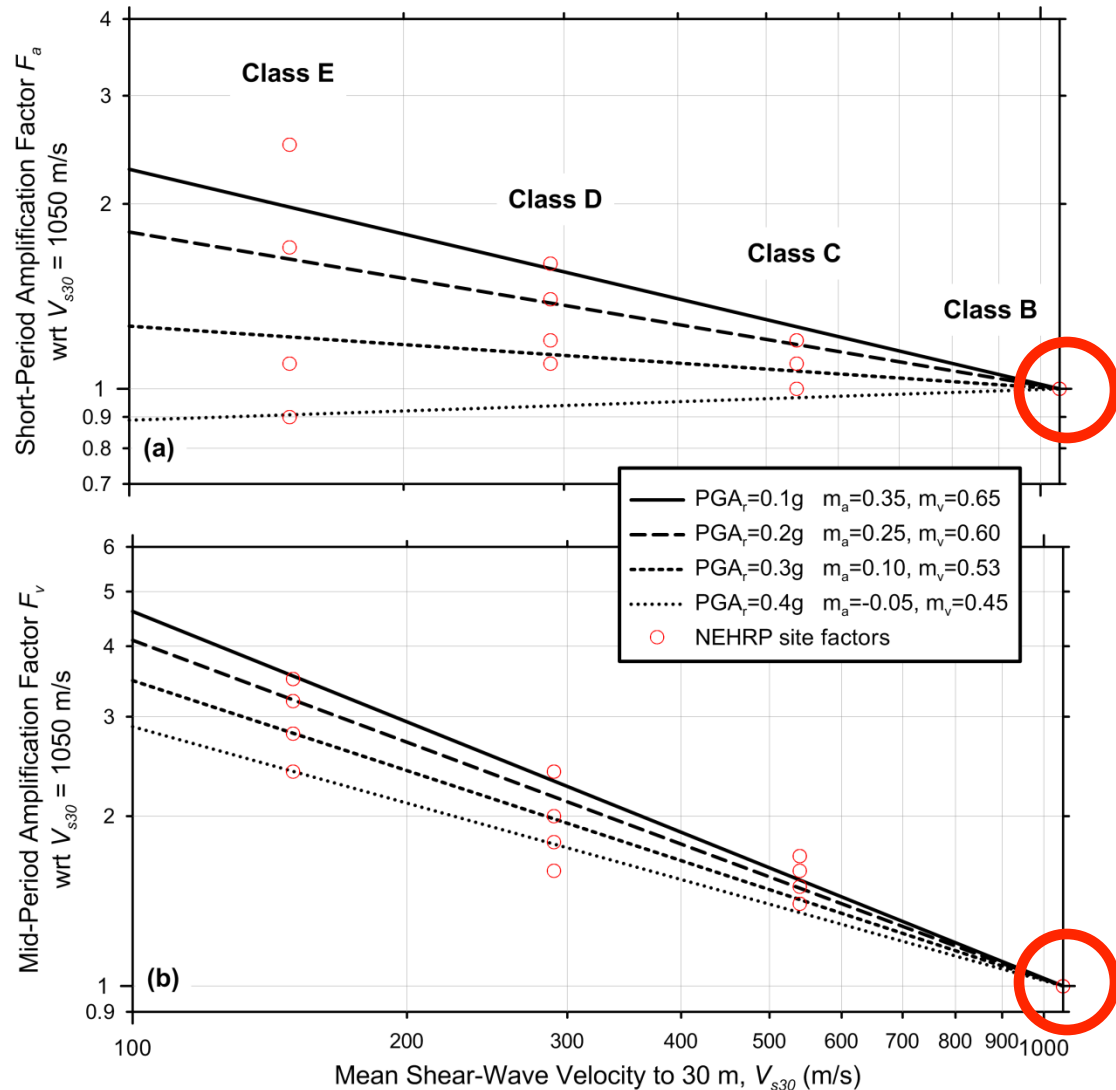
MRD curves from Vucetic & Dobry 1991; Seed et al. 1984

Results synthesized as:

$$F_a = \left(V_{s30} / V_{ref} \right)^{-m_a}$$

$$F_v = \left(V_{s30} / V_{ref} \right)^{-m_v}$$

by Borchardt, 1994;
Dobry et al., 2000



$V_{ref} = 1050$ m/s

NEHRP Site Factors

- Role of NEHRP Provisions
- Factors F_a and F_v
- Background
- Development

Empirical weak motion amplification ($PGA_r \approx 0.1 g$)

Simulation-based nonlinearity

General compatibility between Algermissen PSHA maps & site factors

NEHRP Site Factors

- Role of NEHRP Provisions
- Factors F_a and F_v
- Background
- Development
- Subsequent use (since 1994)

Change of national maps:

1996: Set to B-C boundary ($V_{s30} = 760$ m/s); Frankel et al., 1996

Mix of category-based and V_{s30} -based GMPEs

2008: NGA GMPEs for WUS with $V_{s30}=760$ m/s

Outline

- Context
- Site factors in NEHRP provisions
- **Site factors from NGA-West2 project**
- Conclusions & recommendations

NGA-West2 Site Factors

- Task 8 committee found discrepancies between NEHRP and original NGA site factors
- Site amplification model developed to guide evaluation of new factors
- Proposal developed based on model (tabulated factors & equations)
- Alternate proposal by dissenting committee member

Data Selection Criteria

- July 2012 flatfile. 8611 records. 346 events
- Minimum of 10 records / event
- Data with $R_{jb} < 100$ km only
- Records omitted having unknown ground motions, **M**, R, or V_{s30}

Site Model

- Consider GMPE for rock site conditions ($V_{s30}=760$ m/s)
- Misfits expected for recording on soil
- Compute residuals between data and rock GMPE

$$R_{ij} = \ln(IM_{obs})_{ij} - [(\mu_r)_{ij} + \eta_i]$$

- Construct a site amplification model to remove trends with site parameters

Model Summary

- **Combined model**

$$\ln(F) = \ln(F_{lin}) + \ln(F_{nl})$$

- **Linear term**

$$\ln(F_{lin}) = (c + \Delta c) \ln\left(\frac{V_{s30}}{V_{ref}}\right)$$

$$V_{ref} = 760 \text{ m/s}$$

c = slope term for V_{s30} -scaling

Δc = regional correction

- **Nonlinear term**

$$\ln(F_{nl}) = f_1 + f_2 \ln\left(\frac{PGA_r + f_3}{f_3}\right)$$

$$f_2 = f(V_{s30}, PGA_r)$$

$$f_3 = 0.1 \text{ g}, f_1 = 0$$

Steps in Model Development

- Evaluation of nonlinearity. Guided by data trends and simulation results
- Evaluation of V_{s30} -scaling, including regional effects
- Analysis of residuals to check performance

Nonlinearity

- Data analysis

Bin residuals (R_{ij}) by V_{s30} :

Class B : $760 < V_{s30} < 1500 \text{ m/s}$

Class C_{hv} : $520 < V_{s30} < 760 \text{ m/s}$

Class CD : $310 < V_{s30} < 520 \text{ m/s}$

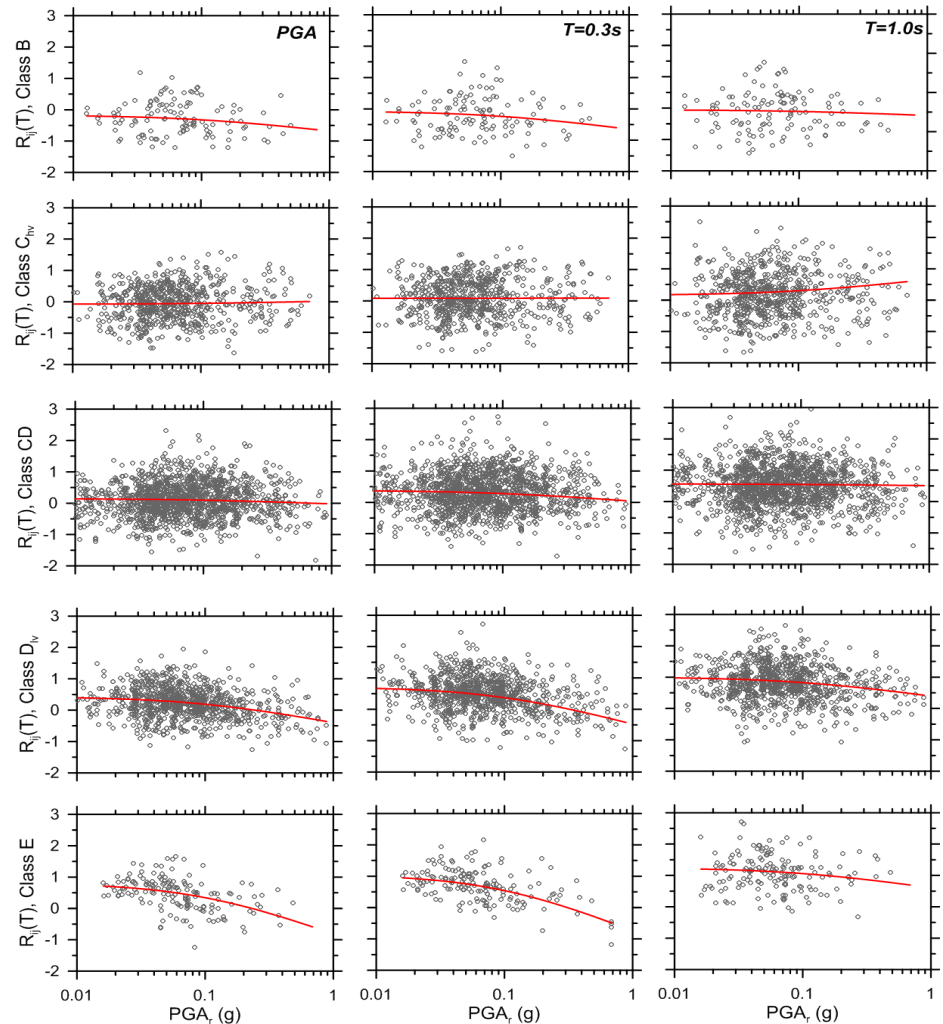
Class D_{lv} : $200 < V_{s30} < 310 \text{ m/s}$

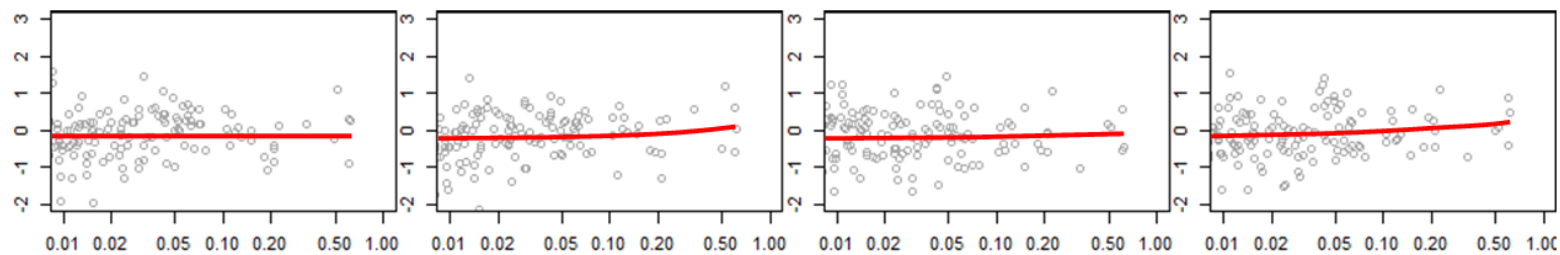
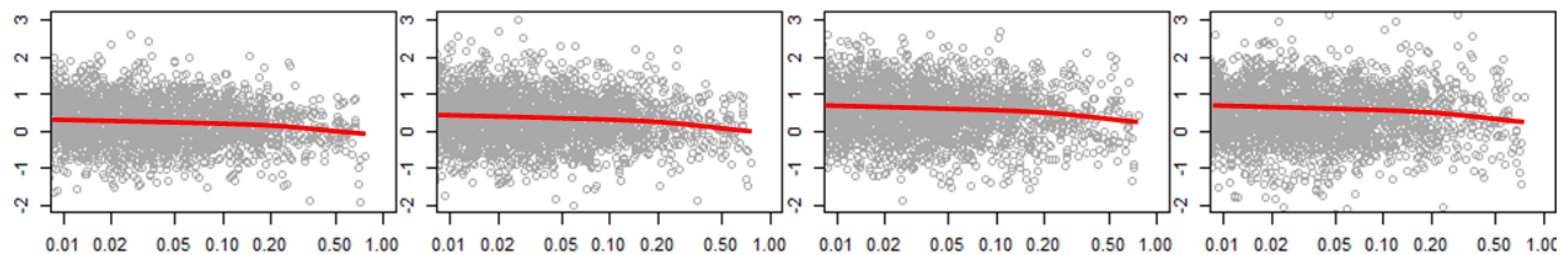
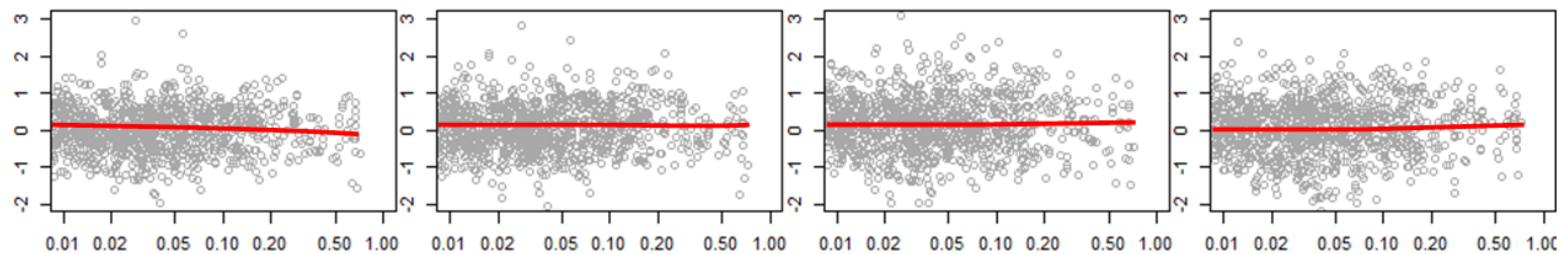
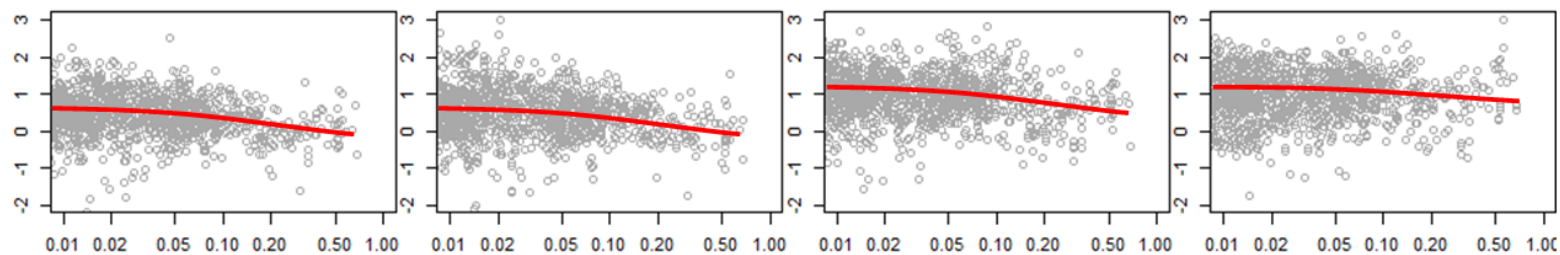
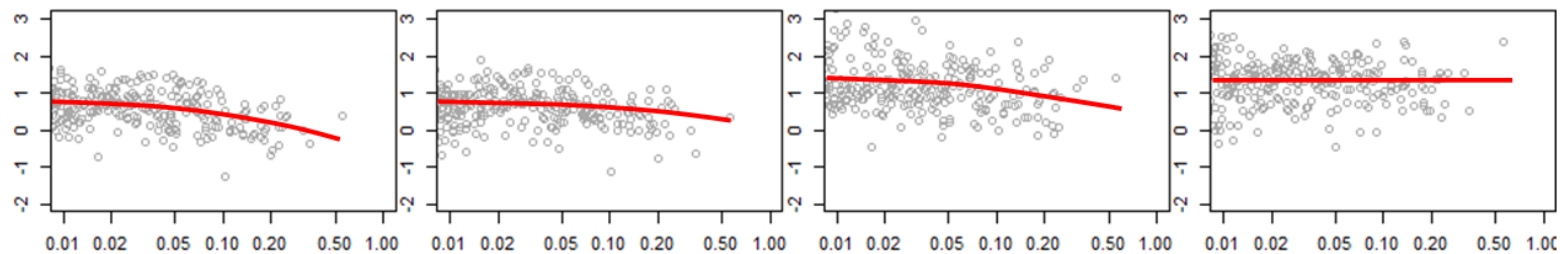
Class E : $200 \geq V_{s30} \text{ m/s}$

Plot against PGA_r

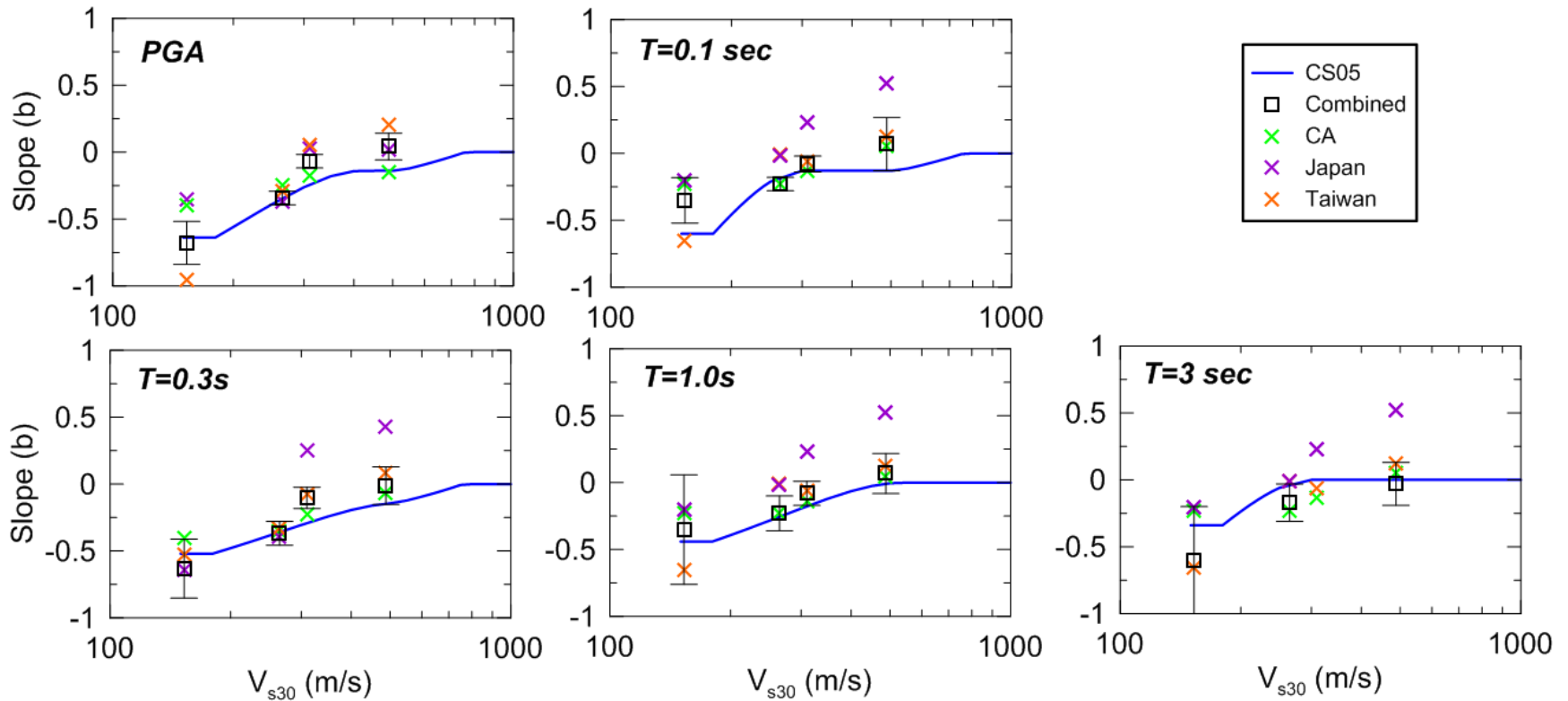
Nonlinear regression

$$R_i = a + b \ln(PGA_r + d) + \varepsilon_i$$



B**C_{lv}****CD****D_{lv}****E**

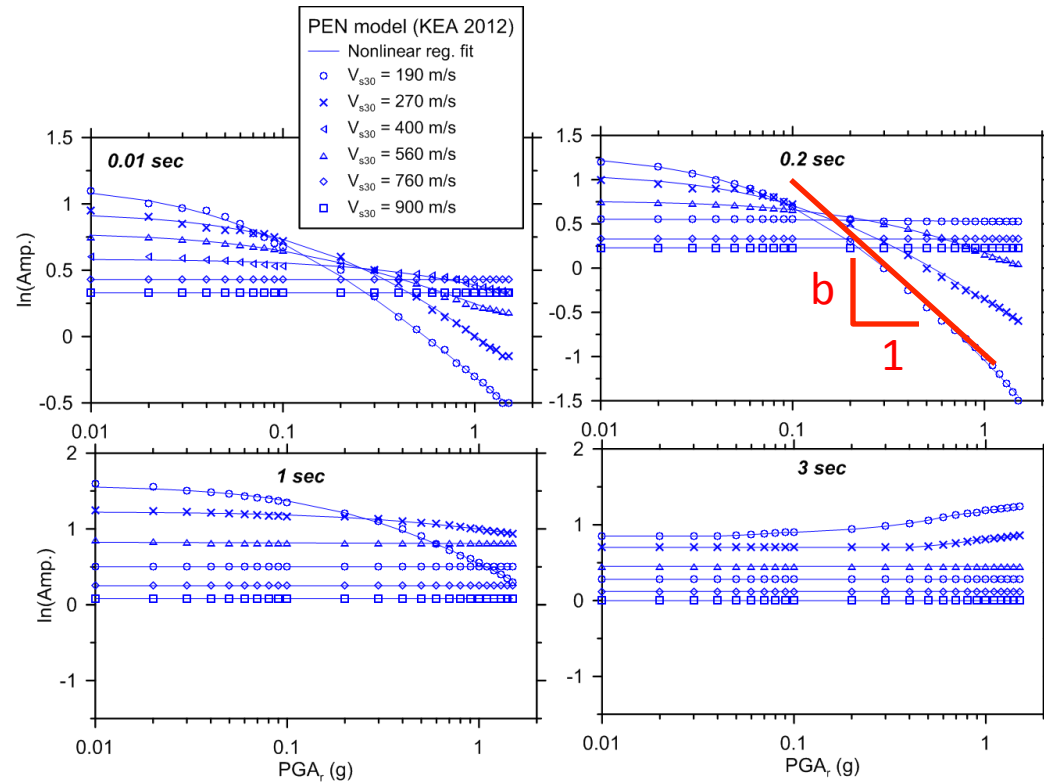
Nonlinearity



Nonlinearity

- Data analysis
- Interpretation of simulation results (Kamei et al., 2012)

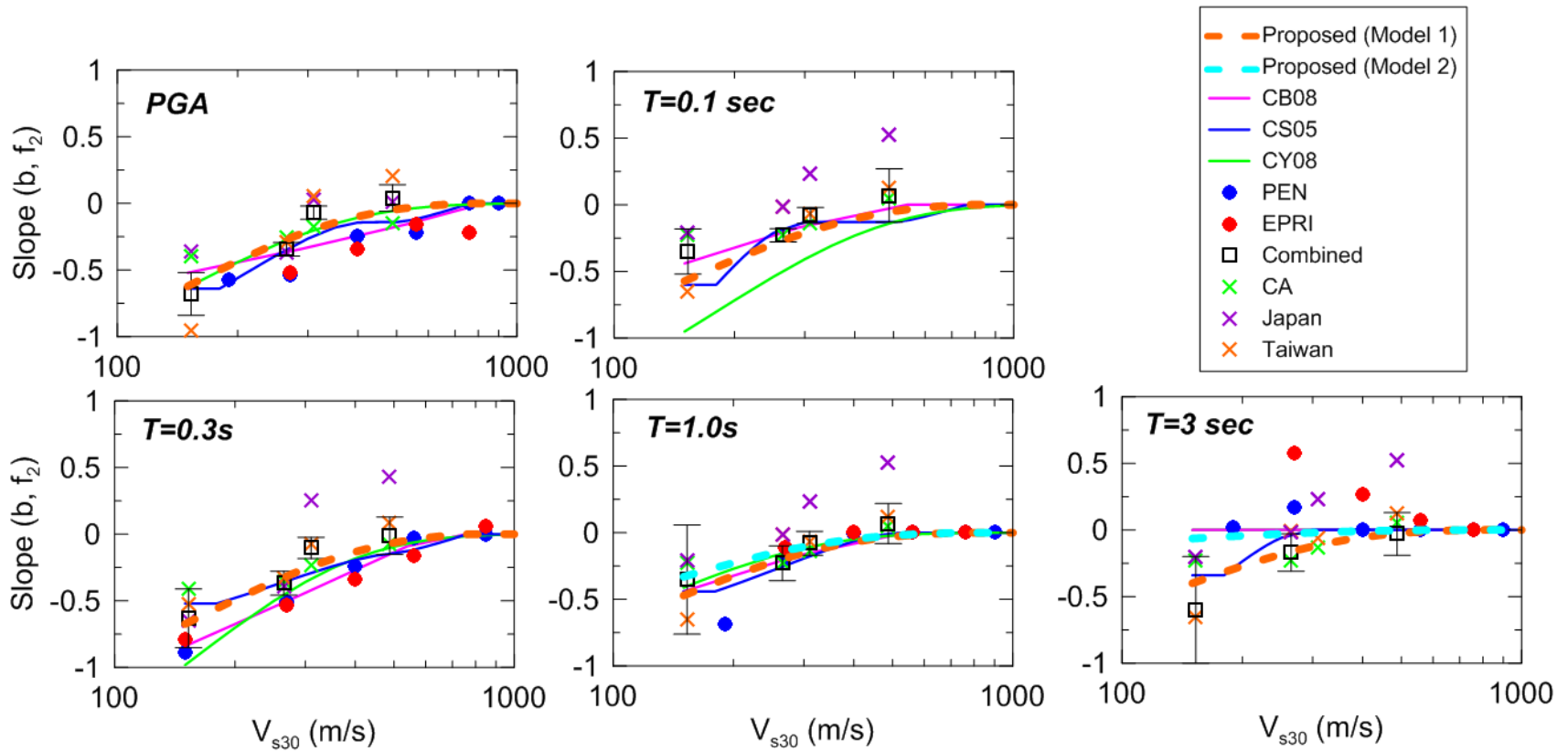
Fit slope parameter to simulation results



Nonlinearity

- Data analysis
- Interpretation of simulation results
(Kamei et al., 2012)
- Plot b vs V_{s30} and select model that captures trends

Nonlinearity



V_{s30} -Scaling

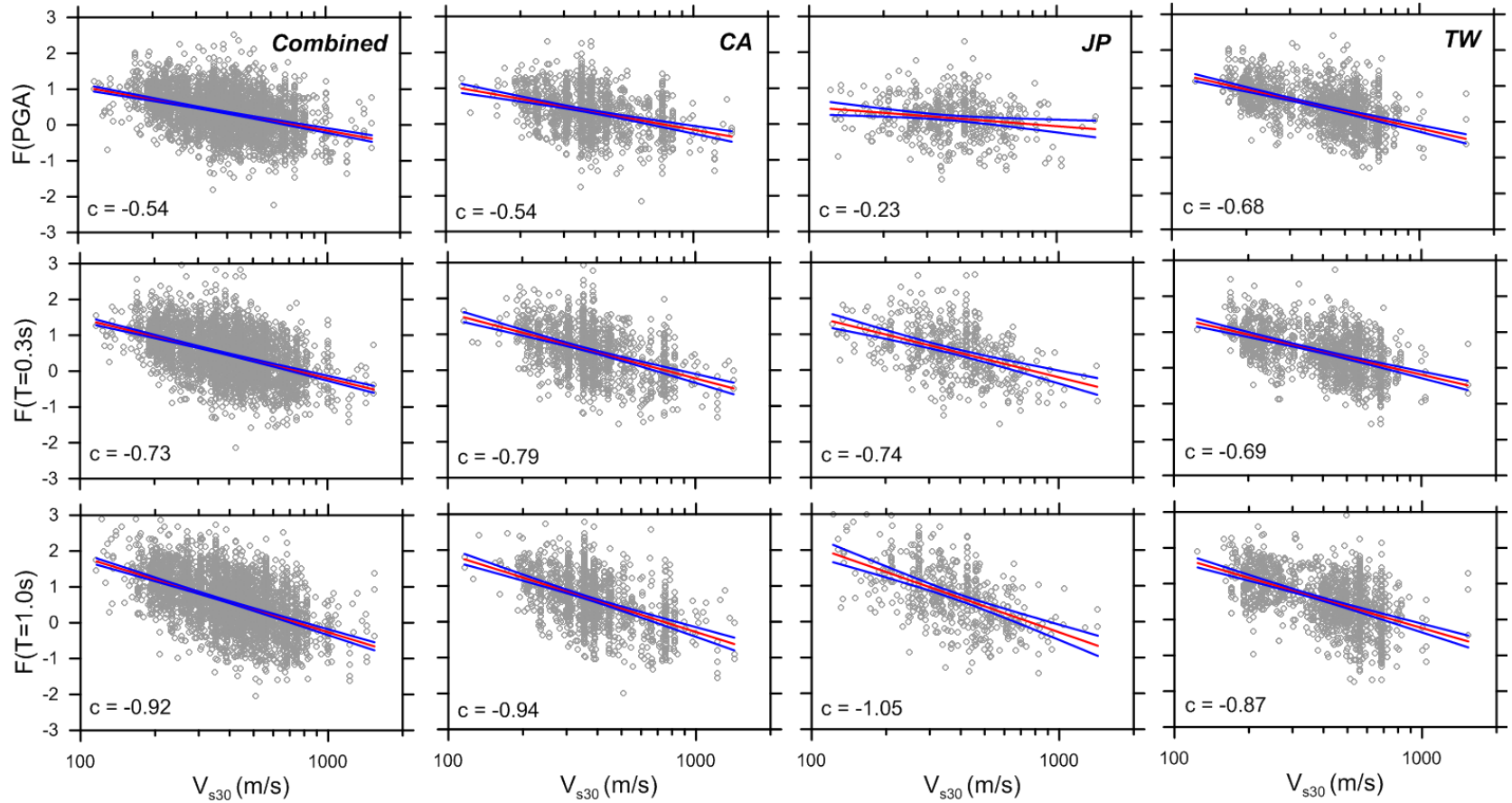
- Remove nonlinearity from residuals

$$R_k^{lin} = R_{i,j} - \ln(F_{nl})$$

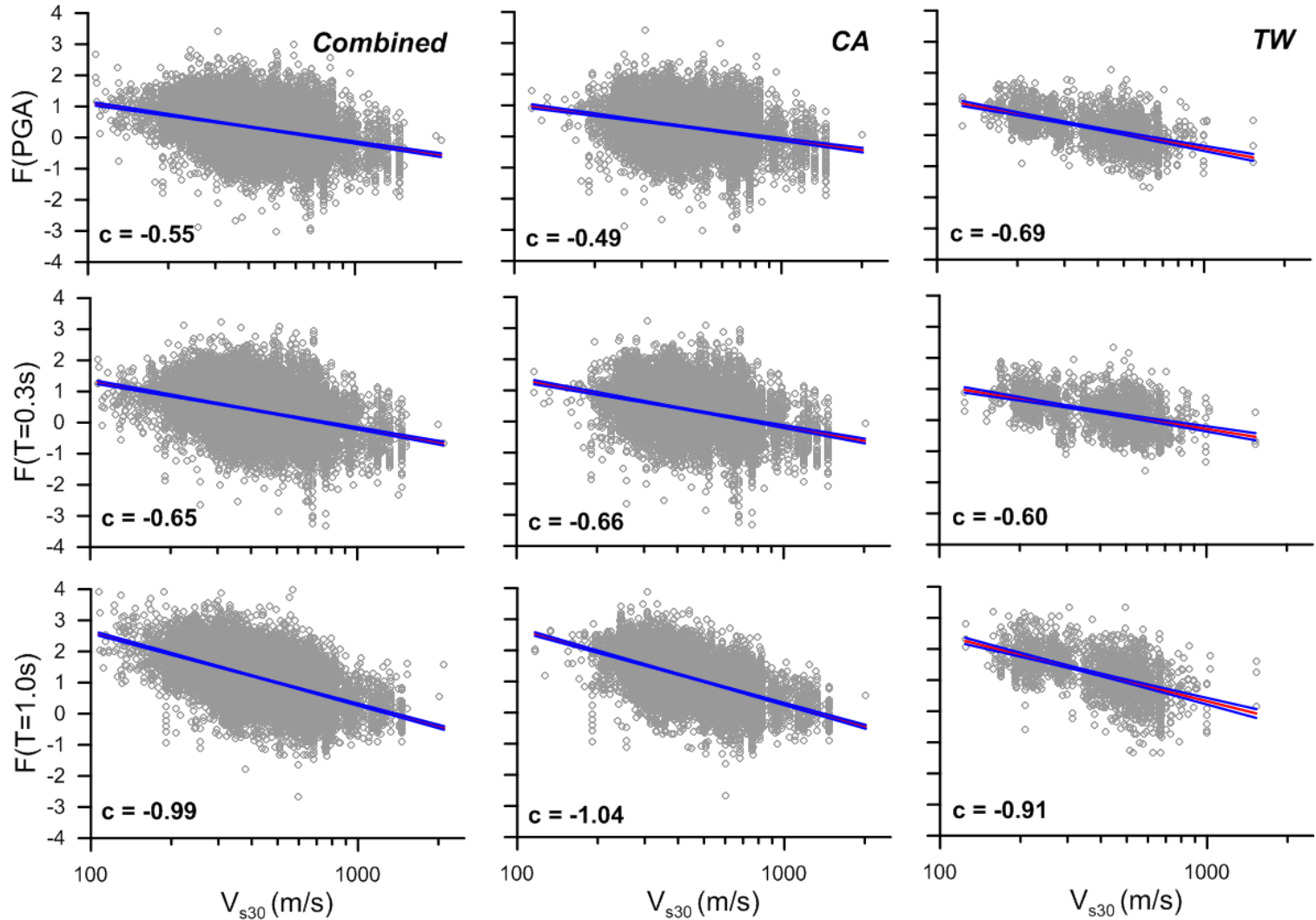
V_{s30} -Scaling

- Remove nonlinearity from residuals
- Plot adjusted residuals against V_{s30} , compute slope

V_{s30} -Scaling

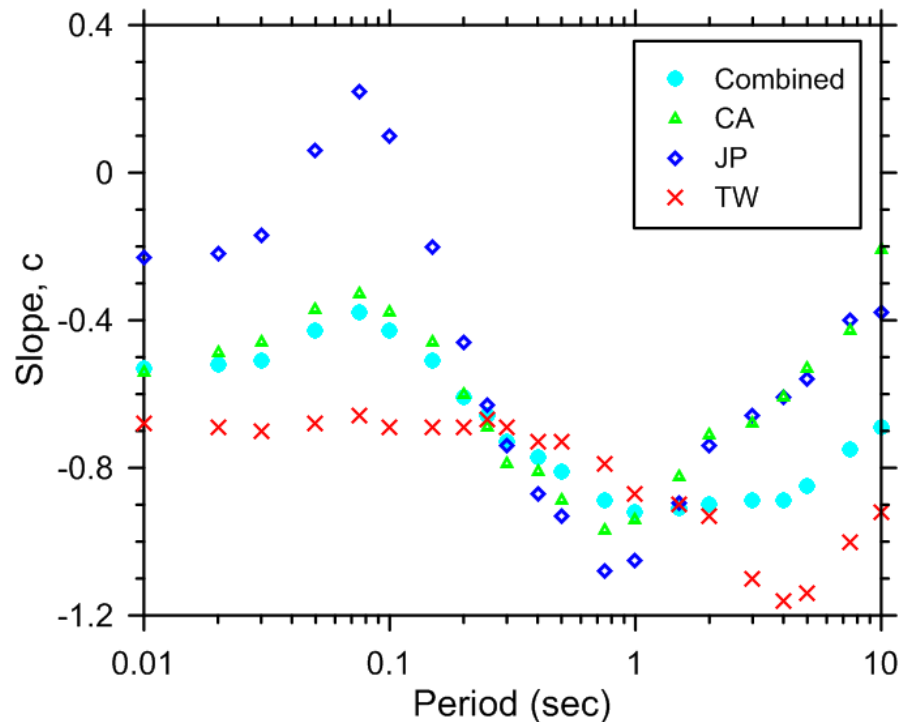


V_{s30} -Scaling



V_{s30} -Scaling

- Remove nonlinearity from residuals
- Plot adjusted residuals against V_{s30} , compute slope
- Regional variations in slope observed



V_{s30} -Scaling

- Remove nonlinearity from residuals
- Plot against V_{s30} , compute slope
- Regional variations in slope observed
- Parameter c set from combined data set, Δc from regional results

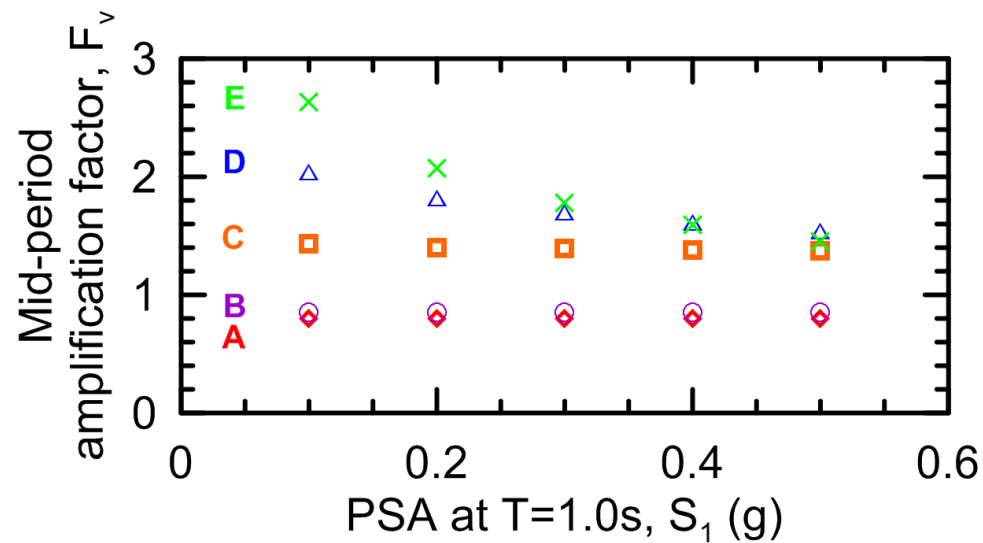
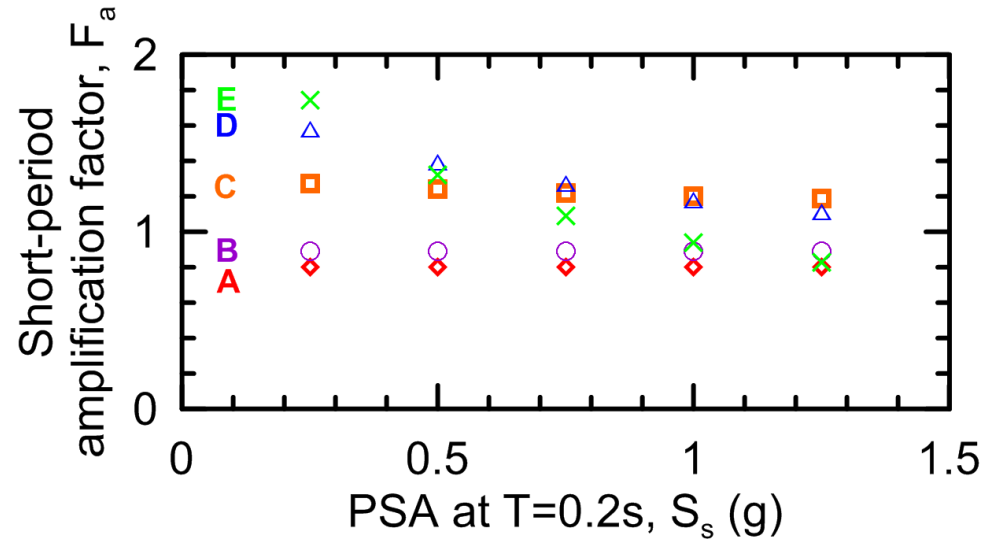
$$\ln(F_{lin}) = (c + \Delta c) \ln\left(\frac{V_{s30}}{V_{ref}}\right)$$

| <i>Period (sec)</i> | <i>c</i> | Δc (CA) | Δc (JP) | Δc (TW) |
|---------------------|----------|-----------------|-----------------|-----------------|
| 0.01 | -0.53 | -0.01 | 0.30 | -0.15 |
| 0.02 | -0.52 | 0.03 | 0.30 | -0.17 |
| 0.03 | -0.51 | 0.05 | 0.34 | -0.19 |
| 0.05 | -0.43 | 0.06 | 0.49 | -0.25 |
| 0.075 | -0.38 | 0.05 | 0.60 | -0.28 |
| 0.1 | -0.43 | 0.05 | 0.53 | -0.26 |
| 0.15 | -0.51 | 0.05 | 0.31 | -0.18 |
| 0.2 | -0.61 | 0.01 | 0.15 | -0.08 |
| 0.25 | -0.66 | -0.03 | 0.03 | -0.01 |
| 0.3 | -0.73 | -0.06 | -0.01 | 0.04 |
| 0.4 | -0.77 | -0.04 | -0.10 | 0.04 |
| 0.5 | -0.81 | -0.08 | -0.12 | 0.08 |
| 0.75 | -0.89 | -0.08 | -0.19 | 0.10 |
| 1 | -0.92 | -0.02 | -0.13 | 0.05 |
| 1.5 | -0.91 | 0.09 | 0.02 | 0.01 |
| 2 | -0.90 | 0.19 | 0.16 | -0.03 |
| 3 | -0.89 | 0.21 | 0.23 | -0.21 |
| 4 | -0.89 | 0.28 | 0.28 | -0.27 |
| 5 | -0.85 | 0.32 | 0.29 | -0.29 |
| 7.5 | -0.75 | 0.32 | 0.35 | -0.25 |
| 10 | -0.69 | 0.48 | 0.31 | -0.23 |

NEHRP / ASCE Factors

- Extensive deliberations over > 2 years.
- Use $V_{ref} = 760$ m/s
- Use $\Delta c = 0$
- Select representative V_{s30} within categories
- Use mean values of f_2 across period ranges for F_a and F_v for each V_{s30}
- Convert PGA_r to S_s and S_1 :
$$S_s \approx 2.3 \times PGA_r$$
$$S_1 \approx 0.7 \times PGA_r$$
- Use mean values of c across period ranges

NEHRP / ASCE Factors



NEHRP / ASCE Factors

$$F_a$$

| Site Class | $S_s < 0.25$ | | $S_s = 0.5$ | | $S_s = 0.75$ | | $S_s = 1.0$ | | $S_s > 1.25$ | |
|------------|--------------|------------|-------------|------------|--------------|------------|-------------|------------|--------------|------------|
| | PEER | ASCE | PEER | ASCE | PEER | ASCE | PEER | ASCE | PEER | ASCE |
| A | 0.8 | 0.8 | 0.8 | 0.8 | 0.8 | 0.8 | 0.8 | 0.8 | 0.8 | 0.8 |
| B | 0.9 | 1.0 | 0.9 | 1.0 | 0.9 | 1.0 | 0.9 | 1.0 | 0.9 | 1.0 |
| C | 1.3 | 1.2 | 1.2 | 1.2 | 1.2 | 1.1 | 1.2 | 1.0 | 1.2 | 1.0 |
| D | 1.6 | 1.6 | 1.4 | 1.4 | 1.3 | 1.2 | 1.2 | 1.1 | 1.1 | 1.0 |
| E | 1.7 | 2.5 | 1.3 | 1.7 | 1.1 | 1.2 | 0.9 | 0.9 | 0.8 | 0.9 |

$$F_v$$

| Site Class | $S_1 < 0.1$ | | $S_1 = 0.2$ | | $S_1 = 0.3$ | | $S_1 = 0.4$ | | $S_1 > 0.5$ | |
|------------|-------------|------------|-------------|------------|-------------|------------|-------------|------------|-------------|------------|
| | PEER | ASCE | PEER | ASCE | PEER | ASCE | PEER | ASCE | PEER | ASCE |
| A | 0.8 | 0.8 | 0.8 | 0.8 | 0.8 | 0.8 | 0.8 | 0.8 | 0.8 | 0.8 |
| B | 0.9 | 1.0 | 0.9 | 1.0 | 0.9 | 1.0 | 0.9 | 1.0 | 0.9 | 1.0 |
| C | 1.4 | 1.7 | 1.4 | 1.6 | 1.4 | 1.5 | 1.4 | 1.4 | 1.4 | 1.3 |
| D | 2.0 | 2.4 | 1.8 | 2.0 | 1.7 | 1.8 | 1.6 | 1.6 | 1.5 | 1.5 |
| E | 2.6 | 3.5 | 2.1 | 3.2 | 1.8 | 2.8 | 1.6 | 2.4 | 1.5 | 2.4 |

Favorable PUC response in Oct 2012 meeting

NEHRP / ASCE Factors

Alternate proposal

- Reference condition taken as Class B (not $V_{s30} = 760$ m/s). $V_{ref} \approx 1050$ m/s per Borchardt (1994)
- NEHRP coefficients compared to 2008 NGA site terms adjusted for $V_{ref} = 1050$ m/s
- NEHRP factors modified to remove misfits
- Both proposals currently out for balloting in Task 8 working group
- Proposal with most votes goes to PUC

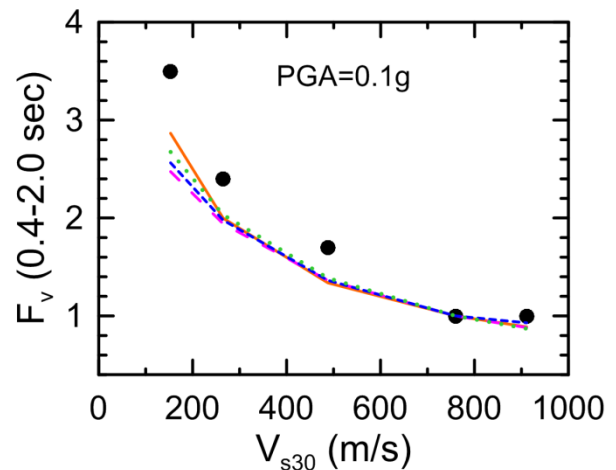
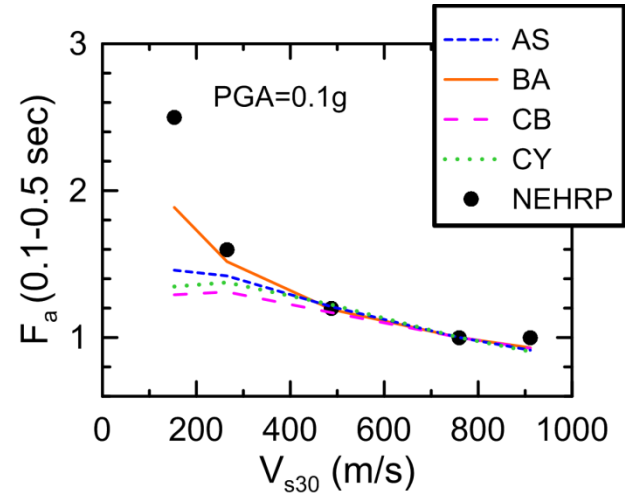
Conclusions & Recommendations

- V_{s30} remains the baseline site parameter
- NGA-West2 GMPEs will have nonlinear V_{s30} -based site terms
 - Nonlinearity from data and simulations
 - Regional V_{s30} -scaling. Why?
- Pending changes to NEHRP/ASCE site factors
 - Lack of consensus on V_{ref}
 - V_{s30} -scaling based on global data
 - Reduced levels of nonlinearity (esp. C & D)

Site Factors in GMPEs

- Utilization of V_{s30}
- Derivation of site factors
- NGA-NEHRP comparisons

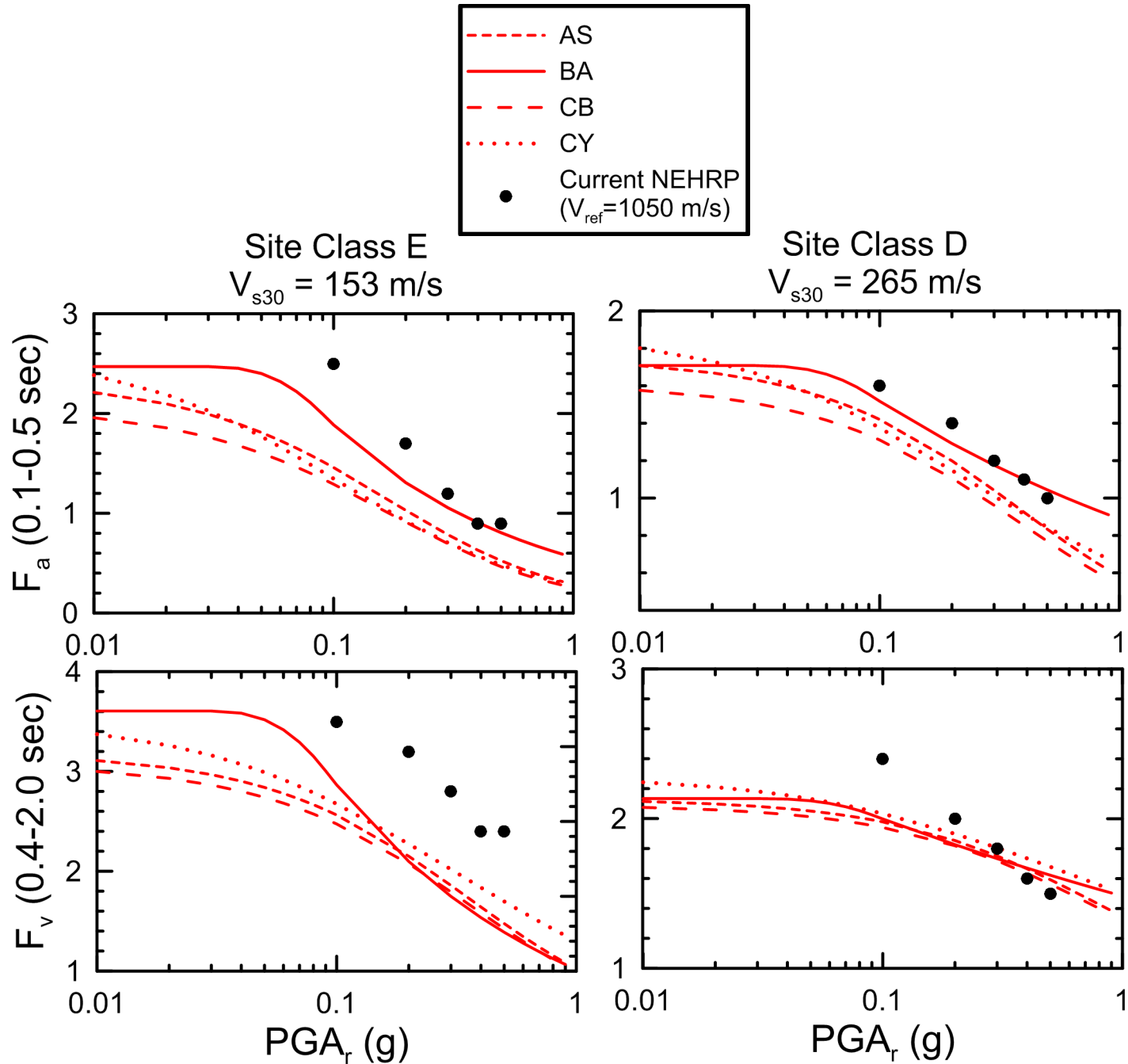
Results indicate similar V_{s30} scaling (parameter c)



Large epistemic uncertainty for E

Misfits:

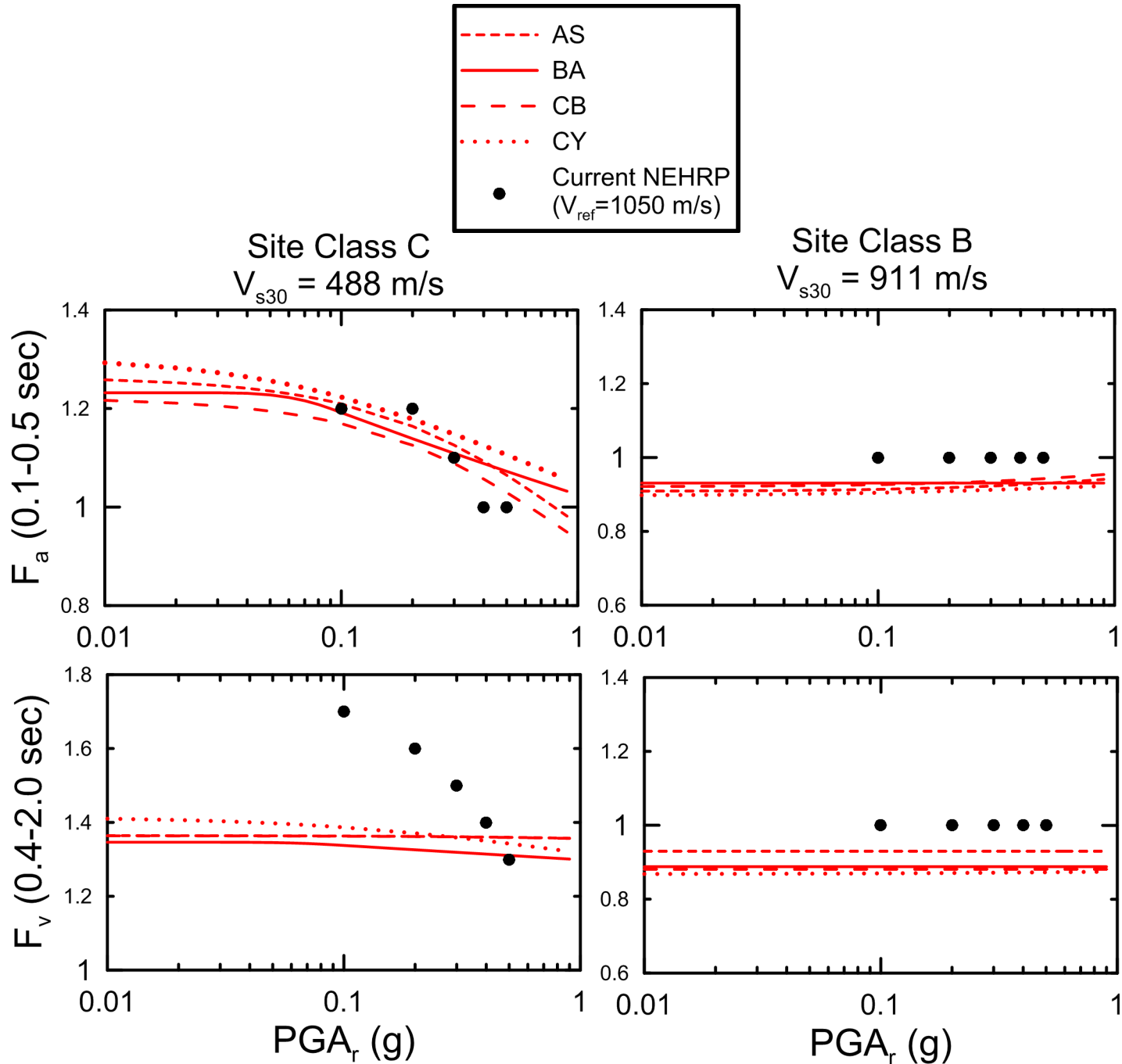
- F_a (B, E)
- F_v (general)
- F_v slopes (C, D)



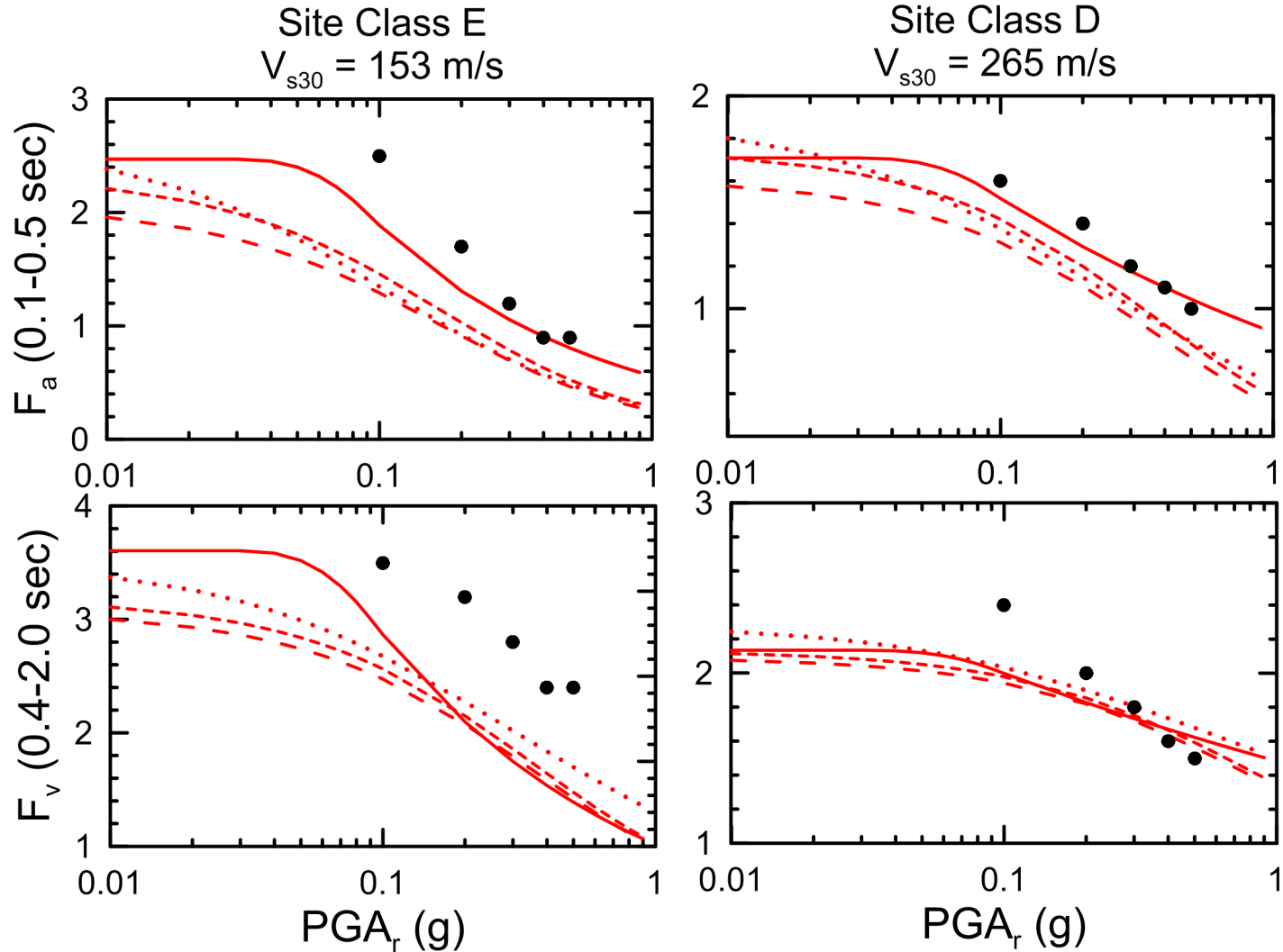
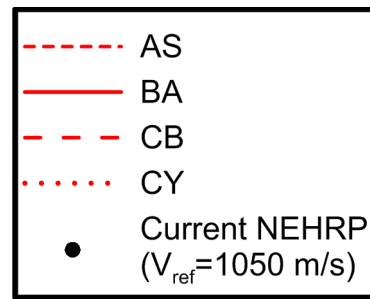
Large epistemic uncertainty for E

Misfits:

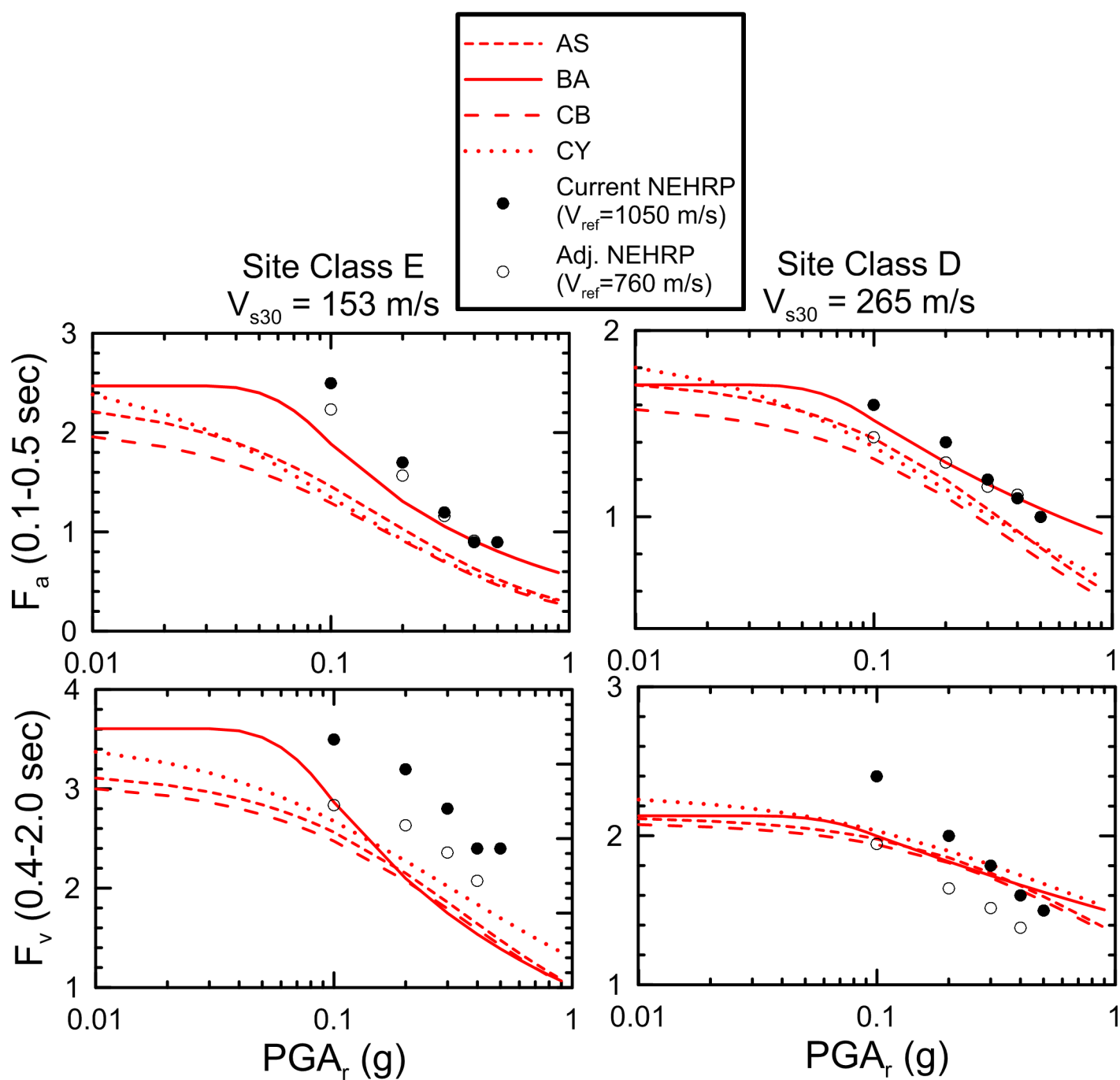
- F_a (B, E)
- F_v (general)
- F_v slopes (C, D)



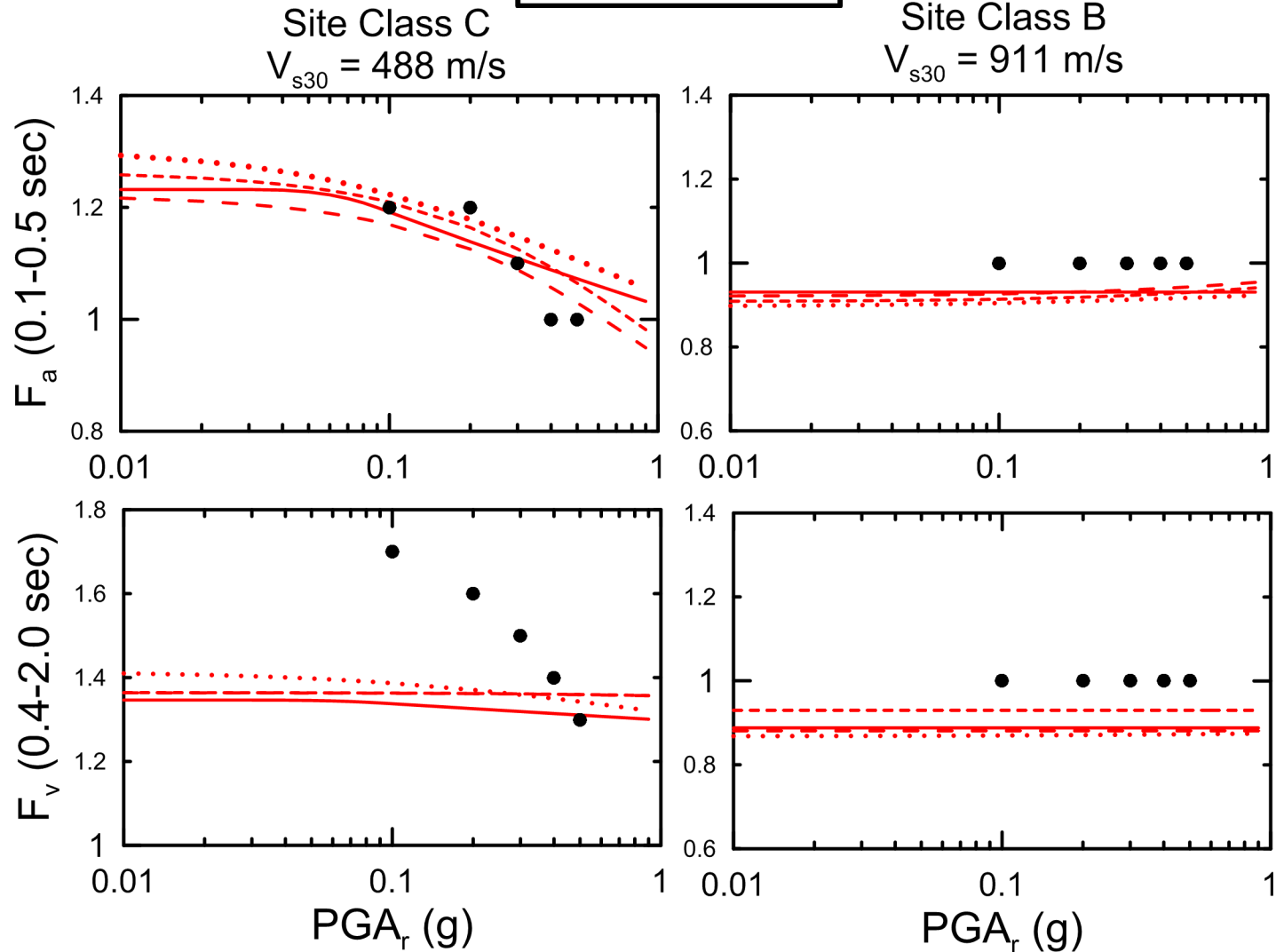
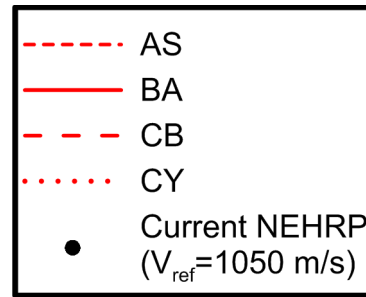
**Effect of
changing
NEHRP
 $V_{ref}=760$ m/s**



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changing
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 $V_{ref}=760$ m/s**



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